

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

AllianzGI Equity & Convertible Income Fund  
Form N-PX  
August 28, 2018

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM N-PX

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ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21989

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AllianzGI Equity & Convertible Income Fund  
(Exact name of registrant as specified in charter)

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1633 Broadway, New York, NY 10019  
(Address of Principal Executive Office)

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Allianz Global Investors U.S. LLC  
1633 Broadway  
New York, NY 10019  
(Name and Address of Agent for Service)

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Registrant's telephone number, including area code: 212-739-3000

Date of fiscal year end: January 31

Date of reporting period: July 1, 2017 through June 30, 2018

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Item 1. Proxy Voting Record

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-21989  
Reporting Period: 07/01/2017 - 06/30/2018  
AllianzGI Equity & Convertible Income Fund

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===== AllianzGI Equity & Convertible Income Fund =====

### 3M COMPANY

Ticker:           MMM                   Security ID: 88579Y101  
 Meeting Date: MAY 08, 2018   Meeting Type: Annual  
 Record Date: MAR 13, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Sondra L. Barbour                               | For     | For       | Management  |
| 1b | Elect Director Thomas 'Tony' K. Brown                          | For     | For       | Management  |
| 1c | Elect Director David B. Dillon                                 | For     | For       | Management  |
| 1d | Elect Director Michael L. Eskew                                | For     | Against   | Management  |
| 1e | Elect Director Herbert L. Henkel                               | For     | For       | Management  |
| 1f | Elect Director Amy E. Hood                                     | For     | For       | Management  |
| 1g | Elect Director Muhtar Kent                                     | For     | For       | Management  |
| 1h | Elect Director Edward M. Liddy                                 | For     | For       | Management  |
| 1i | Elect Director Gregory R. Page                                 | For     | For       | Management  |
| 1j | Elect Director Michael F. Roman                                | For     | For       | Management  |
| 1k | Elect Director Inge G. Thulin                                  | For     | Against   | Management  |
| 1l | Elect Director Patricia A. Woertz                              | For     | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Amend Bylaws -- Call Special Meetings                          | Against | For       | Shareholder |
| 5  | Consider Pay Disparity Between Executives and Other Employees  | Against | Against   | Shareholder |

### ABBVIE INC.

Ticker:           ABBV                   Security ID: 00287Y109  
 Meeting Date: MAY 04, 2018   Meeting Type: Annual  
 Record Date: MAR 07, 2018

| #   | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|-----|---|----------|-----------|-------------|
| 1.1 | Elect Director Roxanne S. Austin  | For      | For       | Management  |
| 1.2 | Elect Director Richard A. Gonzalez  | For      | For       | Management  |
| 1.3 | Elect Director Rebecca B. Roberts   | For      | For       | Management  |
| 1.4 | Elect Director Glenn F. Tilton  | For      | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors                                      | For      | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation            | For      | Against   | Management  |
| 4   | Advisory Vote on Say on Pay Frequency                                     | One Year | One Year  | Management  |
| 5   | Declassify the Board of Directors   | For      | For       | Management  |
| 6   | Eliminate Supermajority Vote Requirement to Amend Bylaws                  | For      | For       | Management  |
| 7   | Report on Lobbying Payments and Policy                                    | Against  | For       | Shareholder |
| 8   | Require Independent Board Chairman  | Against  | For       | Shareholder |
| 9   | Report on Integrating Risks Related to Drug Pricing into Senior Executive | Against  | For       | Shareholder |

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## Compensation

### ADOBE SYSTEMS INCORPORATED

Ticker: ADBE Security ID: 00724F101  
 Meeting Date: APR 12, 2018 Meeting Type: Annual  
 Record Date: FEB 14, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Amy L. Banse                                    | For     | For       | Management |
| 1b | Elect Director Edward W. Barnholt                              | For     | Against   | Management |
| 1c | Elect Director Robert K. Burgess                               | For     | Against   | Management |
| 1d | Elect Director Frank A. Calderoni                              | For     | For       | Management |
| 1e | Elect Director James E. Daley                                  | For     | Against   | Management |
| 1f | Elect Director Laura B. Desmond                                | For     | For       | Management |
| 1g | Elect Director Charles M. Geschke                              | For     | Against   | Management |
| 1h | Elect Director Shantanu Narayen                                | For     | Against   | Management |
| 1i | Elect Director Daniel L. Rosensweig                            | For     | For       | Management |
| 1j | Elect Director John E. Warnock                                 | For     | Against   | Management |
| 2  | Amend Omnibus Stock Plan                                       | For     | Against   | Management |
| 3  | Ratify KPMG LLP as Auditors                                    | For     | Against   | Management |
| 4  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |

### ALIBABA GROUP HOLDING LIMITED

Ticker: BABA Security ID: 01609W102  
 Meeting Date: OCT 18, 2017 Meeting Type: Annual  
 Record Date: AUG 18, 2017

| #   | Proposal                                  | Mgt Rec | Vote Cast | Sponsor    |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Jack Yun Ma as Director             | For     | For       | Management |
| 1.2 | Elect Masayoshi Son as Director           | For     | For       | Management |
| 1.3 | Elect Walter Teh Ming Kwauk as Director   | For     | For       | Management |
| 2   | Ratify PricewaterhouseCoopers as Auditors | For     | For       | Management |

### ALLERGAN PLC

Ticker: AGN Security ID: G0177J108  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 06, 2018

| #  | Proposal                                     | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Nesli Basgoz                  | For     | For       | Management |
| 1b | Elect Director Paul M. Bisaro                | For     | Against   | Management |
| 1c | Elect Director Joseph H. Boccuzi             | For     | For       | Management |
| 1d | Elect Director Christopher W. Bodine         | For     | For       | Management |
| 1e | Elect Director Adriane M. Brown              | For     | For       | Management |
| 1f | Elect Director Christopher J. Coughlin       | For     | For       | Management |
| 1g | Elect Director Carol Anthony 'John' Davidson | For     | For       | Management |
| 1h | Elect Director Catherine M. Klema            | For     | For       | Management |

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|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 1i | Elect Director Peter J. McDonnell  | For     | For     | Management  |
| 1j | Elect Director Patrick J. O'Sullivan   | For     | For     | Management  |
| 1k | Elect Director Brenton L. Saunders   | For     | For     | Management  |
| 1l | Elect Director Fred G. Weiss   | For     | Against | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation                               | For     | Against | Management  |
| 3  | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For     | Against | Management  |
| 4  | Authorize Issue of Equity with Pre-emptive Rights  | For     | For     | Management  |
| 5A | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights           | For     | For     | Management  |
| 5B | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights           | For     | For     | Management  |
| 6  | Require Independent Board Chairman   | Against | For     | Shareholder |

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### ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305  
 Meeting Date: JUN 06, 2018 Meeting Type: Annual  
 Record Date: APR 18, 2018

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|------|---|---------|-----------|-------------|
| 1.1  | Elect Director Larry Page   | For     | For       | Management  |
| 1.2  | Elect Director Sergey Brin  | For     | For       | Management  |
| 1.3  | Elect Director Eric E. Schmidt  | For     | For       | Management  |
| 1.4  | Elect Director L. John Doerr  | For     | Withhold  | Management  |
| 1.5  | Elect Director Roger W. Ferguson, Jr.   | For     | For       | Management  |
| 1.6  | Elect Director Diane B. Greene  | For     | For       | Management  |
| 1.7  | Elect Director John L. Hennessy   | For     | Withhold  | Management  |
| 1.8  | Elect Director Ann Mather   | For     | Withhold  | Management  |
| 1.9  | Elect Director Alan R. Mulally  | For     | For       | Management  |
| 1.10 | Elect Director Sundar Pichai  | For     | For       | Management  |
| 1.11 | Elect Director K. Ram Shriram   | For     | Withhold  | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors  | For     | For       | Management  |
| 3    | Amend Omnibus Stock Plan  | For     | Against   | Management  |
| 4    | Approve Recapitalization Plan for all Stock to Have One-vote per Share                                    | Against | For       | Shareholder |
| 5    | Report on Lobbying Payments and Policy  | Against | For       | Shareholder |
| 6    | Report on Gender Pay Gap  | Against | For       | Shareholder |
| 7    | Adopt Simple Majority Vote  | Against | Against   | Shareholder |
| 8    | Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation | Against | For       | Shareholder |
| 9    | Adopt a Policy on Board Diversity   | Against | Against   | Shareholder |
| 10   | Report on Major Global Content Management Controversies   | Against | For       | Shareholder |

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### AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106  
 Meeting Date: MAY 30, 2018 Meeting Type: Annual

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Record Date: APR 05, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Jeffrey P. Bezos                                | For     | For       | Management  |
| 1b | Elect Director Tom A. Alberg                                   | For     | Against   | Management  |
| 1c | Elect Director Jamie S. Gorelick                               | For     | For       | Management  |
| 1d | Elect Director Daniel P. Huttenlocher                          | For     | For       | Management  |
| 1e | Elect Director Judith A. McGrath                               | For     | For       | Management  |
| 1f | Elect Director Jonathan J. Rubinstein                          | For     | For       | Management  |
| 1g | Elect Director Thomas O. Ryder                                 | For     | Against   | Management  |
| 1h | Elect Director Patricia Q. Stonesifer                          | For     | For       | Management  |
| 1i | Elect Director Wendell P. Weeks                                | For     | Against   | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Adopt Policy on Board Diversity<br>*Withdrawn Resolution*      | None    | None      | Shareholder |
| 5  | Require Independent Board Chairman                             | Against | For       | Shareholder |
| 6  | Provide Vote Counting to Exclude Abstentions                   | Against | Against   | Shareholder |

### AMPHENOL CORPORATION

Ticker: APH Security ID: 032095101  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|------|---|---------|-----------|-------------|
| 1.1  | Elect Director Ronald P. Badie                                      | For     | Against   | Management  |
| 1.2  | Elect Director Stanley L. Clark                                     | For     | Against   | Management  |
| 1.3  | Elect Director John D. Craig  | For     | For       | Management  |
| 1.4  | Elect Director David P. Falck                                       | For     | For       | Management  |
| 1.5  | Elect Director Edward G. Jepsen                                     | For     | Against   | Management  |
| 1.6  | Elect Director Martin H. Loeffler                                   | For     | Against   | Management  |
| 1.7  | Elect Director John R. Lord   | For     | Against   | Management  |
| 1.8  | Elect Director R. Adam Norwitt                                      | For     | For       | Management  |
| 1.9  | Elect Director Diana G. Reardon                                     | For     | Against   | Management  |
| 1.10 | Elect Director Anne Clarke Wolff                                    | For     | For       | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors                            | For     | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | Against   | Management  |
| 4    | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |

### APPLE INC.

Ticker: AAPL Security ID: 037833100  
 Meeting Date: FEB 13, 2018 Meeting Type: Annual  
 Record Date: DEC 15, 2017

| #  | Proposal                  | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------|---------|-----------|------------|
| 1a | Elect Director James Bell | For     | For       | Management |
| 1b | Elect Director Tim Cook   | For     | For       | Management |
| 1c | Elect Director Al Gore    | For     | For       | Management |
| 1d | Elect Director Bob Iger   | For     | For       | Management |

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|    |   |         |         |             |
|----|---|---------|---------|-------------|
| 1e | Elect Director Andrea Jung  | For     | For     | Management  |
| 1f | Elect Director Art Levinson                                       | For     | Against | Management  |
| 1g | Elect Director Ron Sugar  | For     | For     | Management  |
| 1h | Elect Director Sue Wagner   | For     | For     | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                              | For     | For     | Management  |
| 3  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For     | Management  |
| 4  | Amend Non-Employee Director Omnibus<br>Stock Plan                 | For     | For     | Management  |
| 5  | Proxy Access Amendments   | Against | For     | Shareholder |
| 6  | Establish Human Rights Committee                                  | Against | Against | Shareholder |

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### BAKER HUGHES, A GE COMPANY

Ticker: BHGE                      Security ID: 05722G100  
Meeting Date: MAY 11, 2018      Meeting Type: Annual  
Record Date: MAR 19, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director W. Geoffrey Beattie                                | For     | For       | Management |
| 1b | Elect Director Gregory D. Brenneman                               | For     | For       | Management |
| 1c | Elect Director Clarence P. Cazalot, Jr.                           | For     | For       | Management |
| 1d | Elect Director Martin S. Craighead                                | For     | For       | Management |
| 1e | Elect Director Lynn L. Elsenhans                                  | For     | For       | Management |
| 1f | Elect Director Jamie S. Miller                                    | For     | For       | Management |
| 1g | Elect Director James J. Mulva                                     | For     | For       | Management |
| 1h | Elect Director John G. Rice                                       | For     | For       | Management |
| 1i | Elect Director Lorenzo Simonelli                                  | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | Against   | Management |
| 3  | Approve Nonqualified Employee Stock<br>Purchase Plan              | For     | For       | Management |
| 4  | Ratify KPMG LLP as Auditors                                       | For     | For       | Management |

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### BANK OF AMERICA CORPORATION

Ticker: BAC                      Security ID: 060505104  
Meeting Date: APR 25, 2018      Meeting Type: Annual  
Record Date: MAR 02, 2018

| #  | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Sharon L. Allen       | For     | For       | Management |
| 1b | Elect Director Susan S. Bies         | For     | For       | Management |
| 1c | Elect Director Jack O. Bovender, Jr. | For     | For       | Management |
| 1d | Elect Director Frank P. Bramble, Sr. | For     | For       | Management |
| 1e | Elect Director Pierre J.P. de Weck   | For     | For       | Management |
| 1f | Elect Director Arnold W. Donald      | For     | Abstain   | Management |
| 1g | Elect Director Linda P. Hudson       | For     | For       | Management |
| 1h | Elect Director Monica C. Lozano      | For     | For       | Management |
| 1i | Elect Director Thomas J. May         | For     | For       | Management |
| 1j | Elect Director Brian T. Moynihan     | For     | For       | Management |
| 1k | Elect Director Lionel L. Nowell, III | For     | For       | Management |
| 1l | Elect Director Michael D. White      | For     | For       | Management |
| 1m | Elect Director Thomas D. Woods       | For     | For       | Management |
| 1n | Elect Director R. David Yost         | For     | For       | Management |
| 1o | Elect Director Maria T. Zuber        | For     | For       | Management |

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|   |  |         |         |             |
|---|--|---------|---------|-------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against | Management  |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | Against | Management  |
| 4 | Require Independent Board Chairman                             | Against | Against | Shareholder |

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### BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109  
 Meeting Date: MAY 08, 2018 Meeting Type: Annual  
 Record Date: MAR 15, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Jose (Joe) E. Almeida                           | For     | Against   | Management  |
| 1b | Elect Director Thomas F. Chen                                  | For     | For       | Management  |
| 1c | Elect Director John D. Forsyth                                 | For     | Against   | Management  |
| 1d | Elect Director James R. Gavin, III                             | For     | Against   | Management  |
| 1e | Elect Director Peter S. Hellman                                | For     | Against   | Management  |
| 1f | Elect Director Munib Islam                                     | For     | For       | Management  |
| 1g | Elect Director Michael F. Mahoney                              | For     | Against   | Management  |
| 1h | Elect Director Stephen N. Oesterle                             | For     | Against   | Management  |
| 1i | Elect Director Carole J. Shapazian                             | For     | Against   | Management  |
| 1j | Elect Director Cathy R. Smith                                  | For     | For       | Management  |
| 1k | Elect Director Thomas T. Stallkamp                             | For     | Against   | Management  |
| 1l | Elect Director Albert P.L. Stroucken                           | For     | Against   | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | Against   | Management  |
| 4  | Require Independent Board Chairman                             | Against | For       | Shareholder |
| 5  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |

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### BIOGEN INC.

Ticker: BIIB Security ID: 09062X103  
 Meeting Date: JUN 12, 2018 Meeting Type: Annual  
 Record Date: APR 17, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Alexander J. Denner                             | For     | For       | Management  |
| 1b | Elect Director Caroline D. Dorsa                               | For     | For       | Management  |
| 1c | Elect Director Nancy L. Leaming                                | For     | For       | Management  |
| 1d | Elect Director Richard C. Mulligan                             | For     | For       | Management  |
| 1e | Elect Director Robert W. Pangia                                | For     | For       | Management  |
| 1f | Elect Director Stelios Papadopoulos                            | For     | For       | Management  |
| 1g | Elect Director Brian S. Posner                                 | For     | For       | Management  |
| 1h | Elect Director Eric K. Rowinsky                                | For     | For       | Management  |
| 1i | Elect Director Lynn Schenk                                     | For     | For       | Management  |
| 1j | Elect Director Stephen A. Sherwin                              | For     | For       | Management  |
| 1k | Elect Director Michel Vounatsos                                | For     | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Amend Proxy Access Right                                       | Against | For       | Shareholder |
| 5  | Report on Integrating Risks Related to                         | Against | For       | Shareholder |

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Drug Pricing into Senior Executive  
Compensation

### BRISTOL-MYERS SQUIBB COMPANY

Ticker:            BMY                    Security ID: 110122108  
Meeting Date: MAY 01, 2018    Meeting Type: Annual  
Record Date: MAR 14, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1A | Elect Director Peter J. Arduini  | For     | For       | Management  |
| 1B | Elect Director Jose Baselga  | For     | For       | Management  |
| 1C | Elect Director Robert J. Bertolini   | For     | For       | Management  |
| 1D | Elect Director Giovanni Caforio  | For     | For       | Management  |
| 1E | Elect Director Matthew W. Emmens   | For     | For       | Management  |
| 1F | Elect Director Michael Grobstein   | For     | For       | Management  |
| 1G | Elect Director Alan J. Lacy  | For     | For       | Management  |
| 1H | Elect Director Dinesh C. Paliwal   | For     | Against   | Management  |
| 1I | Elect Director Theodore R. Samuels   | For     | For       | Management  |
| 1J | Elect Director Gerald L. Storch  | For     | For       | Management  |
| 1K | Elect Director Vicki L. Sato   | For     | For       | Management  |
| 1L | Elect Director Karen H. Vousden  | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation                            | For     | Against   | Management  |
| 3  | Ratify Deloitte & Touche LLP as<br>Auditors  | For     | For       | Management  |
| 4  | Report on Integrating Risks Related to<br>Drug Pricing into Senior Executive<br>Compensation | Against | For       | Shareholder |
| 5  | Reduce Ownership Threshold for<br>Shareholders to Call Special Meeting                       | Against | For       | Shareholder |

### BROADCOM LIMITED

Ticker:            AVGO                    Security ID: Y09827109  
Meeting Date: MAR 23, 2018    Meeting Type: Court  
Record Date: MAR 05, 2018

| # | Proposal                      | Mgt Rec | Vote Cast | Sponsor    |
|---|-------------------------------|---------|-----------|------------|
| 1 | Approve Scheme of Arrangement | For     | For       | Management |

### BROADCOM LIMITED

Ticker:            AVGO                    Security ID: Y09827109  
Meeting Date: APR 04, 2018    Meeting Type: Annual  
Record Date: FEB 12, 2018

| #  | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Hock E. Tan         | For     | For       | Management |
| 1b | Elect Director James V. Diller     | For     | For       | Management |
| 1c | Elect Director Gayla J. Delly      | For     | For       | Management |
| 1d | Elect Director Lewis C. Eggebrecht | For     | For       | Management |
| 1e | Elect Director Kenneth Y. Hao      | For     | For       | Management |



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|    |  |     |         |            |
|----|--|-----|---------|------------|
| 1f | Elect Director Eddy W. Hartenstein   | For | For     | Management |
| 1g | Elect Director Check Kian Low  | For | For     | Management |
| 1h | Elect Director Donald Macleod  | For | For     | Management |
| 1i | Elect Director Peter J. Marks  | For | For     | Management |
| 1j | Elect Director Henry Samueli   | For | For     | Management |
| 2  | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For     | Management |
| 3  | Approve Issuance of Shares With or Without Preemptive Rights                                 | For | Against | Management |
| 4  | Advisory Vote to Ratify Named Executive Officers' Compensation                               | For | Against | Management |

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### CATERPILLAR INC.

Ticker: CAT Security ID: 149123101  
 Meeting Date: JUN 13, 2018 Meeting Type: Annual  
 Record Date: APR 16, 2018

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|------|---|---------|-----------|-------------|
| 1.1  | Elect Director Kelly A. Ayotte                                      | For     | For       | Management  |
| 1.2  | Elect Director David L. Calhoun                                     | For     | Against   | Management  |
| 1.3  | Elect Director Daniel M. Dickinson                                  | For     | For       | Management  |
| 1.4  | Elect Director Juan Gallardo  | For     | For       | Management  |
| 1.5  | Elect Director Dennis A. Muilenburg                                 | For     | For       | Management  |
| 1.6  | Elect Director William A. Osborn                                    | For     | Against   | Management  |
| 1.7  | Elect Director Debra L. Reed  | For     | Against   | Management  |
| 1.8  | Elect Director Edward B. Rust, Jr.                                  | For     | For       | Management  |
| 1.9  | Elect Director Susan C. Schwab                                      | For     | For       | Management  |
| 1.10 | Elect Director D. James Umpleby, III                                | For     | For       | Management  |
| 1.11 | Elect Director Miles D. White                                       | For     | Against   | Management  |
| 1.12 | Elect Director Rayford Wilkins, Jr.                                 | For     | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers as Auditors                           | For     | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | Against   | Management  |
| 4    | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |
| 5    | Amend Compensation Clawback Policy                                  | Against | For       | Shareholder |
| 6    | Require Director Nominee with Human Rights Experience               | Against | Against   | Shareholder |

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### COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101  
 Meeting Date: JUN 11, 2018 Meeting Type: Annual  
 Record Date: APR 06, 2018

| #   | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Kenneth J. Bacon     | For     | Withhold  | Management |
| 1.2 | Elect Director Madeline S. Bell     | For     | For       | Management |
| 1.3 | Elect Director Sheldon M. Bonovitz  | For     | For       | Management |
| 1.4 | Elect Director Edward D. Breen      | For     | For       | Management |
| 1.5 | Elect Director Gerald L. Hassell    | For     | For       | Management |
| 1.6 | Elect Director Jeffrey A. Honickman | For     | For       | Management |
| 1.7 | Elect Director Maritza G. Montiel   | For     | For       | Management |

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|      |  |         |         |             |
|------|--|---------|---------|-------------|
| 1.8  | Elect Director Asuka Nakahara                                  | For     | For     | Management  |
| 1.9  | Elect Director David C. Novak                                  | For     | For     | Management  |
| 1.10 | Elect Director Brian L. Roberts                                | For     | For     | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors                       | For     | Against | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against | Management  |
| 4    | Report on Lobbying Payments and Policy                         | Against | For     | Shareholder |

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### COSTCO WHOLESALE CORPORATION

Ticker: COST Security ID: 22160K105  
 Meeting Date: JAN 30, 2018 Meeting Type: Annual  
 Record Date: NOV 24, 2017

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Kenneth D. Denman                               | For     | For       | Management  |
| 1.2 | Elect Director W. Craig Jelinek                                | For     | For       | Management  |
| 1.3 | Elect Director Jeffrey S. Raikes                               | For     | For       | Management  |
| 2   | Ratify KPMG LLP as Auditors                                    | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4   | Adopt Simple Majority Vote                                     | Against | For       | Shareholder |
| 5   | Adopt Policy Regarding Prison Labor                            | Against | For       | Shareholder |

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### D.R. HORTON, INC.

Ticker: DHI Security ID: 23331A109  
 Meeting Date: JAN 24, 2018 Meeting Type: Annual  
 Record Date: NOV 27, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Donald R. Horton                                | For      | Against   | Management |
| 1b | Elect Director Barbara K. Allen                                | For      | For       | Management |
| 1c | Elect Director Brad S. Anderson                                | For      | Against   | Management |
| 1d | Elect Director Michael R. Buchanan                             | For      | Against   | Management |
| 1e | Elect Director Michael W. Hewatt                               | For      | Against   | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 4  | Amend Executive Incentive Bonus Plan                           | For      | For       | Management |
| 5  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management |

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### DEERE & COMPANY

Ticker: DE Security ID: 244199105  
 Meeting Date: FEB 28, 2018 Meeting Type: Annual  
 Record Date: DEC 29, 2017

| #  | Proposal                        | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------|---------|-----------|------------|
| 1a | Elect Director Samuel R. Allen  | For     | Against   | Management |
| 1b | Elect Director Vance D. Coffman | For     | Against   | Management |

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|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 1c | Elect Director Alan C. Heuberger                               | For     | For     | Management  |
| 1d | Elect Director Charles O. Holliday, Jr.                        | For     | For     | Management  |
| 1e | Elect Director Dipak C. Jain                                   | For     | Against | Management  |
| 1f | Elect Director Michael O. Johanns                              | For     | For     | Management  |
| 1g | Elect Director Clayton M. Jones                                | For     | For     | Management  |
| 1h | Elect Director Brian M. Krzanich                               | For     | For     | Management  |
| 1i | Elect Director Gregory R. Page                                 | For     | For     | Management  |
| 1j | Elect Director Sherry M. Smith                                 | For     | For     | Management  |
| 1k | Elect Director Dmitri L. Stockton                              | For     | For     | Management  |
| 1l | Elect Director Sheila G. Talton                                | For     | For     | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against | Management  |
| 3  | Amend Executive Incentive Bonus Plan                           | For     | For     | Management  |
| 4  | Ratify Deloitte & Touche LLP as Auditors                       | For     | Against | Management  |
| 5  | Amend Bylaws-- Call Special Meetings                           | Against | For     | Shareholder |

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DOWDUPONT INC.

Ticker: DWDP Security ID: 26078J100  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: FEB 26, 2018

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Lamberto Andreotti   | For      | For       | Management  |
| 1b | Elect Director James A. Bell  | For      | Against   | Management  |
| 1c | Elect Director Edward D. Breen  | For      | For       | Management  |
| 1d | Elect Director Robert A. Brown  | For      | For       | Management  |
| 1e | Elect Director Alexander M. Cutler  | For      | For       | Management  |
| 1f | Elect Director Jeff M. Fettig   | For      | For       | Management  |
| 1g | Elect Director Marillyn A. Hewson   | For      | For       | Management  |
| 1h | Elect Director Lois D. Juliber  | For      | For       | Management  |
| 1i | Elect Director Andrew N. Liveris  | For      | For       | Management  |
| 1j | Elect Director Raymond J. Milchovich  | For      | For       | Management  |
| 1k | Elect Director Paul Polman  | For      | For       | Management  |
| 1l | Elect Director Dennis H. Reilley  | For      | For       | Management  |
| 1m | Elect Director James M. Ringler   | For      | Against   | Management  |
| 1n | Elect Director Ruth G. Shaw   | For      | For       | Management  |
| 1o | Elect Director Lee M. Thomas  | For      | For       | Management  |
| 1p | Elect Director Patrick J. Ward  | For      | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation  | For      | Against   | Management  |
| 3  | Advisory Vote on Say on Pay Frequency   | One Year | One Year  | Management  |
| 4  | Ratify Deloitte & Touche LLP as Auditors  | For      | Against   | Management  |
| 5  | Eliminate Supermajority Vote Requirement  | Against  | For       | Shareholder |
| 6  | Report on Pay Disparity   | Against  | Against   | Shareholder |
| 7  | Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation | Against  | For       | Shareholder |
| 8  | Report on Impact of the Bhopal Chemical Explosion   | Against  | Against   | Shareholder |
| 9  | Reduce Ownership Threshold for Shareholders to Call Special Meeting                                       | Against  | For       | Shareholder |

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## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

EXELON CORPORATION

Ticker: EXC Security ID: 30161N101  
 Meeting Date: MAY 01, 2018 Meeting Type: Annual  
 Record Date: MAR 02, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Anthony K. Anderson                             | For     | For       | Management |
| 1b | Elect Director Ann C. Berzin                                   | For     | For       | Management |
| 1c | Elect Director Christopher M. Crane                            | For     | For       | Management |
| 1d | Elect Director Yves C. de Balmann                              | For     | For       | Management |
| 1e | Elect Director Nicholas DeBenedictis                           | For     | For       | Management |
| 1f | Elect Director Linda P. Jojo                                   | For     | For       | Management |
| 1g | Elect Director Paul L. Joskow                                  | For     | For       | Management |
| 1h | Elect Director Robert J. Lawless                               | For     | For       | Management |
| 1i | Elect Director Richard W. Mies                                 | For     | For       | Management |
| 1j | Elect Director John W. Rogers, Jr.                             | For     | Against   | Management |
| 1k | Elect Director Mayo A. Shattuck, III                           | For     | For       | Management |
| 1l | Elect Director Stephen D. Steinour                             | For     | Against   | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |

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 FACEBOOK, INC.

Ticker: FB Security ID: 30303M102  
 Meeting Date: MAY 31, 2018 Meeting Type: Annual  
 Record Date: APR 06, 2018

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Marc L. Andreessen                                      | For     | For       | Management  |
| 1.2 | Elect Director Erskine B. Bowles                                       | For     | For       | Management  |
| 1.3 | Elect Director Kenneth I. Chenault                                     | For     | For       | Management  |
| 1.4 | Elect Director Susan D. Desmond-Hellmann                               | For     | For       | Management  |
| 1.5 | Elect Director Reed Hastings   | For     | For       | Management  |
| 1.6 | Elect Director Jan Koum - Withdrawn Resolution                         | None    | None      | Management  |
| 1.7 | Elect Director Sheryl K. Sandberg                                      | For     | For       | Management  |
| 1.8 | Elect Director Peter A. Thiel  | For     | For       | Management  |
| 1.9 | Elect Director Mark Zuckerberg   | For     | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors                                   | For     | For       | Management  |
| 3   | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For       | Shareholder |
| 4   | Establish Board Committee on Risk Management                           | Against | For       | Shareholder |
| 5   | Adopt Simple Majority Vote   | Against | For       | Shareholder |
| 6   | Report on Major Global Content Management Controversies (Fake News)    | Against | For       | Shareholder |
| 7   | Report on Gender Pay Gap   | Against | For       | Shareholder |
| 8   | Report on Responsible Tax Principles                                   | Against | Against   | Shareholder |

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 FLUOR CORPORATION

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Ticker: FLR Security ID: 343412102  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 05, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1A | Elect Director Peter K. Barker                                 | For     | For       | Management  |
| 1B | Elect Director Alan M. Bennett                                 | For     | For       | Management  |
| 1C | Elect Director Rosemary T. Berkery                             | For     | For       | Management  |
| 1D | Elect Director Peter J. Fluor                                  | For     | Against   | Management  |
| 1E | Elect Director James T. Hackett                                | For     | Against   | Management  |
| 1F | Elect Director Samuel J. Locklear, III                         | For     | For       | Management  |
| 1G | Elect Director Deborah D. McWhinney                            | For     | For       | Management  |
| 1H | Elect Director Armando J. Olivera                              | For     | For       | Management  |
| 1I | Elect Director Matthew K. Rose                                 | For     | For       | Management  |
| 1J | Elect Director David T. Seaton                                 | For     | Against   | Management  |
| 1K | Elect Director Nader H. Sultan                                 | For     | For       | Management  |
| 1L | Elect Director Lynn C. Swann                                   | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 3  | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management  |
| 4  | Adopt Quantitative Company-wide GHG Goals                      | Against | For       | Shareholder |

### FORD MOTOR COMPANY

Ticker: F Security ID: 345370860  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 14, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Stephen G. Butler                                       | For     | Against   | Management  |
| 1b | Elect Director Kimberly A. Casiano                                     | For     | Against   | Management  |
| 1c | Elect Director Anthony F. Earley, Jr.                                  | For     | For       | Management  |
| 1d | Elect Director Edsel B. Ford, II                                       | For     | For       | Management  |
| 1e | Elect Director William Clay Ford, Jr.                                  | For     | For       | Management  |
| 1f | Elect Director James P. Hackett  | For     | For       | Management  |
| 1g | Elect Director William W. Helman, IV                                   | For     | For       | Management  |
| 1h | Elect Director William E. Kennard                                      | For     | For       | Management  |
| 1i | Elect Director John C. Lechleiter                                      | For     | For       | Management  |
| 1j | Elect Director Ellen R. Marram   | For     | Against   | Management  |
| 1k | Elect Director John L. Thornton  | For     | For       | Management  |
| 1l | Elect Director John B. Veihmeyer                                       | For     | For       | Management  |
| 1m | Elect Director Lynn M. Vojvodich                                       | For     | For       | Management  |
| 1n | Elect Director John S. Weinberg  | For     | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                          | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation         | For     | Against   | Management  |
| 4  | Approve Omnibus Stock Plan   | For     | Against   | Management  |
| 5  | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For       | Shareholder |
| 6  | Report on Lobbying Payments and Policy                                 | Against | For       | Shareholder |
| 7  | Report on Fleet GHG Emissions in Relation to CAFE Standards            | Against | For       | Shareholder |
| 8  | Transparent Political Spending   | Against | For       | Shareholder |

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GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: FEB 26, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1  | Elect Director Sebastien M. Bazin                              | For     | Against   | Management  |
| 2  | Elect Director W. Geoffrey Beattie                             | For     | For       | Management  |
| 3  | Elect Director John J. Brennan                                 | For     | For       | Management  |
| 4  | Elect Director H. Lawrence Culp, Jr.                           | For     | For       | Management  |
| 5  | Elect Director Francisco D'Souza                               | For     | For       | Management  |
| 6  | Elect Director John L. Flannery                                | For     | For       | Management  |
| 7  | Elect Director Edward P. Garden                                | For     | For       | Management  |
| 8  | Elect Director Thomas W. Horton                                | For     | For       | Management  |
| 9  | Elect Director Risa Lavizzo-Mourey                             | For     | For       | Management  |
| 10 | Elect Director James J. Mulva                                  | For     | For       | Management  |
| 11 | Elect Director Leslie F. Seidman                               | For     | For       | Management  |
| 12 | Elect Director James S. Tisch                                  | For     | Against   | Management  |
| 13 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 14 | Amend Nonqualified Employee Stock Purchase Plan                | For     | For       | Management  |
| 15 | Ratify KPMG LLP as Auditors                                    | For     | Against   | Management  |
| 16 | Require Independent Board Chairman                             | Against | For       | Shareholder |
| 17 | Provide for Cumulative Voting                                  | Against | Against   | Shareholder |
| 18 | Adjust Executive Compensation Metrics for Share Buybacks       | Against | Against   | Shareholder |
| 19 | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |
| 20 | Report on Stock Buybacks                                       | Against | Against   | Shareholder |
| 21 | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |

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GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103  
 Meeting Date: MAY 09, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John F. Cogan                                   | For     | Against   | Management  |
| 1b | Elect Director Jacqueline K. Barton                            | For     | For       | Management  |
| 1c | Elect Director Kelly A. Kramer                                 | For     | Against   | Management  |
| 1d | Elect Director Kevin E. Lofton                                 | For     | Against   | Management  |
| 1e | Elect Director John C. Martin                                  | For     | Against   | Management  |
| 1f | Elect Director John F. Milligan                                | For     | For       | Management  |
| 1g | Elect Director Richard J. Whitley                              | For     | Against   | Management  |
| 1h | Elect Director Gayle E. Wilson                                 | For     | Against   | Management  |
| 1i | Elect Director Per Wold-Olsen                                  | For     | Against   | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Require Independent Board Chairman                             | Against | For       | Shareholder |
| 5  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |

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HONEYWELL INTERNATIONAL INC.

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Ticker: HON Security ID: 438516106  
 Meeting Date: APR 23, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1A | Elect Director Darius Adamczyk                                      | For     | Against   | Management  |
| 1B | Elect Director Duncan B. Angove                                     | For     | For       | Management  |
| 1C | Elect Director William S. Ayer                                      | For     | Against   | Management  |
| 1D | Elect Director Kevin Burke  | For     | Against   | Management  |
| 1E | Elect Director Jaime Chico Pardo                                    | For     | Against   | Management  |
| 1F | Elect Director D. Scott Davis                                       | For     | Against   | Management  |
| 1G | Elect Director Linnet F. Deily                                      | For     | Against   | Management  |
| 1H | Elect Director Judd Gregg   | For     | Against   | Management  |
| 1I | Elect Director Clive Hollick  | For     | Against   | Management  |
| 1J | Elect Director Grace D. Lieblein                                    | For     | Against   | Management  |
| 1K | Elect Director George Paz   | For     | Against   | Management  |
| 1L | Elect Director Robin L. Washington                                  | For     | Against   | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | Against   | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                            | For     | For       | Management  |
| 4  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | For     | For       | Management  |
| 5  | Require Independent Board Chairman                                  | Against | For       | Shareholder |
| 6  | Report on Lobbying Payments and Policy                              | Against | For       | Shareholder |

### INTEL CORPORATION

Ticker: INTC Security ID: 458140100  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Aneel Bhusri                                    | For     | For       | Management  |
| 1b | Elect Director Andy D. Bryant                                  | For     | For       | Management  |
| 1c | Elect Director Reed E. Hundt                                   | For     | For       | Management  |
| 1d | Elect Director Omar Ishrak                                     | For     | For       | Management  |
| 1e | Elect Director Brian M. Krzanich                               | For     | For       | Management  |
| 1f | Elect Director Risa Lavizzo-Mourey                             | For     | For       | Management  |
| 1g | Elect Director Tsu-Jae King Liu                                | For     | For       | Management  |
| 1h | Elect Director Gregory D. Smith                                | For     | For       | Management  |
| 1i | Elect Director Andrew Wilson                                   | For     | For       | Management  |
| 1j | Elect Director Frank D. Yeary                                  | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |
| 5  | Require Independent Board Chairman                             | Against | For       | Shareholder |
| 6  | Report on Costs and Benefits of Political Contributions        | Against | Against   | Shareholder |

### INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101  
 Meeting Date: APR 24, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

| #    | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|------|---|---------|-----------|-------------|
| 1.1  | Elect Director Kenneth I. Chenault                                  | For     | For       | Management  |
| 1.2  | Elect Director Michael L. Eskew                                     | For     | Against   | Management  |
| 1.3  | Elect Director David N. Farr  | For     | For       | Management  |
| 1.4  | Elect Director Alex Gorsky  | For     | For       | Management  |
| 1.5  | Elect Director Shirley Ann Jackson                                  | For     | For       | Management  |
| 1.6  | Elect Director Andrew N. Liveris                                    | For     | For       | Management  |
| 1.7  | Elect Director Hutham S. Olayan                                     | For     | For       | Management  |
| 1.8  | Elect Director James W. Owens                                       | For     | For       | Management  |
| 1.9  | Elect Director Virginia M. Rometty                                  | For     | Against   | Management  |
| 1.10 | Elect Director Joseph R. Swedish                                    | For     | Against   | Management  |
| 1.11 | Elect Director Sidney Taurel  | For     | For       | Management  |
| 1.12 | Elect Director Peter R. Voser                                       | For     | For       | Management  |
| 1.13 | Elect Director Frederick H. Waddell                                 | For     | Against   | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                       | For     | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | Abstain   | Management  |
| 4    | Report on Lobbying Payments and Policy                              | Against | For       | Shareholder |
| 5    | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |
| 6    | Require Independent Board Chairman                                  | Against | For       | Shareholder |

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### INTUITIVE SURGICAL, INC.

Ticker: ISRG Security ID: 46120E602  
 Meeting Date: APR 19, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Craig H. Barratt                                | For     | Against   | Management |
| 1b | Elect Director Michael A. Friedman                             | For     | Against   | Management |
| 1c | Elect Director Gary S. Guthart                                 | For     | For       | Management |
| 1d | Elect Director Amal M. Johnson                                 | For     | Against   | Management |
| 1e | Elect Director Keith R. Leonard, Jr.                           | For     | Against   | Management |
| 1f | Elect Director Alan J. Levy                                    | For     | Against   | Management |
| 1g | Elect Director Jami Dover Nachtsheim                           | For     | Against   | Management |
| 1h | Elect Director Mark J. Rubash                                  | For     | Against   | Management |
| 1i | Elect Director Lonnie M. Smith                                 | For     | Against   | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |

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### JOHNSON CONTROLS INTERNATIONAL PLC

Ticker: JCI Security ID: G51502105  
 Meeting Date: MAR 07, 2018 Meeting Type: Annual  
 Record Date: JAN 03, 2018

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Michael E. Daniels   | For     | For       | Management |
| 1b | Elect Director W. Roy Dunbar        | For     | For       | Management |
| 1c | Elect Director Brian Duperreault    | For     | For       | Management |
| 1d | Elect Director Gretchen R. Haggerty | For     | For       | Management |



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|    |  |     |         |            |
|----|--|-----|---------|------------|
| 1e | Elect Director Simone Menne                                    | For | For     | Management |
| 1f | Elect Director George R. Oliver                                | For | For     | Management |
| 1g | Elect Director Juan Pablo del Valle Perochena                  | For | For     | Management |
| 1h | Elect Director Jurgen Tinggren                                 | For | For     | Management |
| 1i | Elect Director Mark Vergnano                                   | For | For     | Management |
| 1j | Elect Director R. David Yost                                   | For | For     | Management |
| 1k | Elect Director John D. Young                                   | For | For     | Management |
| 2a | Ratify PricewaterhouseCoopers LLP as Auditors                  | For | Against | Management |
| 2b | Authorize Board to Fix Remuneration of Auditors                | For | For     | Management |
| 3  | Authorize Market Purchases of Company Shares                   | For | For     | Management |
| 4  | Determine Price Range for Reissuance of Treasury Shares        | For | For     | Management |
| 5  | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 6  | Approve the Directors' Authority to Allot Shares               | For | For     | Management |
| 7  | Approve the Disapplication of Statutory Pre-Emption Rights     | For | For     | Management |
| 8a | Approve Cancellation of Share Premium Account                  | For | For     | Management |
| 8b | Approve Amendment of Articles to Facilitate Capital Reduction  | For | For     | Management |

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JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100

Meeting Date: MAY 15, 2018 Meeting Type: Annual

Record Date: MAR 16, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Linda B. Bammann  | For     | Against   | Management  |
| 1b | Elect Director James A. Bell   | For     | For       | Management  |
| 1c | Elect Director Stephen B. Burke  | For     | Against   | Management  |
| 1d | Elect Director Todd A. Combs   | For     | For       | Management  |
| 1e | Elect Director James S. Crown  | For     | Against   | Management  |
| 1f | Elect Director James Dimon   | For     | Against   | Management  |
| 1g | Elect Director Timothy P. Flynn  | For     | For       | Management  |
| 1h | Elect Director Mellody Hobson  | For     | Against   | Management  |
| 1i | Elect Director Laban P. Jackson, Jr.   | For     | Against   | Management  |
| 1j | Elect Director Michael A. Neal   | For     | For       | Management  |
| 1k | Elect Director Lee R. Raymond  | For     | Against   | Management  |
| 1l | Elect Director William C. Weldon   | For     | Against   | Management  |
| 2  | Ratify Existing Ownership Threshold for Shareholders to Call Special Meeting | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation               | For     | Against   | Management  |
| 4  | Amend Omnibus Stock Plan   | For     | Against   | Management  |
| 5  | Ratify PricewaterhouseCoopers LLP as Auditors                                | For     | Against   | Management  |
| 6  | Require Independent Board Chairman   | Against | For       | Shareholder |
| 7  | Prohibit Accelerated Vesting of Awards to Pursue Government Service          | Against | For       | Shareholder |
| 8  | Institute Procedures to Prevent Investments in Companies that                | Against | For       | Shareholder |

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|   |  |         |         |             |
|---|--|---------|---------|-------------|
|   | Contribute to Genocide or Crimes<br>Against Humanity |         |         |             |
| 9 | Restore or Provide for Cumulative<br>Voting          | Against | Against | Shareholder |

### MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101  
 Meeting Date: MAY 24, 2018 Meeting Type: Annual  
 Record Date: MAR 26, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Lloyd Dean   | For     | For       | Management  |
| 1b | Elect Director Stephen Easterbrook                                | For     | For       | Management  |
| 1c | Elect Director Robert Eckert                                      | For     | Against   | Management  |
| 1d | Elect Director Margaret (Margo)<br>Georgiadis                     | For     | For       | Management  |
| 1e | Elect Director Enrique Hernandez, Jr.                             | For     | Against   | Management  |
| 1f | Elect Director Jeanne Jackson                                     | For     | Against   | Management  |
| 1g | Elect Director Richard Lenny                                      | For     | Against   | Management  |
| 1h | Elect Director John Mulligan                                      | For     | For       | Management  |
| 1i | Elect Director Sheila Penrose                                     | For     | For       | Management  |
| 1j | Elect Director John Rogers, Jr.                                   | For     | Against   | Management  |
| 1k | Elect Director Miles White  | For     | Against   | Management  |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify Ernst & Young LLP as Auditors                              | For     | Against   | Management  |
| 4  | Provide Right to Act by Written Consent                           | Against | For       | Shareholder |
| 5  | Report on Plastic Straws  | Against | For       | Shareholder |
| 6  | Report on Charitable Contributions                                | Against | Against   | Shareholder |

### MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103  
 Meeting Date: JUL 26, 2017 Meeting Type: Annual  
 Record Date: MAY 31, 2017

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Andy D. Bryant                                     | For      | Against   | Management  |
| 1b | Elect Director N. Anthony Coles                                   | For      | For       | Management  |
| 1c | Elect Director John H. Hammergren                                 | For      | For       | Management  |
| 1d | Elect Director M. Christine Jacobs                                | For      | For       | Management  |
| 1e | Elect Director Donald R. Knauss                                   | For      | For       | Management  |
| 1f | Elect Director Marie L. Knowles                                   | For      | Against   | Management  |
| 1g | Elect Director Edward A. Mueller                                  | For      | For       | Management  |
| 1h | Elect Director Susan R. Salka                                     | For      | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as<br>Auditors                       | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For      | Against   | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                             | One Year | One Year  | Management  |
| 5  | Require Independent Board Chairman                                | Against  | For       | Shareholder |
| 6  | Provide Right to Act by Written Consent                           | Against  | For       | Shareholder |

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MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104  
 Meeting Date: NOV 29, 2017 Meeting Type: Annual  
 Record Date: SEP 29, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|------|--|----------|-----------|------------|
| 1.1  | Elect Director William H. Gates, III                           | For      | For       | Management |
| 1.2  | Elect Director Reid G. Hoffman                                 | For      | For       | Management |
| 1.3  | Elect Director Hugh F. Johnston                                | For      | For       | Management |
| 1.4  | Elect Director Teri L. List-Stoll                              | For      | For       | Management |
| 1.5  | Elect Director Satya Nadella                                   | For      | For       | Management |
| 1.6  | Elect Director Charles H. Noski                                | For      | Against   | Management |
| 1.7  | Elect Director Helmut Panke                                    | For      | Against   | Management |
| 1.8  | Elect Director Sandra E. Peterson                              | For      | For       | Management |
| 1.9  | Elect Director Penny S. Pritzker                               | For      | For       | Management |
| 1.10 | Elect Director Charles W. Scharf                               | For      | For       | Management |
| 1.11 | Elect Director Arne M. Sorenson                                | For      | For       | Management |
| 1.12 | Elect Director John W. Stanton                                 | For      | For       | Management |
| 1.13 | Elect Director John W. Thompson                                | For      | For       | Management |
| 1.14 | Elect Director Padmasree Warrior                               | For      | For       | Management |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 3    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 4    | Ratify Deloitte & Touche LLP as Auditors                       | For      | Against   | Management |
| 5    | Amend Executive Incentive Bonus Plan                           | For      | For       | Management |
| 6    | Approve Omnibus Stock Plan                                     | For      | For       | Management |

MONSANTO COMPANY

Ticker: MON Security ID: 61166W101  
 Meeting Date: JAN 31, 2018 Meeting Type: Annual  
 Record Date: DEC 15, 2017

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Dwight M. 'Mitch' Barns                         | For     | For       | Management  |
| 1b | Elect Director Gregory H. Boyce                                | For     | For       | Management  |
| 1c | Elect Director David L. Chicoine                               | For     | For       | Management  |
| 1d | Elect Director Janice L. Fields                                | For     | For       | Management  |
| 1e | Elect Director Hugh Grant                                      | For     | Against   | Management  |
| 1f | Elect Director Laura K. Ipsen                                  | For     | For       | Management  |
| 1g | Elect Director Marcos M. Lutz                                  | For     | Against   | Management  |
| 1h | Elect Director C. Steven McMillan                              | For     | Against   | Management  |
| 1i | Elect Director Jon R. Moeller                                  | For     | For       | Management  |
| 1j | Elect Director George H. Poste                                 | For     | For       | Management  |
| 1k | Elect Director Robert J. Stevens                               | For     | Against   | Management  |
| 1l | Elect Director Patricia Verduin                                | For     | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Amend Bylaws to Create Board Human Rights Committee            | Against | Against   | Shareholder |

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NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101  
 Meeting Date: MAY 11, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1A | Elect Director Clay C. Williams                                | For     | Against   | Management |
| 1B | Elect Director Greg L. Armstrong                               | For     | Against   | Management |
| 1C | Elect Director Marcela E. Donadio                              | For     | For       | Management |
| 1D | Elect Director Ben A. Guill                                    | For     | Against   | Management |
| 1E | Elect Director James T. Hackett                                | For     | Against   | Management |
| 1F | Elect Director David D. Harrison                               | For     | Against   | Management |
| 1G | Elect Director Eric L. Mattson                                 | For     | Against   | Management |
| 1H | Elect Director Melody B. Meyer                                 | For     | For       | Management |
| 1I | Elect Director William R. Thomas                               | For     | For       | Management |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 4  | Approve Omnibus Stock Plan                                     | For     | Against   | Management |

NETFLIX, INC.

Ticker: NFLX Security ID: 64110L106  
 Meeting Date: JUN 06, 2018 Meeting Type: Annual  
 Record Date: APR 09, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Richard N. Barton                               | For     | Withhold  | Management  |
| 1b | Elect Director Rodolphe Belmer                                 | For     | Withhold  | Management  |
| 1c | Elect Director Bradford L. Smith                               | For     | Withhold  | Management  |
| 1d | Elect Director Anne M. Sweeney                                 | For     | Withhold  | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Amend Bylaws -- Call Special Meetings                          | Against | For       | Shareholder |
| 5  | Adopt Proxy Access Right                                       | Against | For       | Shareholder |
| 6  | Adopt Compensation Clawback Policy                             | Against | For       | Shareholder |
| 7  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |
| 8  | Adopt Simple Majority Vote                                     | Against | For       | Shareholder |
| 9  | Require a Majority Vote for the Election of Directors          | Against | For       | Shareholder |

NVIDIA CORPORATION

Ticker: NVDA Security ID: 67066G104  
 Meeting Date: MAY 16, 2018 Meeting Type: Annual  
 Record Date: MAR 22, 2018

| #  | Proposal                         | Mgt Rec | Vote Cast | Sponsor    |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Robert K. Burgess | For     | For       | Management |
| 1b | Elect Director Tench Cox         | For     | Against   | Management |
| 1c | Elect Director Persis S. Drell   | For     | For       | Management |
| 1d | Elect Director James C. Gaither  | For     | Against   | Management |
| 1e | Elect Director Jen-Hsun Huang    | For     | For       | Management |
| 1f | Elect Director Dawn Hudson       | For     | For       | Management |

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|    |   |     |         |            |
|----|---|-----|---------|------------|
| 1g | Elect Director Harvey C. Jones                                    | For | Against | Management |
| 1h | Elect Director Michael G. McCaffery                               | For | For     | Management |
| 1i | Elect Director Mark L. Perry                                      | For | Against | Management |
| 1j | Elect Director A. Brooke Seawell                                  | For | Against | Management |
| 1k | Elect Director Mark A. Stevens                                    | For | For     | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For | For     | Management |
| 3  | Ratify PricewaterhouseCoopers LLP as<br>Auditors                  | For | For     | Management |
| 4  | Amend Omnibus Stock Plan  | For | Against | Management |
| 5  | Amend Qualified Employee Stock<br>Purchase Plan                   | For | For     | Management |

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### OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105  
 Meeting Date: MAY 04, 2018 Meeting Type: Annual  
 Record Date: MAR 09, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director Spencer Abraham                                    | For     | Against   | Management |
| 1b | Elect Director Howard I. Atkins                                   | For     | For       | Management |
| 1c | Elect Director Eugene L. Batchelder                               | For     | For       | Management |
| 1d | Elect Director John E. Feick                                      | For     | For       | Management |
| 1e | Elect Director Margaret M. Foran                                  | For     | For       | Management |
| 1f | Elect Director Carlos M. Gutierrez                                | For     | For       | Management |
| 1g | Elect Director Vicki Hollub                                       | For     | For       | Management |
| 1h | Elect Director William R. Klesse                                  | For     | For       | Management |
| 1i | Elect Director Jack B. Moore                                      | For     | For       | Management |
| 1j | Elect Director Avedick B. Poladian                                | For     | For       | Management |
| 1k | Elect Director Elisse B. Walter                                   | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For       | Management |
| 3  | Amend Omnibus Stock Plan  | For     | Against   | Management |
| 4  | Ratify KPMG LLP as Auditors                                       | For     | For       | Management |

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### ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: NOV 15, 2017 Meeting Type: Annual  
 Record Date: SEP 18, 2017

| #    | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|------|-------------------------------------|---------|-----------|------------|
| 1.1  | Elect Director Jeffrey S. Berg      | For     | Withhold  | Management |
| 1.2  | Elect Director Michael J. Boskin    | For     | Withhold  | Management |
| 1.3  | Elect Director Safra A. Catz        | For     | For       | Management |
| 1.4  | Elect Director Bruce R. Chizen      | For     | Withhold  | Management |
| 1.5  | Elect Director George H. Conrades   | For     | For       | Management |
| 1.6  | Elect Director Lawrence J. Ellison  | For     | Withhold  | Management |
| 1.7  | Elect Director Hector Garcia-Molina | For     | Withhold  | Management |
| 1.8  | Elect Director Jeffrey O. Henley    | For     | For       | Management |
| 1.9  | Elect Director Mark V. Hurd         | For     | For       | Management |
| 1.10 | Elect Director Renee J. James       | For     | For       | Management |
| 1.11 | Elect Director Leon E. Panetta      | For     | For       | Management |
| 1.12 | Elect Director Naomi O. Seligman    | For     | For       | Management |
| 2    | Advisory Vote to Ratify Named       | For     | Against   | Management |

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|   | Executive Officers' Compensation      |          |          |             |
|---|---------------------------------------|----------|----------|-------------|
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management  |
| 4 | Amend Omnibus Stock Plan              | For      | Against  | Management  |
| 5 | Ratify Ernst & Young LLP as Auditors  | For      | For      | Management  |
| 6 | Political Contributions Disclosure    | Against  | For      | Shareholder |
| 7 | Gender Pay Gap                        | Against  | For      | Shareholder |
| 8 | Amend Proxy Access Right              | Against  | Against  | Shareholder |

PEPSICO, INC.

Ticker: PEP Security ID: 713448108  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Shona L. Brown                                       | For     | For       | Management  |
| 1b | Elect Director George W. Buckley                                    | For     | For       | Management  |
| 1c | Elect Director Cesar Conde  | For     | For       | Management  |
| 1d | Elect Director Ian M. Cook  | For     | For       | Management  |
| 1e | Elect Director Dina Dublon  | For     | For       | Management  |
| 1f | Elect Director Richard W. Fisher                                    | For     | For       | Management  |
| 1g | Elect Director William R. Johnson                                   | For     | For       | Management  |
| 1h | Elect Director Indra K. Nooyi                                       | For     | For       | Management  |
| 1i | Elect Director David C. Page  | For     | For       | Management  |
| 1j | Elect Director Robert C. Pohlad                                     | For     | For       | Management  |
| 1k | Elect Director Daniel Vasella                                       | For     | For       | Management  |
| 1l | Elect Director Darren Walker  | For     | For       | Management  |
| 1m | Elect Director Alberto Weisser                                      | For     | For       | Management  |
| 2  | Ratify KPMG LLP as Auditors   | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | For       | Management  |
| 4  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 23, 2018 Meeting Type: Proxy Contest  
 Record Date: JAN 08, 2018

| #    | Proposal                                      | Mgt Rec | Vote Cast    | Sponsor    |
|------|---|---------|--------------|------------|
|      | Management Proxy (White Proxy Card)           | None    |              |            |
| 1.1  | Elect Director Barbara T. Alexander           | For     | Did Not Vote | Management |
| 1.2  | Elect Director Jeffrey W. Henderson           | For     | Did Not Vote | Management |
| 1.3  | Elect Director Thomas W. Horton               | For     | Did Not Vote | Management |
| 1.4  | Elect Director Paul E. Jacobs                 | For     | Did Not Vote | Management |
| 1.5  | Elect Director Ann M. Livermore               | For     | Did Not Vote | Management |
| 1.6  | Elect Director Harish Manwani                 | For     | Did Not Vote | Management |
| 1.7  | Elect Director Mark D. McLaughlin             | For     | Did Not Vote | Management |
| 1.8  | Elect Director Steve Mollenkopf               | For     | Did Not Vote | Management |
| 1.9  | Elect Director Clark T. "Sandy" Randt, Jr.    | For     | Did Not Vote | Management |
| 1.10 | Elect Director Francisco Ros                  | For     | Did Not Vote | Management |
| 1.11 | Elect Director Anthony J. Vinciguerra         | For     | Did Not Vote | Management |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors | For     | Did Not Vote | Management |

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|     |  |          |              |             |
|-----|--|----------|--------------|-------------|
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation                                     | For      | Did Not Vote | Management  |
| 4   | Amend Qualified Employee Stock Purchase Plan   | For      | Did Not Vote | Management  |
| 5   | Eliminate Supermajority Vote Requirement to Remove Directors                                       | For      | Did Not Vote | Management  |
| 6   | Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions            | For      | Did Not Vote | Management  |
| 7   | Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders    | For      | Did Not Vote | Management  |
| 8   | Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016 | Against  | Did Not Vote | Shareholder |
| #   | Proposal   | Diss Rec | Vote Cast    | Sponsor     |
|     | Dissident Proxy (Blue Proxy Card)  | None     |              |             |
| 1.1 | Elect Director Samih Elhage  | For      | For          | Shareholder |
| 1.2 | Elect Director David G. Golden   | For      | For          | Shareholder |
| 1.3 | Elect Director Veronica M. Hagen   | For      | For          | Shareholder |
| 1.4 | Elect Director Julie A. Hill   | For      | For          | Shareholder |
| 1.5 | Elect Director John H. Kispert   | For      | For          | Shareholder |
| 1.6 | Elect Director Harry L. You  | For      | For          | Shareholder |
| 2   | Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016 | For      | For          | Shareholder |
| 3   | Ratify PricewaterhouseCoopers LLP as Auditors  | For      | For          | Management  |
| 4   | Advisory Vote to Ratify Named Executive Officers' Compensation                                     | None     | For          | Management  |
| 5   | Amend Qualified Employee Stock Purchase Plan   | None     | For          | Management  |
| 6   | Eliminate Supermajority Vote Requirement to Remove Directors                                       | For      | For          | Management  |
| 7   | Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions            | For      | For          | Management  |
| 8   | Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders    | For      | For          | Management  |

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SALESFORCE.COM, INC.

Ticker: CRM Security ID: 79466L302  
 Meeting Date: JUN 12, 2018 Meeting Type: Annual  
 Record Date: APR 18, 2018

| #  | Proposal                         | Mgt Rec | Vote Cast | Sponsor    |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Marc Benioff      | For     | For       | Management |
| 1b | Elect Director Keith Block       | For     | For       | Management |
| 1c | Elect Director Craig Conway      | For     | For       | Management |
| 1d | Elect Director Alan Hassenfeld   | For     | Against   | Management |
| 1e | Elect Director Neelie Kroes      | For     | For       | Management |
| 1f | Elect Director Colin Powell      | For     | For       | Management |
| 1g | Elect Director Sanford Robertson | For     | Against   | Management |
| 1h | Elect Director John V. Roos      | For     | For       | Management |
| 1i | Elect Director Bernard Tyson     | For     | For       | Management |
| 1j | Elect Director Robin Washington  | For     | Against   | Management |
| 1k | Elect Director Maynard Webb      | For     | For       | Management |

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|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 11 | Elect Director Susan Wojcicki                                  | For     | For     | Management  |
| 2  | Amend Right to Call Special Meeting                            | For     | For     | Management  |
| 3  | Amend Omnibus Stock Plan                                       | For     | Against | Management  |
| 4  | Ratify Ernst & Young LLP as Auditors                           | For     | For     | Management  |
| 5  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For     | Management  |
| 6  | Eliminate Supermajority Vote Requirement                       | Against | For     | Shareholder |
| 7  | Adopt Guidelines for Country Selection                         | Against | Against | Shareholder |

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### SCHLUMBERGER LIMITED

Ticker: SLB Security ID: 806857108  
 Meeting Date: APR 04, 2018 Meeting Type: Annual  
 Record Date: FEB 07, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Peter L.S. Currie                               | For     | For       | Management |
| 1b | Elect Director Miguel M. Galuccio                              | For     | For       | Management |
| 1c | Elect Director V. Maureen Kempston Darkes                      | For     | For       | Management |
| 1d | Elect Director Paal Kibsgaard                                  | For     | For       | Management |
| 1e | Elect Director Nikolay Kudryavtsev                             | For     | For       | Management |
| 1f | Elect Director Helge Lund                                      | For     | For       | Management |
| 1g | Elect Director Michael E. Marks                                | For     | Against   | Management |
| 1h | Elect Director Indra K. Nooyi                                  | For     | For       | Management |
| 1i | Elect Director Lubna S. Olayan                                 | For     | For       | Management |
| 1j | Elect Director Leo Rafael Reif                                 | For     | For       | Management |
| 1k | Elect Director Henri Seydoux                                   | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3  | Adopt and Approve Financials and Dividends                     | For     | For       | Management |
| 4  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | Against   | Management |
| 5  | Amend Omnibus Stock Plan                                       | For     | Against   | Management |

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### SERVICENOW, INC.

Ticker: NOW Security ID: 81762P102  
 Meeting Date: JUN 19, 2018 Meeting Type: Annual  
 Record Date: APR 20, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Susan L. Bostrom                                | For     | For       | Management |
| 1b | Elect Director Jonathan C. Chadwick                            | For     | For       | Management |
| 1c | Elect Director Frederic B. Luddy                               | For     | For       | Management |
| 1d | Elect Director Jeffrey A. Miller                               | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Abstain   | Management |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 4  | Amend Omnibus Stock Plan                                       | For     | Abstain   | Management |

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## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

SOUTHWESTERN ENERGY COMPANY

Ticker: SWN Security ID: 845467109  
 Meeting Date: MAY 22, 2018 Meeting Type: Annual  
 Record Date: MAR 23, 2018

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director John D. Gass                                    | For     | For       | Management |
| 1.2 | Elect Director Catherine A. Kehr                               | For     | For       | Management |
| 1.3 | Elect Director Greg D. Kerley                                  | For     | For       | Management |
| 1.4 | Elect Director Gary P. Luquette                                | For     | For       | Management |
| 1.5 | Elect Director Jon A. Marshall                                 | For     | For       | Management |
| 1.6 | Elect Director Patrick M. Prevost                              | For     | For       | Management |
| 1.7 | Elect Director Terry W. Rathert                                | For     | For       | Management |
| 1.8 | Elect Director William J. Way                                  | For     | For       | Management |
| 2   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3   | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |

SQUARE, INC.

Ticker: SQ Security ID: 852234103  
 Meeting Date: JUN 19, 2018 Meeting Type: Annual  
 Record Date: APR 24, 2018

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Randy Garutti                                   | For     | For       | Management |
| 1.2 | Elect Director Mary Meeker                                     | For     | For       | Management |
| 1.3 | Elect Director Naveen Rao                                      | For     | For       | Management |
| 1.4 | Elect Director Lawrence Summers                                | For     | For       | Management |
| 2   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 3   | Ratify KPMG LLP as Auditors                                    | For     | For       | Management |

STARBUCKS CORPORATION

Ticker: SBUX Security ID: 855244109  
 Meeting Date: MAR 21, 2018 Meeting Type: Annual  
 Record Date: JAN 11, 2018

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Howard Schultz       | For     | Against   | Management |
| 1b | Elect Director Rosalind G. Brewer   | For     | For       | Management |
| 1c | Elect Director Mary N. Dillon       | For     | For       | Management |
| 1d | Elect Director Mellody Hobson       | For     | Against   | Management |
| 1e | Elect Director Kevin R. Johnson     | For     | For       | Management |
| 1f | Elect Director Jorgen Vig Knudstorp | For     | Against   | Management |
| 1g | Elect Director Satya Nadella        | For     | For       | Management |
| 1h | Elect Director Joshua Cooper Ramo   | For     | For       | Management |
| 1i | Elect Director Clara Shih           | For     | For       | Management |
| 1j | Elect Director Javier G. Teruel     | For     | Against   | Management |
| 1k | Elect Director Myron E. Ullman, III | For     | Against   | Management |
| 1l | Elect Director Craig E. Weatherup   | For     | Against   | Management |
| 2  | Advisory Vote to Ratify Named       | For     | Against   | Management |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|   |   |         |         |             |
|---|---|---------|---------|-------------|
| 3 | Executive Officers' Compensation<br>Ratify Deloitte & Touche LLP as<br>Auditors | For     | Against | Management  |
| 4 | Proxy Access Bylaw Amendments   | Against | For     | Shareholder |
| 5 | Report on Sustainable Packaging   | Against | For     | Shareholder |
| 6 | Report on Paid Family Leave *Withdrawn<br>Resolution*                           | None    | None    | Shareholder |
| 7 | Prepare Employment Diversity Report<br>and Report on Diversity Policies         | Against | For     | Shareholder |

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### TARGET CORPORATION

Ticker: TGT Security ID: 87612E106  
 Meeting Date: JUN 13, 2018 Meeting Type: Annual  
 Record Date: APR 16, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Roxanne S. Austin                                  | For     | For       | Management  |
| 1b | Elect Director Douglas M. Baker, Jr.                              | For     | For       | Management  |
| 1c | Elect Director Brian C. Cornell                                   | For     | For       | Management  |
| 1d | Elect Director Calvin Darden                                      | For     | For       | Management  |
| 1e | Elect Director Henrique De Castro                                 | For     | For       | Management  |
| 1f | Elect Director Robert L. Edwards                                  | For     | For       | Management  |
| 1g | Elect Director Melanie L. Healey                                  | For     | For       | Management  |
| 1h | Elect Director Donald R. Knauss                                   | For     | For       | Management  |
| 1i | Elect Director Monica C. Lozano                                   | For     | For       | Management  |
| 1j | Elect Director Mary E. Minnick                                    | For     | Against   | Management  |
| 1k | Elect Director Kenneth L. Salazar                                 | For     | For       | Management  |
| 1l | Elect Director Dmitri L. Stockton                                 | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                              | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For       | Management  |
| 4  | Require Independent Board Chairman                                | Against | For       | Shareholder |

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### TEXAS INSTRUMENTS INCORPORATED

Ticker: TXN Security ID: 882508104  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 26, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director Ralph W. Babb, Jr.                                 | For     | Against   | Management |
| 1b | Elect Director Mark A. Blinn                                      | For     | Against   | Management |
| 1c | Elect Director Todd M. Bluedorn                                   | For     | Against   | Management |
| 1d | Elect Director Daniel A. Carp                                     | For     | Against   | Management |
| 1e | Elect Director Janet F. Clark                                     | For     | Against   | Management |
| 1f | Elect Director Carrie S. Cox                                      | For     | Against   | Management |
| 1g | Elect Director Brian T. Crutcher                                  | For     | For       | Management |
| 1h | Elect Director Jean M. Hobby                                      | For     | Against   | Management |
| 1i | Elect Director Ronald Kirk  | For     | Against   | Management |
| 1j | Elect Director Pamela H. Patsley                                  | For     | Against   | Management |
| 1k | Elect Director Robert E. Sanchez                                  | For     | Against   | Management |
| 1l | Elect Director Richard K. Templeton                               | For     | Against   | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | Against   | Management |
| 3  | Approve Non-Employee Director Omnibus                             | For     | Against   | Management |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Stock Plan  
 4 Ratify Ernst & Young LLP as Auditors For Against Management

### THE BOEING COMPANY

Ticker: BA Security ID: 097023105  
 Meeting Date: APR 30, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Robert A. Bradway                                    | For     | For       | Management  |
| 1b | Elect Director David L. Calhoun                                     | For     | Against   | Management  |
| 1c | Elect Director Arthur D. Collins, Jr.                               | For     | For       | Management  |
| 1d | Elect Director Kenneth M. Duberstein                                | For     | For       | Management  |
| 1e | Elect Director Edmund P. Giambastiani, Jr.                          | For     | For       | Management  |
| 1f | Elect Director Lynn J. Good   | For     | For       | Management  |
| 1g | Elect Director Lawrence W. Kellner                                  | For     | For       | Management  |
| 1h | Elect Director Caroline B. Kennedy                                  | For     | For       | Management  |
| 1i | Elect Director Edward M. Liddy                                      | For     | For       | Management  |
| 1j | Elect Director Dennis A. Muilenburg                                 | For     | For       | Management  |
| 1k | Elect Director Susan C. Schwab                                      | For     | For       | Management  |
| 1l | Elect Director Ronald A. Williams                                   | For     | For       | Management  |
| 1m | Elect Director Mike S. Zafirovski                                   | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | Against   | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                            | For     | Against   | Management  |
| 4  | Report on Lobbying Payments and Policy                              | Against | For       | Shareholder |
| 5  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |
| 6  | Require Independent Board Chairman                                  | Against | For       | Shareholder |
| 7  | Require Shareholder Approval to Increase Board Size to More Than 14 | Against | Against   | Shareholder |

### THE CHEMOURS COMPANY

Ticker: CC Security ID: 163851108  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 05, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Curtis V. Anastasio                             | For     | For       | Management |
| 1b | Elect Director Bradley J. Bell                                 | For     | For       | Management |
| 1c | Elect Director Richard H. Brown                                | For     | For       | Management |
| 1d | Elect Director Mary B. Cranston                                | For     | For       | Management |
| 1e | Elect Director Curtis J. Crawford                              | For     | For       | Management |
| 1f | Elect Director Dawn L. Farrell                                 | For     | For       | Management |
| 1g | Elect Director Sean D. Keohane                                 | For     | For       | Management |
| 1h | Elect Director Mark P. Vergnano                                | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 4  | Eliminate Supermajority Vote Requirement to Amend Bylaws       | For     | For       | Management |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Gerard J. Arpey  | For     | For       | Management  |
| 1b | Elect Director Ari Bousbib  | For     | For       | Management  |
| 1c | Elect Director Jeffery H. Boyd  | For     | For       | Management  |
| 1d | Elect Director Gregory D. Brenneman                                     | For     | Against   | Management  |
| 1e | Elect Director J. Frank Brown   | For     | For       | Management  |
| 1f | Elect Director Albert P. Carey  | For     | Against   | Management  |
| 1g | Elect Director Armando Codina   | For     | For       | Management  |
| 1h | Elect Director Helena B. Foulkes  | For     | For       | Management  |
| 1i | Elect Director Linda R. Gooden  | For     | For       | Management  |
| 1j | Elect Director Wayne M. Hewett  | For     | For       | Management  |
| 1k | Elect Director Stephanie C. Linnartz                                    | For     | For       | Management  |
| 1l | Elect Director Craig A. Menear  | For     | For       | Management  |
| 1m | Elect Director Mark Vadon   | For     | For       | Management  |
| 2  | Ratify KPMG LLP as Auditors   | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation       | For     | Against   | Management  |
| 4  | Report on Political Contributions                                       | Against | For       | Shareholder |
| 5  | Prepare Employment Diversity Report<br>and Report on Diversity Policies | Against | For       | Shareholder |
| 6  | Reduce Ownership Threshold for<br>Shareholders to Call Special Meeting  | Against | For       | Shareholder |
| 7  | Clawback of Incentive Payments  | Against | For       | Shareholder |

THE KROGER CO.

Ticker: KR Security ID: 501044101  
 Meeting Date: JUN 28, 2018 Meeting Type: Annual  
 Record Date: MAY 02, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director Nora A. Aufreiter                                  | For     | For       | Management |
| 1b | Elect Director Robert D. Beyer                                    | For     | Against   | Management |
| 1c | Elect Director Anne Gates   | For     | For       | Management |
| 1d | Elect Director Susan J. Kropf                                     | For     | For       | Management |
| 1e | Elect Director W. Rodney McMullen                                 | For     | Against   | Management |
| 1f | Elect Director Jorge P. Montoya                                   | For     | For       | Management |
| 1g | Elect Director Clyde R. Moore                                     | For     | Against   | Management |
| 1h | Elect Director James A. Runde                                     | For     | For       | Management |
| 1i | Elect Director Ronald L. Sargent                                  | For     | Against   | Management |
| 1j | Elect Director Bobby S. Shackouls                                 | For     | Against   | Management |
| 1k | Elect Director Mark S. Sutton                                     | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | Against   | Management |
| 3  | Provide Proxy Access Right  | For     | Against   | Management |
| 4  | Amend Bylaws to Authorize the Board to<br>Amend Bylaws            | For     | Against   | Management |
| 5  | Ratify PricewaterhouseCoopers LLP as<br>Auditors                  | For     | Against   | Management |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|   |   |         |     |             |
|---|---|---------|-----|-------------|
| 6 | Report on Benefits of Adopting Renewable Energy Goals   | Against | For | Shareholder |
| 7 | Assess Environmental Impact of Non-Recyclable Packaging | Against | For | Shareholder |
| 8 | Require Independent Board Chairman                      | Against | For | Shareholder |

### THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109  
 Meeting Date: OCT 10, 2017 Meeting Type: Proxy Contest  
 Record Date: AUG 11, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast    | Sponsor     |
|------|--|----------|--------------|-------------|
|      | Management Proxy (Blue Proxy Card)   | None     |              |             |
| 1.1  | Elect Director Francis S. Blake  | For      | Did Not Vote | Management  |
| 1.2  | Elect Director Angela F. Braly   | For      | Did Not Vote | Management  |
| 1.3  | Elect Director Amy L. Chang  | For      | Did Not Vote | Management  |
| 1.4  | Elect Director Kenneth I. Chenault   | For      | Did Not Vote | Management  |
| 1.5  | Elect Director Scott D. Cook   | For      | Did Not Vote | Management  |
| 1.6  | Elect Director Terry J. Lundgren   | For      | Did Not Vote | Management  |
| 1.7  | Elect Director W. James McNerney, Jr.  | For      | Did Not Vote | Management  |
| 1.8  | Elect Director David S. Taylor   | For      | Did Not Vote | Management  |
| 1.9  | Elect Director Margaret C. Whitman   | For      | Did Not Vote | Management  |
| 1.10 | Elect Director Patricia A. Woertz  | For      | Did Not Vote | Management  |
| 1.11 | Elect Director Ernesto Zedillo   | For      | Did Not Vote | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors   | For      | Did Not Vote | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation                       | For      | Did Not Vote | Management  |
| 4    | Advisory Vote on Say on Pay Frequency  | One Year | Did Not Vote | Management  |
| 5    | Adopt Holy Land Principles   | Against  | Did Not Vote | Shareholder |
| 6    | Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws | Against  | Did Not Vote | Shareholder |
| 7    | Report on Risks of Doing Business in Conflict-Affected Areas                         | Against  | Did Not Vote | Shareholder |
| 8    | Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016             | Against  | Did Not Vote | Shareholder |
| #    | Proposal   | Diss Rec | Vote Cast    | Sponsor     |
|      | Dissident Proxy (White Proxy Card)   | None     |              |             |
| 1.1  | Elect Director Nelson Peltz  | For      | For          | Shareholder |
| 1.2  | Management Nominee Francis S. Blake  | For      | For          | Shareholder |
| 1.3  | Management Nominee Angela F. Braly   | For      | For          | Shareholder |
| 1.4  | Management Nominee Amy L. Chang  | For      | For          | Shareholder |
| 1.5  | Management Nominee Kenneth I. Chenault   | For      | For          | Shareholder |
| 1.6  | Management Nominee Scott D. Cook   | For      | For          | Shareholder |
| 1.7  | Management Nominee Terry J. Lundgren   | For      | For          | Shareholder |
| 1.8  | Management Nominee W. James McNerney, Jr.  | For      | For          | Shareholder |
| 1.9  | Management Nominee David S. Taylor   | For      | For          | Shareholder |
| 1.10 | Management Nominee Margaret C. Whitman   | For      | For          | Shareholder |
| 1.11 | Management Nominee Patricia A. Woertz  | For      | For          | Shareholder |
| 2    | Ratify Deloitte & Touche LLP as Auditors   | None     | Against      | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation                       | None     | For          | Management  |
| 4    | Advisory Vote on Say on Pay Frequency  | None     | One Year     | Management  |
| 5    | Adopt Holy Land Principles   | None     | Against      | Shareholder |
| 6    | Report on Company Non-Discrimination   | None     | Against      | Shareholder |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|   |  |      |     |  |             |
|---|--|------|-----|--|-------------|
|   | Policies in States with Pro-Discrimination Laws                          |      |     |  |             |
| 7 | Report on Risks of Doing Business in Conflict-Affected Areas             | None | For |  | Shareholder |
| 8 | Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016 | For  | For |  | Shareholder |

### THE WALT DISNEY COMPANY

Ticker: DIS Security ID: 254687106  
 Meeting Date: MAR 08, 2018 Meeting Type: Annual  
 Record Date: JAN 08, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Susan E. Arnold                                 | For     | For       | Management  |
| 1b | Elect Director Mary T. Barra                                   | For     | For       | Management  |
| 1c | Elect Director Safra A. Catz                                   | For     | For       | Management  |
| 1d | Elect Director John S. Chen                                    | For     | Against   | Management  |
| 1e | Elect Director Francis A. deSouza                              | For     | For       | Management  |
| 1f | Elect Director Robert A. Iger                                  | For     | For       | Management  |
| 1g | Elect Director Maria Elena Lagomasino                          | For     | For       | Management  |
| 1h | Elect Director Fred H. Langhammer                              | For     | Against   | Management  |
| 1i | Elect Director Aylwin B. Lewis                                 | For     | Against   | Management  |
| 1j | Elect Director Mark G. Parker                                  | For     | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | Against   | Management  |
| 3  | Amend Executive Incentive Bonus Plan                           | For     | For       | Management  |
| 4  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 5  | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |
| 6  | Proxy Access Bylaw Amendment                                   | Against | For       | Shareholder |

### UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 09, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Andrew H. Card, Jr.                             | For     | For       | Management  |
| 1b | Elect Director Erroll B. Davis, Jr.                            | For     | For       | Management  |
| 1c | Elect Director David B. Dillon                                 | For     | For       | Management  |
| 1d | Elect Director Lance M. Fritz                                  | For     | For       | Management  |
| 1e | Elect Director Deborah C. Hopkins                              | For     | For       | Management  |
| 1f | Elect Director Jane H. Lute                                    | For     | For       | Management  |
| 1g | Elect Director Michael R. McCarthy                             | For     | For       | Management  |
| 1h | Elect Director Thomas F. McLarty, III                          | For     | For       | Management  |
| 1i | Elect Director Bhavesh V. Patel                                | For     | For       | Management  |
| 1j | Elect Director Jose H. Villarreal                              | For     | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For     | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4  | Require Independent Board Chairman                             | Against | For       | Shareholder |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

### UNITEDHEALTH GROUP INCORPORATED

Ticker: UNH Security ID: 91324P102  
 Meeting Date: JUN 04, 2018 Meeting Type: Annual  
 Record Date: APR 10, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director William C. Ballard, Jr.                            | For     | Against   | Management |
| 1b | Elect Director Richard T. Burke                                   | For     | Against   | Management |
| 1c | Elect Director Timothy P. Flynn                                   | For     | For       | Management |
| 1d | Elect Director Stephen J. Hemsley                                 | For     | For       | Management |
| 1e | Elect Director Michele J. Hooper                                  | For     | For       | Management |
| 1f | Elect Director F. William McNabb, III                             | For     | For       | Management |
| 1g | Elect Director Valerie C. Montgomery<br>Rice                      | For     | For       | Management |
| 1h | Elect Director Glenn M. Renwick                                   | For     | For       | Management |
| 1i | Elect Director Kenneth I. Shine                                   | For     | For       | Management |
| 1j | Elect Director David S. Wichmann                                  | For     | For       | Management |
| 1k | Elect Director Gail R. Wilensky                                   | For     | Against   | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | Against   | Management |
| 3  | Ratify Deloitte & Touche LLP as<br>Auditors                       | For     | For       | Management |

### VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 06, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1A | Elect Director H. Paulett Eberhart                                | For     | For       | Management |
| 1B | Elect Director Joseph W. Gorder                                   | For     | Against   | Management |
| 1C | Elect Director Kimberly S. Greene                                 | For     | For       | Management |
| 1D | Elect Director Deborah P. Majoras                                 | For     | For       | Management |
| 1E | Elect Director Donald L. Nickles                                  | For     | For       | Management |
| 1F | Elect Director Philip J. Pfeiffer                                 | For     | For       | Management |
| 1G | Elect Director Robert A. Profusek                                 | For     | Against   | Management |
| 1H | Elect Director Stephen M. Waters                                  | For     | For       | Management |
| 1I | Elect Director Randall J. Weisenburger                            | For     | For       | Management |
| 1J | Elect Director Rayford Wilkins, Jr.                               | For     | For       | Management |
| 2  | Ratify KPMG LLP as Auditors                                       | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | Against   | Management |
| 4  | Remove Supermajority Vote Requirement                             | For     | For       | Management |
| 5  | Provide Right to Act by Written Consent                           | For     | For       | Management |

### VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 05, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|      |  |         |         |             |
|------|--|---------|---------|-------------|
| 1.1  | Elect Director Shellye L. Archambeau   | For     | For     | Management  |
| 1.2  | Elect Director Mark T. Bertolini   | For     | For     | Management  |
| 1.3  | Elect Director Richard L. Carrion  | For     | For     | Management  |
| 1.4  | Elect Director Melanie L. Healey   | For     | For     | Management  |
| 1.5  | Elect Director M. Frances Keeth  | For     | For     | Management  |
| 1.6  | Elect Director Lowell C. McAdam  | For     | For     | Management  |
| 1.7  | Elect Director Clarence Otis, Jr.  | For     | For     | Management  |
| 1.8  | Elect Director Rodney E. Slater  | For     | For     | Management  |
| 1.9  | Elect Director Kathryn A. Tesija   | For     | For     | Management  |
| 1.10 | Elect Director Gregory D. Wasson   | For     | For     | Management  |
| 1.11 | Elect Director Gregory G. Weaver   | For     | For     | Management  |
| 2    | Ratify Ernst & Young as Auditors   | For     | For     | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation   | For     | Against | Management  |
| 4    | Amend Bylaws -- Call Special Meetings  | Against | For     | Shareholder |
| 5    | Report on Lobbying Payments and Policy   | Against | For     | Shareholder |
| 6    | Require Independent Board Chairman   | Against | For     | Shareholder |
| 7    | Assess Feasibility of Cyber Security and Data Privacy as a Performance Measure for Senior Executive Compensation | Against | For     | Shareholder |
| 8    | Clawback of Incentive Payments   | Against | For     | Shareholder |
| 9    | Eliminate Above-Market Earnings in Executive Retirement Plans  | Against | For     | Shareholder |

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### VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX Security ID: 92532F100  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 29, 2018

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Sangeeta N. Bhatia                              | For     | Against   | Management  |
| 1.2 | Elect Director Jeffrey M. Leiden                               | For     | Against   | Management  |
| 1.3 | Elect Director Bruce I. Sachs                                  | For     | Against   | Management  |
| 2   | Reduce Supermajority Vote Requirement                          | For     | For       | Management  |
| 3   | Amend Omnibus Stock Plan                                       | For     | Against   | Management  |
| 4   | Ratify Ernst & Young LLP as Auditors                           | For     | Against   | Management  |
| 5   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 6   | Report on Drug Pricing Increases                               | Against | Against   | Shareholder |
| 7   | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |

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### VISA INC.

Ticker: V Security ID: 92826C839  
 Meeting Date: JAN 30, 2018 Meeting Type: Annual  
 Record Date: DEC 01, 2017

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Lloyd A. Carney                     | For     | For       | Management |
| 1b | Elect Director Mary B. Cranston                    | For     | For       | Management |
| 1c | Elect Director Francisco Javier Fernandez-Carbajal | For     | For       | Management |
| 1d | Elect Director Gary A. Hoffman                     | For     | For       | Management |
| 1e | Elect Director Alfred F. Kelly, Jr.                | For     | For       | Management |



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|    |  |     |         |            |
|----|--|-----|---------|------------|
| 1f | Elect Director John F. Lundgren                                | For | For     | Management |
| 1g | Elect Director Robert W. Matschullat                           | For | For     | Management |
| 1h | Elect Director Suzanne Nora Johnson                            | For | For     | Management |
| 1i | Elect Director John A.C. Swainson                              | For | For     | Management |
| 1j | Elect Director Maynard G. Webb, Jr.                            | For | For     | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3  | Ratify KPMG LLP as Auditors                                    | For | For     | Management |

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WALGREENS BOOTS ALLIANCE, INC.

Ticker: WBA Security ID: 931427108  
 Meeting Date: JAN 17, 2018 Meeting Type: Annual  
 Record Date: NOV 20, 2017

| #  | Proposal  | Mgt Rec  | Vote Cast | Sponsor     |
|----|---|----------|-----------|-------------|
| 1a | Elect Director Jose E. Almeida                                      | For      | For       | Management  |
| 1b | Elect Director Janice M. Babiak                                     | For      | For       | Management  |
| 1c | Elect Director David J. Brailer                                     | For      | For       | Management  |
| 1d | Elect Director William C. Foote                                     | For      | Against   | Management  |
| 1e | Elect Director Ginger L. Graham                                     | For      | For       | Management  |
| 1f | Elect Director John A. Lederer                                      | For      | For       | Management  |
| 1g | Elect Director Dominic P. Murphy                                    | For      | For       | Management  |
| 1h | Elect Director Stefano Pessina                                      | For      | For       | Management  |
| 1i | Elect Director Leonard D. Schaeffer                                 | For      | For       | Management  |
| 1j | Elect Director Nancy M. Schlichting                                 | For      | For       | Management  |
| 1k | Elect Director James A. Skinner                                     | For      | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                            | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For      | Against   | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                               | One Year | One Year  | Management  |
| 5  | Amend Omnibus Stock Plan  | For      | Against   | Management  |
| 6  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against  | For       | Shareholder |
| 7  | Amend Proxy Access Right  | Against  | For       | Shareholder |

===== END NPX REPORT

### SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AllianzGI Equity & Convertible Income Fund

By (Signature and Title)\*: /s/ Thomas J. Fuccillo

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 Name: Thomas J. Fuccillo  
 Title: President and Chief Executive Officer

Date: August 28, 2018

\* Print the name and title of each signing officer under his or her signature.