

PETERSON ROBERT W  
 Form 3  
 January 06, 2012

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL  
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â PETERSON ROBERT W		(Month/Day/Year)	PIPER JAFFRAY COMPANIES [PJC]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
800 NICOLLET MALL			(Check all applicable)	
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
MINNEAPOLIS,Â MNÂ 55402			<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
(City)	(State)	(Zip)	(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Head of Global Equities	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	104,036	D	Â
Common Stock	1,013	I	By PJC Retirement Plan <sup>(1)</sup>
Common Stock	14	I	By IRA
Common Stock	1,000	I	By Spouse's IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
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## Edgar Filing: PETERSON ROBERT W - Form 3

(Instr. 4)	(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	02/12/2007 <sup>(2)</sup>	02/12/2014	Common Stock	1,938	\$ 47.3	D	Â
Employee Stock Option (right to buy)	02/22/2008 <sup>(3)</sup>	02/22/2015	Common Stock	6,250	\$ 39.62	D	Â
Employee Stock Option (right to buy)	02/21/2009 <sup>(4)</sup>	02/21/2016	Common Stock	4,269	\$ 47.85	D	Â
Employee Stock Option (right to buy)	02/15/2010 <sup>(5)</sup>	02/15/2017	Common Stock	4,464	\$ 70.13	D	Â
Employee Stock Option (right to buy)	02/15/2011 <sup>(6)</sup>	02/15/2018	Common Stock	16,981	\$ 41.09	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PETERSON ROBERT W 800 NICOLLET MALL MINNEAPOLIS, MN 55402	Â	Â	Â Head of Global Equities	Â

## Signatures

/s/ James L. Chosy for Robert W.  
Peterson

01/06/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Based on a plan report dated JDecember 13, 2011.
- (2) Option vested in full on February 12, 2007.
- (3) Option vested in full on February 22, 2008.
- (4) Option vested in full on February 21, 2009.
- (5) Option vested in full on February 15, 2010.
- (6) Option vested in full on February 15, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.