#### DUCOMMUN INC /DE/

Form 4

December 02, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

11/30/2016

11/30/2016

Stock

Stock

Common

| 1 M                 | Address of Reporting | D *   |  |               |         |  | 5 Dalatianalia at                            | : D : D                   | (-) 4-                 |
|---------------------|----------------------|---|--|---------------|---------|--|--|---------------------------|------------------------|
| REARDON             |                      | 2. Issuer Name <b>and</b> Ticker or Trading |  |               |         | 5. Relationship of Reporting Person(s) to Issuer |  |                           |                        |
| RE2 IREO1           |                      | Symbol PLICON ALINA INC. (DE LEDCO)         |  |               |         |  |  |                           |                        |
|                     | DUCU.                | DUCOMMUN INC /DE/ [DCO]                     |  |               |         | (Check all applicable)                           |  |                           |                        |
| (Last)              | (First) (I           | Middle) 3. Date o                           | 3. Date of Earliest Transaction                                      |               |         |  |  |                           |                        |
| 22221 1111          | `                    | (Month/Day/Year)                            |  |               |         | _X_ Director                                     |  | Owner                     |                        |
| 23301 WIL           | INUE 11/30/2         | 11/30/2016                                  |  |               |         | X Officer (give title Other (specify below)      |  |                           |                        |
|                     |                      |   |  |               |         |  | Chairma                                      | n, President & C          | CEO                    |
|                     | 4. If Amo            | 4. If Amendment, Date Original              |  |               |         | 6. Individual or Joint/Group Filing(Check        |  |                           |                        |
|                     | Filed(Mo             | Filed(Month/Day/Year)                       |  |               |         | Applicable Line)                                 |  |                           |                        |
|                     |                      |   |  |               |         |  | _X_ Form filed by (                          | 1 0                       |                        |
| CARSON, CA 90745    |                      |   |  |               |         |  | Form filed by More than One Reporting Person |                           |                        |
| (City)              | (State)              | (Zip) Tob                                   | , .  |               | a ·     |  |  | o <b>D</b> of th          |                        |
| (0.0)               | (State)              | Tab   | le I - Non-L   | Derivative S  | Secur   | ities Acqu                                       | uired, Disposed of                           | t, or Beneficial          | ly Owned               |
| 1.Title of          | 2. Transaction Date  |   | 3.   | 4. Securit    |         | -  | 5. Amount of                                 | 6.                        | 7. Nature of           |
| Security (Instr. 3) | (Month/Day/Year)     | Execution Date, if                          | Date, if Transaction(A) or Disposed of (D)  Code (Instr. 3, 4 and 5) |               |         | ` /  | Securities<br>Beneficially                   | Ownership<br>Form: Direct | Indirect<br>Beneficial |
| (IIISU. <i>3)</i>   |                      | any<br>(Month/Day/Year)                     | (Instr. 8)   | (111811. 5, 2 | + and . | ))   | Owned  | (D) or                    | Ownership              |
|                     |                      | (ividiai, 2 aj, 1 car)                      | (1115111-0)  |               |         |  | Following                                    | Indirect (I)              | (Instr. 4)             |
|                     |                      |   |  |               | (A)     |  | Reported                                     | (Instr. 4)                |                        |
|                     |                      |   |  |               | or      |  | Transaction(s)                               |                           |                        |
|                     |                      |   | Code V   | Amount        | (D)     | Price  | (Instr. 3 and 4)                             |                           |                        |
| Common              | 11/30/2016           |   | M  | 10,000        | A       | \$   | 127,924                                      | D                         |                        |
| Stock               | 11/00/2010           |   | 1,1  | 20,000        |         | 21.61  | ,>   | _                         |                        |
| Common              |                      |   |  |               |         |  |  |                           |                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

10,000 A

15,443 D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

122,481

D

D

\$ 9.81 137,924

### Edgar Filing: DUCOMMUN INC /DE/ - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                                    |
|---|---|--------------------------------------|---|---|--|--|--------------------|--|------------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)  | Date Exercisable   | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Share |
| Option -<br>Right to<br>Buy (1)                     | \$ 21.61  | 11/30/2016                           |   | M                                       | 10,000   | 07/27/2012(2)  | 07/26/2018         | Common<br>Stock  | 10,000                             |
| Option -<br>Right to<br>Buy (1)                     | \$ 9.81   | 11/30/2016                           |   | M                                       | 10,000   | 07/01/2013(3)  | 06/30/2019         | Common<br>Stock  | 10,000                             |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
| Renorting Owner Name / Address |               |

Director 10% Owner Officer Other

REARDON ANTHONY J 23301 WILMINGTON AVENUE

3301 WILMINGTON AVENUE X Chairman, President & CEO

CARSON, CA 90745

## **Signatures**

Anthony J. Reardon 12/02/2016

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option represents the right to purchase common stock granted under the Ducommun Incorporated Employee Stock Option Plans, which are Rule 16b-3plans.
- (2) The option vested as to 7,500 shares on each of July 27, 2012, 2013, 2014, 2015.
- (3) The option vested as to 12,500 shares on each of July 1, 2013, 2014, 2015, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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