

NOMURA HOLDINGS INC
Form 6-K
May 11, 2018
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of May 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from April 1, 2018 to April 30, 2018.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: May 11, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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[Translation of Share Buyback Report for the reporting month from April 1, 2018 to April 30, 2018 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on May 11, 2018]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	as of April 30, 2018	
	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total	0	0
Aggregate shares repurchased as of the end of this reporting month	0	0
Progress of share repurchase (%)	0	0

2. Status of disposition

	as of April 30, 2018	
	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	

Subtotal

Treasury shares canceled (Date)

Subtotal

Treasury shares transferred upon merger, share exchange or demerger (Date)

Subtotal

Other (upon request for purchasing less-than-a-full-unit shares) (Date)

Subtotal

Other (exercise of stock acquisition rights)	(Date)	53,500	3,927,500
	April 2	16,100	16,100
	April 3	2,200	2,200
	April 4	33,600	33,600
	April 5	4,700	302,700
	April 6	30,000	923,000
	April 9	95,100	95,100
	April 10	21,700	21,700
	April 11	35,200	631,200
	April 12	32,200	32,200
	April 13	16,100	16,100
	April 16	10,900	10,900
	April 17	167,400	2,251,400
	April 18	39,700	39,700
	April 19	15,400	15,400
	April 23	190,100	786,100
	April 24	123,700	868,700
	April 25	70,700	70,700
	April 26	61,900	61,900

April 27

Subtotal	1,020,200	10,106,200
Total	1,020,200	10,106,200

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3. Status of shares held in treasury

as of April 30, 2018	
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	249,036,210