

AXIS CAPITAL HOLDINGS LTD

Form 3

March 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Å Ekberg Jan

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/23/2017

3. Issuer Name and Ticker or Trading Symbol

AXIS CAPITAL HOLDINGS LTD [AXS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

BRANDSCHENKESTRASSE 90

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

CEO, AXIS Reinsurance

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

ZURICH, Å V8 Å CH-8002

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Shares

14,934

D

Å

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Shares	3,384	\$ (2)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Shares	3,383	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Shares	3,948	\$ (2)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Shares	6,505	\$ (2)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Shares	15,622	\$ (2)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ekberg Jan BRANDSCHENKESTRASSE 90 ZURICH, V8 CH-8002	Â	Â	Â CEO, AXIS Reinsurance	Â

Signatures

G. Christina Gray-Trefry,
Attorney-in-Fact

03/03/2017

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in two equal annual installments beginning March 1, 2017.
- (2) Upon vesting, each restricted stock unit will be equal in value to one common share (50% of the restricted stock units will be settled in common shares and 50% will be settled in cash).
- (3) The restricted stock units vest in a single installment on March 1, 2017.
- (4) The restricted stock units vest in three equal annual installments beginning March 1, 2017.
- (5) The restricted stock units vest in four equal annual installments beginning March 1, 2017.
- (6) The restricted stock units vest in four equal annual installments beginning March 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.