## Edgar Filing: BOBINS NORMAN - Form 3

**BOBINS NORMAN** 

Form 3 March 25, 2013

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **BOBINS NORMAN** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/25/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AVIV REIT, INC. [AVIV]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O AVIV REIT, INC., 303 W. MADISON, SUITE 2400

(Street)

\_X\_\_ Director Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

CHICAGO, ILÂ 60606

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Security Number of Shares

Derivative Direct (D) or Indirect

5.

(I)

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						(Instr. 5)	
Stock Option	(1)	09/17/2020	Common Stock	7,365	\$ 16.5645	D	Â
Stock Option	(2)	09/17/2020	Common Stock	14,730	\$ 16.5645	D	Â
Stock Option	(3)	09/30/2020	Common Stock	181	\$ 17.9548	D	Â
Stock Option	(2)	09/30/2020	Common Stock	301	\$ 17.9548	D	Â
Stock Option	(4)	01/04/2021	Common Stock	181	\$ 18.6222	D	Â
Stock Option	(2)	01/04/2021	Common Stock	362	\$ 18.6222	D	Â
Stock Option	(5)	10/28/2021	Common Stock	422	\$ 18.8658	D	Â
Stock Option	(2)	10/28/2021	Common Stock	845	\$ 18.8658	D	Â
Stock Option	(6)	02/10/2022	Common Stock	362	\$ 18.8658	D	Â
Stock Option	(2)	02/10/2022	Common Stock	724	\$ 18.8658	D	Â
Stock Option	(7)	03/28/2022	Common Stock	603	\$ 18.6543	D	Â
Stock Option	(2)	03/28/2022	Common Stock	1,207	\$ 18.6543	D	Â
Stock Option	(8)	07/24/2022	Common Stock	543	\$ 18.6505	D	Â
Stock Option	(2)	07/24/2022	Common Stock	1,086	\$ 18.6505	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BOBINS NORMAN C/O AVIV REIT, INC. 303 W. MADISON, SUITE 2400 CHICAGO Â ILÂ 60606	ÂΧ	Â	Â	Â		

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# **Signatures**

/s/ Samuel H. Kovitz, as attorney-in-fact

03/25/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest in 25% increments on each of the first four anniversaries of September 17, 2010 and shall vest in full upon a liquidity event, including the initial public offering of the common stock of Aviv REIT, Inc. (the "IPO").
- (2) These options vest upon a liquidity event, including IPO, if certain performance thresholds are achieved.
- (3) These options vest in 25% increments on each of the first four anniversaries of September 30, 2010 and shall vest in full upon a liquidity event, including the IPO.
- (4) These options vest in 25% increments on each of the first four anniversaries of January 4, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (5) These options vest in 25% increments on each of the first four anniversaries of October 28, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (6) These options vest in 25% increments on each of the first four anniversaries of February 10, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (7) These options vest in 25% increments on each of the first four anniversaries of March 28, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (8) These options vest in 25% increments on each of the first four anniversaries of July 24, 2012 and shall vest in full upon a liquidity event, including the IPO.

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#### **Remarks:**

## Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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