

Paul David C
Form 3
August 02, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Paul David C

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/02/2012

3. Issuer Name **and** Ticker or Trading Symbol
GLOBUS MEDICAL INC [GMED]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

Chief Executive Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

☐ Form filed by More than One
Reporting Person

VALLEY FORGE BUSINESS
CENTER,Â 2560 GENERAL
ARMISTEAD AVENUE

(Street)

AUDUBON,Â PAÂ 19403

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares			or Indirect (I) (Instr. 5)	
Class B Common Stock (1)	Â (1)	Â (1)	Class A Common Stock	28,033,305 (1)	\$ (1)		D	Â
Class B Common Stock (1)	Â (1)	Â (1)	Class A Common Stock	2,383,636 (1)	\$ (1)		I	By David C. Paul 2010 Grantor Retained Annuity Trust U/A 4/6/10
Stock Option (Right to Buy Class C Common Stock) (2)	Â (3)	08/06/2019	Class C Common Stock (2)	18,461 (2)	\$ 4.88		D	Â
Stock Option (Right to Buy Class C Common Stock) (2)	Â (4)	06/16/2020	Class C Common Stock (2)	18,461 (2)	\$ 11.87		D	Â
Stock Option (Right to Buy Class C Common Stock) (2)	Â (5)	10/27/2021	Class C Common Stock (2)	18,461 (2)	\$ 10.66		D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paul David C VALLEY FORGE BUSINESS CENTER 2560 GENERAL ARMISTEAD AVENUE AUDUBON, PA 19403	Â X	Â X	Â Chief Executive Officer	Â

Signatures

/s/ David P. Creekman,
Attorney-in-Fact

08/02/2012

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- From the date of acquisition, the Class B Common Stock converts into the Company's Class A Common Stock on a one-for-one basis (i) at the option of the holder, (ii) upon certain transfers, (iii) upon the closing of the Company's initial public offering if at that time the
- (1) Class B Common Stock that the holder beneficially owns is less than 10% of the aggregate number of all outstanding shares of common stock, and (iv) immediately if, at any time following the initial public offering of the Company's common stock, the Class B Common Stock that the holder beneficially owns is less than 5% of all outstanding shares of common stock.
 - (2) The Class C Common Stock converts into the Company's Class A Common Stock on a one-for-one basis upon the closing of the Company's initial public offering.
 - (3) These options were granted on August 6, 2009, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2010, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a

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monthly basis over the following 36 months.

These options were granted on June 16, 2010, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on

(4) January 1, 2011, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

These options were granted on October 27, 2011, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on

- (5) January 1, 2012, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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