

AMERICAN GENERAL LIFE INSURANCE CO
Form 4
January 30, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AMERICAN INTERNATIONAL GROUP INC

2. Issuer Name and Ticker or Trading Symbol
DNP SELECT INCOME FUND INC [DNP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
175 WATER STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/29/2019

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
See Remarks

NEW YORK, NY 10038

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
4.63% Mandatory Redeemable Preferred Shares Series E	01/29/2019		P	660 A	\$ 100,000 660	I	Held through subsidiaries <u>(1)</u>
Floating Rate Mandatory Redeemable Preferred Shares					1,000	I	Held through subsidiaries <u>(2)</u>

Series A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 6)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
AMERICAN INTERNATIONAL GROUP INC 175 WATER STREET NEW YORK, NY 10038				See Remarks
AMERICAN GENERAL LIFE INSURANCE CO 2727-A ALLEN PARKWAY HOUSTON, TX 77019				See Remarks

Signatures

/s/ Geoffrey N. Cornell, Authorized Signatory of American International Group, Inc.	01/30/2019
__Signature of Reporting Person	Date
/s/ Julie A. Cotton-Hearne, Authorized Signatory of American General Life Insurance Co.	01/30/2019
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) American General Life Insurance Company ("AGL"), The United States Life Insurance Company in the City of New York and The Variable Annuity Life Insurance Company, each an indirect wholly owned subsidiary of American International Group, Inc. ("AIG"), directly hold 640 shares, 10 shares and 10 shares, respectively, of the 4.63% Mandatory Redeemable Preferred Shares, Series E, of the Issuer (the "Series E Preferred Shares").
- (2) AGL and American Home Assurance Company, each an indirect wholly owned subsidiary of AIG, directly hold 675 shares and 325 shares, respectively, of the Floating Rate Mandatory Redeemable Preferred Shares, Series A, of the Issuer (the "Series A Preferred Shares" and together with the Series E Preferred Shares, the "Preferred Shares"). As set forth in a notice of redemption dated January 30, 2019, the Issuer will redeem all outstanding Series A Preferred Shares effective March 1, 2019.

Remarks:

Filed pursuant to Section 30(h) of the Investment Company Act of 1940. The Reporting Persons are informed that the referenced

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.