Shaffer David M Form 4 April 02, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shaffer David M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle)

(Street)

EnerSys [ENS] 3. Date of Earliest Transaction

(Month/Day/Year) 03/29/2019

\_X\_\_ Director 10% Owner X\_ Officer (give title

(Check all applicable)

President & CEO

below)

\_ Other (specify

**OMB APPROVAL** 

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January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

2366 BERNVILLE ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

Person

READING, PA 19605

							1 613011				
(City)	(State)	(Zip) Tabl	e I - Non-I	a-Derivative Securities Acq			quired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common Stock	03/29/2019		A	37.0013 (1)	A	\$0	169,386.3324	D			
Common Stock	03/29/2019		A	19.647 (2)	A	\$0	169,406.0071	D			
Common Stock	03/29/2019		A	64.0563 (3)	A	\$0	169,470.0634	D			
Common Stock	03/29/2019		A	23.2259 (4)	A	\$0	169,493.2893	D			
Common Stock	03/29/2019		A	48.6992 (5)	A	\$0	169,541.9885	D			
	03/29/2019		A		A	\$0	169,576.7004	D			

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Common Stock			34.7119 (6)				
Common Stock	03/29/2019	A	38.104 <u>(7)</u>	A	\$0	169,614.8044	D
Common Stock	03/29/2019	A	30.2604 (8)	A	\$0	169,645.0647	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exer Expiration D		7. Titl Amou		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	•	any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	-	Securi	ities	(Instr. 5)	Bene
· ·	Derivative		•		Securities	3		(Instr.	3 and 4)	Ì	Own
	Security				Acquired			Ì	Í		Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
							Expiration Date	Title N	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
•	Director	10% Owner	Officer	Other					
Shaffer David M 2366 BERNVILLE ROAD READING, PA 19605	X		President & CEO						
<b>A1</b>									

## **Signatures**

Karen J. Yodis, by Power of
Attorney

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were granted in the form of Market Share Units ("MSUs"), in connection with the cash dividend paid on March 29, 2019 to to stockholders of record as of March 15, 2019 (the "Dividend"), with respect to 16,517 MSUs granted to the reporting person on May 12, 2015, and adjusted for vesting on May 12, 2018 and previously declared and paid cash dividends. These MSUs will be payable
- 2015, and adjusted for vesting on May 12, 2018 and previously declared and paid cash dividends. These MSUs will be payable concurrently with the underlying MSUs.
- These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 7,378

  (2) unvested RSUs granted to the reporting person on May 16, 2016, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of Performance Share Units ("PSUs"), in connection with the Dividend, with respect to 24,021

  (3) PSUs granted to the reporting person on May 16, 2016, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.
- These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 8,795

  (4) unvested RSUs granted to the reporting person on May 9, 2017, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of PSUs, in connection with the Dividend, with respect to 18,441 PSUs granted to the reporting person on May 9, 2017, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.
- These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 13,303 RSUs granted to the reporting person on August 13, 2018, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.
- These shares were granted in the form of PSUs, in connection with the Dividend, with respect to 11,597 PSUs granted to the reporting person on August 13, 2018, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.
- These shares were granted in the form of PSUs, in connection with the Dividend, with respect to 14,603 PSUs granted to the reporting person on August 13, 2018, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.