FINANCIAL INSTITUTIONS INC

Form 3 June 08, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement FINANCIAL INSTITUTIONS INC [FISI] Case David G (Month/Day/Year) 06/03/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 220 LIBERTY STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person WARSAW, NYÂ 14569 (give title below) (specify below) Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 2,809 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
,			(Instr. 4)	J	Price of	Derivative	(Instr. 5)	
	Date Exercisable Expiration	Expiration Date	Title	Amount or	Derivative	Security:		
	Date			Number of	Security	Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	07/26/2007(1)	07/26/2016	Common Stock	1,200	\$ 19.75	D	Â
Stock Option (right to buy)	07/25/2008(2)	07/25/2017	Common Stock	1,200	\$ 19.41	D	Â
Restricted Stock Unit	02/24/2019	02/24/2019	Common Stock	800	\$ <u>(3)</u>	D	Â
Stock Option (right to buy)	07/07/2009(4)	07/07/2018	Common Stock	1,200	\$ 15.85	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Case David G 220 LIBERTY STREET WARSAW, NY 14569	Â	Â	Senior Vice President	Â		

Signatures

/s/ Michael D. Grover, by power of attorney

06/08/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vested in four equal annual installments beginning on July 26, 2007.
- (2) Option vested in four equal annual installments beginning on July 25, 2008.
- (3) Each restricted stock unit represents a contingent right to receive one share of FISI common stock.
- (4) Option vested in four equal annual installments beginning on July 7, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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