Bristow Claire H Form 4 May 31, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box subject to

if no longer Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Class A

Class A

Common

Common

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bristow Claire H Issuer Symbol FIRST CITIZENS BANCSHARES (Check all applicable) INC /DE/ [FCNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 4300 SIX FORKS ROAD 03/28/2018 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting RALEIGH, NC 27609 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) (Instr. 4) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class A 255,606 D Common As Class A 10.858 Ι beneficiary of Common Trust

By Spouse

By Son, Peter

M. Bristow,

Jr.

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

I

I

Ι

 $6,737 \frac{(1)}{}$ 

 $1,775 \frac{(1)}{2}$ 

 $10,850^{(1)}$ 

Estimated average

burden hours per

### Edgar Filing: Bristow Claire H - Form 4

Class A Common								By spouse as custodian for Peter Bristow, Jr.
Class A Common						12,533 (1)	I	By spouse as custodian for Charlotte Bristow
Class A Common						12,377 (1)	I	By spouse as custodian for Ella Bristow
Class A Common						6,106 <u>(1)</u>	I	PMB Investments, LLC
Class A Common						2,154 <u>(1)</u>	I	CRB Investments, LLC
Class A Common						2,045 (1)	I	EHB Investments, LLC
Class A Common						19,041 (1)	I	By spouse as trustee for PMB Trust 2011
Class A Common						19,041 (1)	I	By spouse as trustee for CRB Trust 2011
Class A Common						19,041 (1)	I	By spouse as trustee for EHB Trust 2011
Class B Common	03/28/2018	G	V 246	D	\$ 0	82,866	D	
Class B Common						1,250	I	As beneficiary of Trust
Class B Common						438 (1)	I	By spouse
Class B Common						2,080 (1)	I	By spouse as custodian for Peter Bristow, Jr.
						8,350 (1)	I	

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Class B Common									PMB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Charlotte Bristow
Class B Common							8,850 <u>(1)</u>	I	CRB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Ella Bristow
Class B Common							8,710 <u>(1)</u>	I	EHB Investments, LLC
Class B Common	03/28/2018	G	V	82	A	\$ 0	82 (1)	I	By Son. Peter M. Bristown, Jr.
Class B Common	03/28/2018	G	V	82	A	\$ 0	82 (1)	I	By daughter, Charlotte Bristow
Class B Common	03/28/2018	G	V	82	A	\$0	82 (1)	I	By daughter, Ella Bristow

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/ e	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bristow Claire H

4300 SIX FORKS ROAD X

RALEIGH, NC 27609

## **Signatures**

Claire H. Bristow, By: William R. Lathan, Jr.,
Attorney-in-fact
05/24/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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