Reizman Elizabeth Form 4 July 24, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Reizman Elizabeth

> (First) (Middle)

504 REDWOOD BOULEVARD, SUITE 100

(Street)

Symbol Bank of Marin Bancorp [BMRC]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

(Month/Day/Year) 12/31/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**NOVATO, CA 94947** 

(City)	(State)	(Zip) Tabl	e I - Non-D	Perivative Se	curiti	es Acqı	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired action(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	12/31/2017		<u>J(1)</u>	230.003	A	\$0	6,954.0173	I	By ESOP
Common Stock	02/09/2018		<u>J(2)</u>	29.0101	A	\$ 0	6,983.0274	I	By ESOP
Common Stock	05/11/2018		<u>J(2)</u>	28.4417	A	\$0	7,011.4691	I	By ESOP
Common Stock	02/09/2018		J(2)	1.6718	A	\$ 0	399.4909	I	By 401(k) Plan
Common Stock	05/11/2018		J <u>(2)</u>	1.6211	A	\$ 0	401.112	I	By 401(k) Plan

By Family Common 10,977 I Stock Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

8. Price Derivat Securit (Instr. 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 22.25					<u>(3)</u>	04/01/2019	Common Stock	700
Stock Options (Right to buy)	\$ 33.1					<u>(3)</u>	04/01/2020	Common Stock	700
Stock Options (Right to buy)	\$ 38					(3)	04/01/2021	Common Stock	700
Stock Options (Right to buy)	\$ 38.18					<u>(3)</u>	04/02/2022	Common Stock	1,100
Stock Options (Right to buy)	\$ 39.35					<u>(3)</u>	04/01/2023	Common Stock	700
Stock	\$ 45.88					(3)	04/01/2024	Common	1,550

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Options (Right to Buy)				Stock	
Stock Options (Right to buy)	\$ 50.75	(3)	03/02/2025	Common Stock	2,600
Stock Options (Right to buy)	\$ 49.65	<u>(4)</u>	03/01/2026	Common Stock	2,750
Stock Options (Right to buy)	\$ 69.6	<u>(4)</u>	03/01/2027	Common Stock	1,850
Stock Options (Right to buy)	\$ 67.15	<u>(4)</u>	03/01/2028	Common Stock	2,060
Stock Options (Right to buy)	\$ 67.15	<u>(5)</u>	03/01/2028	Common Stock	920

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Reizman Elizabeth			Executive			
504 REDWOOD BOULEVARD, SUITE 100			Vice			
NOVATO, CA 94947			President			

# **Signatures**

Nancy R. Boatright, Attorney-in-Fact 07/24/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the 2017 ESOP allocation reflected in reporting owner's account as of 12/31/2017.
- (2) Exempt under Rule 16A-11 Additional shares resulting from dividend reinvestments
- (3) Exercisable 20% per year beginning on first anniversary date of grant
- (4) Exercisable 33% per year beginning on first anniversary date of grant

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(5) Exercisable 33% immediately, then 33% per year on first anniversary date of grant.

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