Grant Rob Form 4 May 29, 2018

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

Expires: January 31, 2005

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| Reporting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SunOpta Inc. [STKL] | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|--------------------|--|---|--|--|
| (Middle)           | 3. Date of Earliest Transaction  | (Check all applicable)  |  |  |
| SUITE 600          | (Month/Day/Year)<br>05/24/2018   | Director 10% Owner X Officer (give title Other (specify below) SVP, Supply Chain  |  |  |
| t)                 | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check   |  |  |
|                    | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  |  |  |
|                    | (Middle) SUITE 600   | Symbol SunOpta Inc. [STKL]  (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  SUITE 600 05/24/2018  4. If Amendment, Date Original |  |  |

| (City)                 | (State) (Z                           | Zip) Table                    | I - Non-De       | erivative S     | Securi | ties Ac      | quired, Disposed o                             | of, or Beneficial         | lly Owned             |
|------------------------|--------------------------------------|-------------------------------|------------------|-----------------|--------|--------------|--|---------------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securi       |        | or           | 5. Amount of Securities                        | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3)             |                                      | any                           | Code             | Disposed of (D) |        | Beneficially | (D) or   | Beneficial                |                       |
|                        |                                      | (Month/Day/Year)              | (Instr. 8)       | (Instr. 3,      | 4 and  | 5)           | Owned  | Indirect (I)              | Ownership             |
|                        |                                      |                               |                  |                 |        |              | Following                                      | (Instr. 4)                | (Instr. 4)            |
|                        |                                      |                               |                  |                 | (A) or |              | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                           |                       |
|                        |                                      |                               | Code V           | Amount          | (D)    | Price        | (1115ti. 3 and 4)                              |                           |                       |
| Common<br>Shares       | 05/24/2018                           |                               | M                | 4,087           | A      | <u>(1)</u>   | 4,087  | D                         |                       |
| Common<br>Shares       | 05/24/2018                           |                               | F                | 959             | D      | \$<br>7.7    | 3,128  | D                         |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | Code   | 5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | Date               | 7. Title and A Underlying S (Instr. 3 and 4 | Securities                             | 8. Pr<br>Deriv<br>Secu<br>(Instr |
|---|---|--------------------------------------|--------|---|--|--------------------|---|--|----------------------------------|
|   |   |                                      | Code V | (A) (D)   | Date<br>Exercisable                          | Expiration<br>Date | Title                                       | Amount<br>or<br>Number<br>of<br>Shares |                                  |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 05/24/2018                           | M      | 4,087   | (2)  | (3)                | Common<br>Shares                            | 4,087                                  | \$                               |

## **Reporting Owners**

| Poporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|                                |               |

Director 10% Owner Officer Other

Grant Rob

7301 OHMS LANE SUITE 600 SVP, Supply Chain

EDINA, MN 55439

### **Signatures**

/s/ Jill Barnett, attorney-in-fact 05/29/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of STKL common stock.
- (2) On May 24, 2017 the reporting person was granted 12.261 Restricted Stock Units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (3) The Restricted Stock Units do not have an expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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