

GLOBUS MEDICAL INC
Form 4
June 01, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Payne Steven

(Last) (First) (Middle)

VALLEY FORGE BUSINESS CENTER, 2560 GENERAL ARMISTEAD AVENUE

(Street)

AUDUBON, PA 19403

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GLOBUS MEDICAL INC [GMED]

3. Date of Earliest Transaction (Month/Day/Year)
05/28/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|
| | | | | (A) or (D) | Price | | | |
| | | | | Code | V | Amount | | |
| Class A Common Stock | 05/28/2015 | | M | 3,076 | A | \$ 11.87 | 23,847 | D |
| Class A Common Stock | 05/28/2015 | | S | 3,076 | D | \$ 25.92 | 20,771 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy Class A Common Stock) | \$ 11.87 | 05/28/2015 | | M | 3,076 | ⁽¹⁾ 06/16/2020 | Class A Common Stock | 3,076 |
| Stock Option (Right to Buy Class A Common Stock) | \$ 4.88 | | | | | ⁽²⁾ 08/06/2019 | Class A Common Stock | 3,076 |
| Stock Option (Right to Buy Class A Common Stock) | \$ 10.66 | | | | | ⁽³⁾ 10/27/2021 | Class A Common Stock | 3,076 |
| Stock Option (Right to Buy Class A Common Stock) | \$ 15.34 | | | | | ⁽⁴⁾ 08/29/2022 | Class A Common Stock | 1,500 |
| Stock Option (Right to Buy) | \$ 12.59 | | | | | ⁽⁵⁾ 01/30/2023 | Class A Common Stock | 3,000 |

Class A
Common
Stock)

Stock
Option
(Right to

Buy \$ 23.57

Class A
Common
Stock)

(6)

02/20/2024

Class A
Common Stock 7,500

Stock
Option
(Right to

Buy \$ 24.1

Class A
Common
Stock)

(7)

01/20/2025

Class A
Common Stock 22,500

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Payne Steven
VALLEY FORGE BUSINESS CENTER
2560 GENERAL ARMISTEAD AVENUE
AUDUBON, PA 19403

Chief Accounting Officer

Signatures

/s/ Donald R. Reynolds,
Attorney-in-Fact

06/01/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These options were granted on June 16, 2010, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on
(1) January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

These options were granted on August 6, 2009, and vested over a four-year period with one-fourth (1/4) of the options granted vesting on
(2) January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

These options were granted on October 27, 2011, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on
(3) January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

These options were granted on August 29, 2012, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on
(4) January 1, 2013, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

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- These options were granted on January 30, 2013, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on
- (5) January 1, 2014, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- These options were granted on February 20, 2014, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on
- (6) January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

- These options were granted on January 20, 2015, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on
- (7) January 1, 2016, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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