#### Edgar Filing: AMERICAN FINANCIAL GROUP INC - Form 4

#### AMERICAN FINANCIAL GROUP INC

Form 4 July 11, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB
Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

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1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * EVANS JAMES E | 2. Issuer Name <b>and</b> Ticker or Trading Symbol          | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|---|---|---|--|--|
|   | AMERICAN FINANCIAL GROUP<br>INC [AFG]                       | (Check all applicable)  |  |  |
| (Last) (First) (Middle) 301 EAST FOURTH STREET          | 3. Date of Earliest Transaction (Month/Day/Year) 07/09/2013 | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) Senior Vice President        |  |  |
| (Street)  | 4. If Amendment, Date Original Filed(Month/Day/Year)        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |
| CINCINNATI, OH 45202                                    |   | Form filed by More than One Reporting Person  |  |  |

| CINCINNATI, OH 45202                 |   |   |  | Person                                 |        |               |  |  |   |  |
|--------------------------------------|---|---|--|--|--------|---------------|--|--|---|--|
| (City)                               | (State)                                 | (Zip) Tak   | ole I - Non-                           | Derivative                             | Secu   | rities Acquii | ed, Disposed of,   | or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>bor Dispos<br>(Instr. 3, | sed of | ` ′           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 07/09/2013                              |   | S                                      | 4,724<br>(1)                           | D      | \$ 51         | 134,508  | D  |   |  |
| Common<br>Stock                      | 07/09/2013                              |   | M                                      | 20,000                                 | A      | \$<br>26.8933 | 154,508  | D  |   |  |
| Common<br>Stock                      | 07/09/2013                              |   | S                                      | 20,000<br>(1)                          | D      | \$ 51         | 134,508  | D  |   |  |
| Common<br>Stock                      |   |   |  |  |        |               | 10,298 (2)   | I  | ESPP  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|--|--------|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A)  | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>Exercise                         | \$ 26.8933  | 07/09/2013                           |   | M                                      |  | 20,000 | (3)  | 02/22/2016         | Common  | 20,000                              |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |       |  |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|
| <b>F</b>                       | Director      | 10% Owner | Officer   | Other |  |  |  |
| EVANS JAMES E                  |               |           | Senior    |       |  |  |  |
| 301 EAST FOURTH STREET         | X             |           | Vice      |       |  |  |  |
| CINCINNATI, OH 45202           |               |           | President |       |  |  |  |
| Signatures                     |               |           |           |       |  |  |  |

## **Signatures**

James E. Evans By: Karl J. Grafe, as Attorney-in-Fact 07/11/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was made pursuant to a 10b5-1 plan.
- (2) Shares allocated to the Reporting Person's account under the Issuer's Employee Stock Purchase Plan. All ESPP information reporting herein is based on a plan statement dated as of 12/31/2012.
- (3) These Employee Stock Options become exercisable in five equal installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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