Edgar Filing: NanoString Technologies Inc - Form 4

NanoString Technologies Inc Form 4 September 04, 2014

September 0	14, 2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL				
	Washington, D.C. 20549							OMB Number:	3235-0287		
Check th if no lon	aer								Expires:	January 31,	
subject to Section Form 4 c	o STATE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES							Estimated a burden hour response	0	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
IOUNCON LANCE A				2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			NanoString Technologies Inc [NSTG]					(Check all applicable)			
(Last)				 Date of Earliest Transaction Month/Day/Year) 			Director 10% Owner X Officer (give title Other (specify				
530 FAIRV N., SUITE	09/02/2014					below) below) Chief Financial Officer					
					endment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SEATTLE, WA 98109									One Reporting Person More than One Reporting		
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	 Executio any 	ned 3. 4. Securiti n Date, if Transactior(A) or Dis Code (Instr. 3, 4 Day/Year) (Instr. 8)			ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	09/02/2014 <u>(2)</u>			Code V A	Amount 1,063 (<u>3)</u>	or	Price \$ 6.6215	Transaction(s) (Instr. 3 and 4) 2,159	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
JOHNSON JAMES A 530 FAIRVIEW AVENUE N. SUITE 2000 SEATTLE, WA 98109			Chief Financial Officer				
Signatures							
/s/ Barbara A. Mery, Attorney-in-fact		09/04/2014	4				
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is voluntarily reporting the acquisition of shares of the issuer's common stock pursuant to the NanoString
 (1) Technologies, Inc. Employee Stock Purchase Plan ("ESPP"), for the ESPP Purchase Period (as defined in the ESPP) of March 3, 2014 through September 2, 2014. This transaction is also exempt under Rule 16b-3(c).
- (2) The Purchase Period ended on September 2, 2014 and is the second of two Purchase Periods comprising the Offering Period (as defined in the ESPP) that began on August 16, 2013 (the "Enrollment Date").
- (3) In accordance with the ESPP, these shares were purchased based on 85% of the closing price of the issuer's common stock on the Enrollment Date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.