

CHIMERIX INC

Form 4

December 29, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARIO ERNEST

(Last) (First) (Middle)

**C/O CHIMERIX, INC., 2505
MERIDIAN PARKWAY, SUITE
100**

(Street)

DURHAM, NC 27713

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CHIMERIX INC [CMRX]

3. Date of Earliest Transaction
(Month/Day/Year)
12/27/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	12/27/2016		S ⁽¹⁾		72,073	D	\$ 4.6778 ⁽²⁾
					296,462		
						I ⁽³⁾	
	12/27/2016		S		3,636	D	\$ 4.65
					292,826		
						I ⁽⁴⁾	

By The
Ernest and
Mildred
Mario
Revocable
Trust,
Ernest and
Mildred
Mario,
Trustees

Edgar Filing: CHIMERIX INC - Form 4

Common Stock								By The Ernest and Mildred Mario Revocable Trust, Ernest and Mildred Mario, Trustees
Common Stock	12/28/2016	S	4,000	D	\$ 4.6707 (5)	288,826	I (6)	By The Ernest and Mildred Mario Revocable Trust, Ernest and Mildred Mario, Trustees
Common Stock	12/28/2016	S	20,291	D	\$ 4.516 (7)	268,535	I (8)	By The Ernest and Mildred Mario Revocable Trust, Ernest and Mildred Mario, Trustees
Common Stock						12,905	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans
---	--	---	---	--------------------------------------	---	--	---	---	--

of (D)
(Instr. 3,
4, and 5)

(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
------	---	-----	-----	---------------------	--------------------	-------	--

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MARIO ERNEST
C/O CHIMERIX, INC.
2505 MERIDIAN PARKWAY, SUITE 100 X
DURHAM, NC 27713

Signatures

/s/ Michael Alrutz,
Attorney-in-Fact 12/29/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule10b5-1 trading plan adopted by the reporting person on September 15, 2014.
- (2) The range of sale prices received is \$4.65 to \$4.75. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information will be provided regarding the number of shares purchased or sold at each separate price.
- (3) 72,073 shares held by Reporting Person were inadvertently reported on the prior Form 4 as held directly. Instead an aggregate of 72,073 shares previously reported on Form 4 were held by the Reporting Person indirectly in the name of his trust.
- (4) 3,636 shares held by Reporting Person were inadvertently reported on the prior Form 4 as held directly. Instead an aggregate of 3,636 shares previously reported on Form 4 were held by the Reporting Person indirectly in the name of his trust.
- (5) The range of sale prices received is \$4.67 to \$4.675. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information will be provided regarding the number of shares purchased or sold at each separate price.
- (6) 4,000 shares held by Reporting Person were inadvertently reported on the prior Form 4 as held directly. Instead an aggregate of 4,000 shares previously reported on Form 4 were held by the Reporting Person indirectly in the name of his trust.
- (7) The range of sale prices received is \$4.51 to \$4.5201. Upon request by the SEC staff, the Issuer, or any security holder of the Issuer, full information will be provided regarding the number of shares purchased or sold at each separate price.
- (8) 20,291 shares held by Reporting Person were inadvertently reported on the prior Form 4 as held directly. Instead an aggregate of 20,291 shares previously reported on Form 4 were held by the Reporting Person indirectly in the name of his trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.