Turley James S Form 4 October 03, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

Expires:

3235-0287 Number:

**OMB APPROVAL** 

January 31, 2005

0.5

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may continue.

See Instruction

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

1. Name and Address of Reporting Person \* Turley James S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(Instr. 3)

(First)

(Middle)

(Zip)

CITIGROUP INC [C] 3. Date of Earliest Transaction

(Month/Day/Year) 10/01/2017

(Check all applicable)

10% Owner Officer (give title Other (specify

C/O CITIGROUP INC., CORPORATE LAW DEPT., 153 EAST 53RD STREET, 19TH **FLOOR** 

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10022

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired (A) Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned **Following** 

Reported

Transaction(s)

Ownership Form: Direct (D) or Indirect

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

(A) or

Code V (D) Amount 28.7041

(Instr. 3 and 4) Price \$ 6,116.9793 Α 67.946 (2)

(Instr. 4)

(I)

D

See

Common Stock

Common

Stock

10/01/2017

10/01/2017

31.3762 Α (1)

(1)

Α

8,224,4271

Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Turley James S - Form 4

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exer        | cisable and      | 7. Titl    | le and      | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|-------------------|------------|---------------------|------------------|------------|-------------|-------------|--------|
| Derivative  | e Conversion | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D        | ate              | Amou       | ınt of      | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code              | of         | (Month/Day/         | /Year)           | Under      | rlying      | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ  | Derivative          |                  | Securities |             | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |                   | Securities |                     | (Instr. 3 and 4) |            |             | Owne        |        |
|             | Security     |                     |                    |                   | Acquired   |                     |                  |            |             |             | Follo  |
|             |              |                     |                    |                   | (A) or     |                     |                  |            |             |             | Repo   |
|             |              |                     |                    |                   | Disposed   |                     |                  |            |             |             | Trans  |
|             |              |                     |                    |                   | of (D)     |                     |                  |            |             |             | (Instr |
|             |              |                     |                    |                   | (Instr. 3, |                     |                  |            |             |             |        |
|             |              |                     |                    |                   | 4, and 5)  |                     |                  |            |             |             |        |
|             |              |                     |                    |                   |            |                     |                  |            | A           |             |        |
|             |              |                     |                    |                   |            |                     |                  |            | Amount      |             |        |
|             |              |                     |                    |                   |            | Date<br>Exercisable | Expiration Date  | Title      | or<br>Namel |             |        |
|             |              |                     |                    |                   |            |                     |                  |            | Number      |             |        |
|             |              |                     |                    | C-1- V            | (A) (D)    |                     |                  |            | of          |             |        |
|             |              |                     |                    | Code v            | (A) (D)    |                     |                  |            | Shares      |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

Turley James S C/O CITIGROUP INC., CORPORATE LAW DEPT. 153 EAST 53RD STREET, 19TH FLOOR NEW YORK, NY 10022



# **Signatures**

James S. Turley by Joseph B. Wollard, Attorney-in-Fact

10/03/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reinvestment of cash, including dividends and interest, under the Compensation Plan for Non-Employee Directors.
- Represents deferred shares of common stock held by the Issuer for the benefit of the Reporting Person pursuant to the Issuer's **(2)** Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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