

Faulk John H
Form 3
April 03, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

^ Faulk John H

(Last) (First) (Middle)

1929 ALLEN PARKWAY

(Street)

HOUSTON, ^ TX ^ 77019

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/01/2019

3. Issuer Name **and** Ticker or Trading Symbol
SERVICE CORP INTERNATIONAL [SCI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

41,718

D ^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Date Exercisable Expiration
Date

Title Amount or
Number of

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	02/11/2017 ⁽¹⁾	02/11/2022	Common Stock	30,700	\$ 17.405	D	Â
Employee Stock Option (right to buy)	02/10/2018 ⁽²⁾	02/10/2023	Common Stock	27,900	\$ 23	D	Â
Employee Stock Option (right to buy)	05/11/2019 ⁽³⁾	02/09/2024	Common Stock	40,100	\$ 22.28	D	Â
Employee Stock Option (right to buy)	02/07/2020 ⁽⁴⁾	02/07/2025	Common Stock	35,700	\$ 29.25	D	Â
Employee Stock Option (right to buy)	02/13/2021 ⁽⁵⁾	02/13/2026	Common Stock	29,100	\$ 37.53	D	Â
Employee Stock Option (right to buy)	02/20/2022 ⁽⁶⁾	02/20/2027	Common Stock	24,600	\$ 42.63	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Faulk John H 1929 ALLEN PARKWAY HOUSTON, TX 77019	Â	Â	Â Senior Vice President	Â

Signatures

Lori Spilde, Attorney-in-Fact for John H. Faulk 04/03/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These stock options were granted on 02/11/2014 and vested one-third on 02/11/2015, one-third on 02/11/2016, and one-third on 02/11/2017.
- (2) These stock options were granted on 02/10/2015 and vested one-third on 02/10/2016, one-third on 02/10/2017, and one-third on 02/10/2018.
- (3) These stock options were granted on 05/11/2016 and vested one-third on 02/09/2017, one-third on 02/09/2018, and one-third on 02/09/2019.
- (4) These stock options were granted on 02/07/2017 and vested one-third on 02/07/2018 and one-third on 02/07/2019. These stock options are scheduled to vest one-third on 02/07/2020.
- (5) These stock options were granted on 02/13/2018 and vested one-third on 02/13/2019. These stock options are scheduled to vest one-third on 02/13/2020 and one-third on 02/13/2021.
- (6) These stock options were granted on 02/20/2019 and are scheduled to vest one-third on 02/20/2020, one-third on 02/20/2021, and one-third on 02/20/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.