### Edgar Filing: Wang Xinqiao - Form 4

Wang Xinqia Form 4										
August 24, 20	Л								OMB AF	PROVAL
Washington, D.C. 20549					OMB Number:	3235-0287				
if no long subject to Section 10 Form 4 or Form 5 obligatior may conti	Check this box f no longer subject to Section 16. Form 4 or Form 5 obligations nay continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					Expires: Estimated a burden hour response				
(Print or Type R	Responses)									
1. Name and A Wang Xinqi	ddress of Reporting a0	g Person <u>*</u>	Symbol	Name and			0	5. Relationship of Issuer	Reporting Pers	con(s) to
			RE CORP [EMKR]				(Check all applicable)			
. ,	EARCH ROAD	. ,	(Month/D 08/22/20	ay/Year)	ansaction			Director Officer (give t below) Exec. VP		Owner er (specify ions
ALBUQUEI	(Street) RQUE, NM 871	23		ndment, Dat th/Day/Year)	-	l		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Pe	rson
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acqu	iired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	<ul> <li>Execution</li> <li>any</li> </ul>	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Restricted Stock Units	08/22/2012			М	3,750	А	<u>(1)</u>	21,991 <u>(2)</u>	D	
Common Stock	08/23/2012			S <u>(3)</u>	1,218	D	\$ 4.9718 (4)	20,773 <u>(2)</u>	D	
Common Stock								651 <u>(5)</u>	Ι	By Spouse In 401(k)
Common Stock								3,906 <u>(5)</u>	Ι	By 401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		rivative Expiration Date ities (Month/Day/Year) ired r seed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	08/22/2012	М	3,750	<u>(6)</u>	(7)	Common Stock	3,750	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wang Xinqiao			Exec. VP,				
10420 RESEARCH ROAD SE		China					
ALBUQUERQUE, NM 87123		Operations					
Cignotures							

## Signatures

Alfredo Gomez,	08/24/2012
attorney-in-fact	08/24/2012

<u>\*\*</u>Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Emcore common stock. Restricted stock units are payable, at the election of the issuer, in cash, Emcore common stock, or a combination of the two.
- (2) Includes 10,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in two equal annual installments beginning on January 28, 2013.

All sales were effected pursuant to a 10b5-1 plan previously adopted by the Reporting Person to satisfy the Reporting Person's tax
 (3) liability in connection with the vesting of shares of restricted stock that were granted under the Company's 2010 Equity Incentive Plan, which grant was exempt from Section 16b pursuant to Rule 16b-3(d).

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Reflects aggregate reporting of multiple open market transactions. The price reported is the weighted average sale price of sales ranging
(4) from \$4.97 to \$5.04 per share. The reporting person hereby undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price.

- (5) Shares attributable to EMCORE Corporation 401(k) account as of June 30, 2012.
- (6) 3,750 restricted stock units vesting on August 22, 2012

Remaining unvested restricted stock units vest in two equal annual installments commencing on August 22, 2013. Not later than 30 days(7) after vesting occurs, vested shares of Emcore common stock, an amount in cash equal to their fair market value or a combination of both will be delivered to the reporting person.

(8) The restricted stock units were awarded to the reporting person for no cash or other similar consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.