Gough Jeffrey Form 4 May 29, 2018

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or ES IN BENEFICIAL OWNERSHIP OF

Expires:

2005

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0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Adda<br>Gough Jeffrey | •        | ing Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol   | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)                          |  |  |  |
|-----------------------------------|----------|--------------|--|--|--|--|--|
| (Last)                            | (First)  | (Middle)     | SunOpta Inc. [STKL]  3. Date of Earliest Transaction |  |  |  |  |
| 7301 OHMS LANE, SUITE 600         |          |              | (Month/Day/Year)<br>05/24/2018                       | Director 10% Owner _X_ Officer (give title Other (specif below) below) Chief Human Resources Officer |  |  |  |
|                                   | (Street) |              | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person    |  |  |  |
| EDINA, MN 55439                   |          |              |  | Form filed by More than One Reporting<br>Person  |  |  |  |

|               | (City)        | (State) (Z   | Zip) Table       | I - Non-De                                  | erivative S     | ecuri | ties Ac  | quired, Disposed o | of, or Beneficial | lly Owned             |
|---------------|---------------|--|------------------|---|-----------------|-------|--|--------------------|-------------------|-----------------------|
| 1.Tit<br>Secu | le of<br>rity | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if |                  | 3. 4. Securities TransactionAcquired (A) or |                 |       |  | *                  |                   | 7. Nature of Indirect |
| (Inst         | r. 3)         |  | any              | Code  | Disposed of (D) |       | Beneficially                                   | (D) or             | Beneficial        |                       |
|               |               |  | (Month/Day/Year) | (Instr. 8)                                  | (Instr. 3,      | 4 and | 5)   | Owned              | Indirect (I)      | Ownership             |
|               |               |  |                  |   |                 |       |  | Following          | (Instr. 4)        | (Instr. 4)            |
|               |               |  |                  | (A)<br>or                                   |                 |       | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                    |                   |                       |
|               |               |  |                  | Code V                                      | Amount          | (D)   | Price  | (111Str. 3 and 4)  |                   |                       |
| Con<br>Sha    | nmon<br>res   | 05/24/2018   |                  | M   | 3,961           | A     | <u>(1)</u>                                     | 25,969             | D                 |                       |
| Con           | nmon<br>res   | 05/24/2018   |                  | F   | 1,177           | D     | \$<br>7.7                                      | 24,792             | D                 |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

### Edgar Filing: Gough Jeffrey - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | Code   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | Underlying Securities |  | 8. Pr<br>Deriv<br>Secu<br>(Instr |
|---|---|--------------------------------------|--------|---|--|--------------------|-----------------------|--|----------------------------------|
|   |   |                                      | Code V | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares |                                  |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 05/24/2018                           | M      | 3,961   | (2)  | (3)                | Common<br>Shares      | 3,961                                  | \$                               |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gough Jeffrey 7301 OHMS LANE SUITE 600 EDINA, MN 55439

Chief Human Resources Officer

### **Signatures**

/s/ Jill Barnett, attorney-in-fact 05/29/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of STKL common stock.
- (2) On May 24, 2017 the reporting person was granted 11,884 Restricted Stock Units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (3) The Restricted Stock Units do not have an expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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