#### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4

#### UNIVERSAL TECHNICAL INSTITUTE INC

Form 4

August 12, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* PUTNAM EUGENE S JR

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

UNIVERSAL TECHNICAL

5. Relationship of Reporting Person(s) to Issuer

INSTITUTE INC [UTI]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

20410 N. 19TH AVENUE, STE 200 08/11/2008

(Zip)

4. If Amendment, Date Original

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

below) below) **EVP- Chief Financial Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PHOENIX, AZ 85027

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(A) Transaction(s) Amount

(Instr. 3 and 4) (D) Price

Common

value

Stock. 08/11/2008(1) \$0.0001 par

Α

10,133 A \$0 10,133 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right To Buy)	\$ 15.79	08/11/2008(1)		A	22,914	08/11/2009	08/11/2015	Common Stock	22,914

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PUTNAM EUGENE S JR 20410 N. 19TH AVENUE STE 200 PHOENIX, AZ 85027

**EVP- Chief Financial Officer** 

## **Signatures**

Reporting Person

/s/Eugene S
Putnam, Jr

\*\*Signature of Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The grant was approved by UTI's Board of Directors on July 31, 2008 to be granted on the first day of the next open trading window, which was on August 11, 2008. This grant vests in equal installments over four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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