

PEABODY ENERGY CORP
Form 4
April 08, 2008

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
JAMES WILLIAM E

(Last) (First) (Middle)

C/O ROCKPORT CAPITAL PARTNERS, LLC, 160 FEDERAL STREET, 18TH FLOOR

(Street)

BOSTON, MA 02110-1776

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PEABODY ENERGY CORP [BTU]

3. Date of Earliest Transaction (Month/Day/Year)
04/07/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
Common Stock	04/07/2008		M ⁽¹⁾	V	15,000	A	\$ 3.3001 18,296	D
Common Stock	04/07/2008		S ⁽¹⁾		400	D	\$ 59 17,896	D
Common Stock	04/07/2008		S ⁽¹⁾		500	D	\$ 59.27 17,396	D
Common Stock	04/07/2008		S ⁽¹⁾		500	D	\$ 59.285 16,896	D
Common Stock	04/07/2008		S ⁽¹⁾		400	D	\$ 59.29 16,496	D

Edgar Filing: PEABODY ENERGY CORP - Form 4

Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.295	16,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	300	D	\$ 59.3	16,096	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,500	D	\$ 59.31	14,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.32	14,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.33	14,296	D	
Common Stock	04/07/2008	<u>S(1)</u>	300	D	\$ 59.337	13,996	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,200	D	\$ 59.46	12,796	D	
Common Stock	04/07/2008	<u>S(1)</u>	900	D	\$ 59.47	11,896	D	
Common Stock	04/07/2008	<u>S(1)</u>	650	D	\$ 59.57	11,246	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,200	D	\$ 59.58	10,046	D	
Common Stock	04/07/2008	<u>S(1)</u>	4,450	D	\$ 59.59	5,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.6	5,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.61	5,196	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,100	D	\$ 59.62	4,096	D	
Common Stock	04/07/2008	<u>S(1)</u>	500	D	\$ 59.63	3,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.64	3,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.65	3,296	D	
Common Stock						7,520	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: PEABODY ENERGY CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Employee Stock Option (right to buy)	\$ 3.3001	04/07/2008		M ⁽¹⁾	15,000	11/19/2007 05/19/2008	Common Stock 15,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JAMES WILLIAM E C/O ROCKPORT CAPITAL PARTNERS, LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110-1776	X			

Signatures

William E. James By: Kenneth L. Wagner
Attorney-in-Fact 04/08/2008

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise/sale was effected pursuant to a pre-existing Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Not applicable.
- (3) Does not include an additional 31,745 options with different expiration dates and exercise prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.