### HEINEMANN LARRY R

Form 4 June 09, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HEINEMANN LARRY R Symbol UROPLASTY INC [UPI]

(First) (Middle) (Last)

5420 FELTL RD

common

stock

MINNETONKA, MN 55343

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

(Month/Day/Year) 06/05/2009

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

VP of Global Sales

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State) (Zip)

(Street)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Code V Amount

4. Securities (Instr. 3, 4 and 5)

(A)

(D)

5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

D

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Price 47,600

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: HEINEMANN LARRY R - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerci<br>Expiration Da<br>(Month/Day/Y | te                 | 7. Title and 2. Underlying S (Instr. 3 and | Securities                          |
|---|---|---|---|--|---|---|--------------------|--|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                             | Expiration<br>Date | Title                                      | Amount<br>or<br>Number<br>of Shares |
| stock<br>options                                    | \$ 3.15   |   |   |  |   | 06/24/2008                                      | 06/23/2013         | Common<br>Stock                            | 6,667                               |
| stock<br>options                                    | \$ 3.15   |   |   |  |   | 06/24/2009                                      | 06/23/2013         | Common<br>Stock                            | 6,667                               |
| stock<br>options                                    | \$ 3.15   |   |   |  |   | 06/24/2010                                      | 06/23/2013         | Common<br>Stock                            | 6,666                               |
| stock<br>options                                    | \$ 5.3  |   |   |  |   | 12/21/2005                                      | 12/21/2009         | Common<br>Stock                            | 75,000                              |
| stock<br>options                                    | \$ 2.65   |   |   |  |   | 02/02/2009                                      | 02/01/2014         | Common<br>Stock                            | 6,666                               |
| stock<br>options                                    | \$ 2.65   |   |   |  |   | 02/02/2010                                      | 02/01/2014         | Common<br>Stock                            | 3,334                               |
| stock<br>options                                    | \$ 4.31   |   |   |  |   | 07/03/2008                                      | 07/02/2012         | Common<br>Stock                            | 13,334                              |
| stock<br>options                                    | \$ 4.31   |   |   |  |   | 07/03/2009                                      | 07/02/2012         | Common<br>Stock                            | 6,666                               |
| stock<br>options                                    | \$ 0.85   | 06/05/2009                              |   | A                                      | 10,000  | 06/05/2009                                      | 06/04/2014         | Common<br>Stock                            | 10,000                              |
| stock<br>options                                    | \$ 0.85   | 06/05/2009                              |   | A                                      | 10,000  | 06/05/2010                                      | 06/04/2014         | Common<br>Stock                            | 10,000                              |
| Stock<br>Options                                    | \$ 0.85   | 06/05/2009                              |   | A                                      | 10,000  | 06/05/2011                                      | 06/04/2014         | Common<br>Stock                            | 10,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address     | Relationships |           |                    |       |  |  |  |
|------------------------------------|---------------|-----------|--------------------|-------|--|--|--|
|                                    | Director      | 10% Owner | Officer            | Other |  |  |  |
| HEINEMANN LARRY R<br>5420 FELTL RD |               |           | VP of Global Sales |       |  |  |  |
| MINNETONKA, MN 55343               |               |           |                    |       |  |  |  |

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## **Signatures**

Larry Bakeman 06/08/2009

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options that are exercisable within 60 days of June 05, 2009
- (2) Price is not applicable as this is an award with the right to exercise after completing the requisite service period.

#### **Remarks:**

Signature is on behalf of Mr. Heinemann

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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