

NUVASIVE INC
Form 4
March 12, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FARRELL PETER C

(Last) (First) (Middle)

7475 LUSK BLVD.

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

3. Date of Earliest Transaction (Month/Day/Year)
03/10/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Amount	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/10/2014		M	20,700	A	\$ 10.08		27,794	D	
Common Stock	03/10/2014		M	6,000	A	\$ 19		33,794	D	
Common Stock	03/10/2014		S	26,700 (1)	D	\$ 37.07 (2)		6,794	D	
Common Stock	03/11/2014		M	21,300	A	\$ 10.08		28,094	D	
Common Stock	03/11/2014		S	21,300 (3)	D	\$ 36.64		6,794	D	

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. An or Nu of	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right-to-Buy)	\$ 10.08	03/10/2014		M	15,000	01/03/2005	01/03/2015	Common Stock	15
Stock Option (Right-to-Buy)	\$ 10.08	03/10/2014		M	3,000	01/03/2007	01/03/2015	Common Stock	3
Stock Option (Right-to-Buy)	\$ 10.08	03/10/2014		M	2,700	01/03/2009	01/03/2015	Common Stock	2
Stock Option (Right-to-Buy)	\$ 19	03/10/2014		M	6,000	07/27/2005	07/27/2015	Common Stock	6
Stock Option (Right-to-Buy)	\$ 10.08	03/11/2014		M	21,300	01/03/2009	01/03/2015	Common Stock	21

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FARRELL PETER C 7475 LUSK BLVD. SAN DIEGO, CA 92121		X		

Signatures

/s/ Jason M. Hannon, Attorney-in-Fact for Peter C. Farrell
03/12/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Contemporaneous sale of shares acquired upon the cashless exercise of stock options at exercise prices of \$10.08 and 19.00 per share (as described in Table II).

(2) Weighted average from 23 transactions with prices ranging from \$37.000 to \$37.140. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will undertake to provide full information regarding the number of shares sold at each separate price.

(3) Contemporaneous sale of shares acquired upon the cashless exercise of a stock option at an exercise price of \$10.08 per share.

(4) Weighted average from 30 transactions with prices ranging from \$35.770 to \$37.080. Upon request by the Commission staff, the Issuer or a security holder of the Issuer, the Reporting Person will undertake to provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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