

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

AllianzGI Equity & Convertible Income Fund  
Form N-PX  
August 30, 2017

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM N-PX

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ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21989

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AllianzGI Equity & Convertible Income Fund  
(Exact name of registrant as specified in charter)

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1633 Broadway, New York, NY 10019  
(Address of Principal Executive Office)

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Allianz Global Investors U.S. LLC  
1633 Broadway  
New York, NY 10019  
(Name and Address of Agent for Service)

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Registrant's telephone number, including area code: 212-739-3000

Date of fiscal year end: January 31

Date of reporting period: July 1, 2016 through June 30, 2017

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Item 1. Proxy Voting Record

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-21989  
Reporting Period: 07/01/2016 - 06/30/2017  
AllianzGI Equity & Convertible Income Fund

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===== AllianzGI Equity & Convertible Income Fund =====

## ADIENT PLC

Ticker: ADNT Security ID: G0084W101  
 Meeting Date: MAR 13, 2017 Meeting Type: Annual  
 Record Date: JAN 13, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director John M. Barth   | For      | For       | Management |
| 1b | Elect Director Julie L. Bushman  | For      | For       | Management |
| 1c | Elect Director Raymond L. Conner   | For      | For       | Management |
| 1d | Elect Director Richard Goodman   | For      | For       | Management |
| 1e | Elect Director Frederick A. Henderson  | For      | For       | Management |
| 1f | Elect Director R. Bruce McDonald   | For      | Against   | Management |
| 1g | Elect Director Barb J. Samardzich  | For      | For       | Management |
| 2  | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For      | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                               | For      | For       | Management |
| 4  | Advisory Vote on Say on Pay Frequency  | One Year | One Year  | Management |
| 5  | Amend Omnibus Stock Plan   | For      | For       | Management |

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## ALIBABA GROUP HOLDING LIMITED

Ticker: BABA Security ID: 01609W102  
 Meeting Date: OCT 13, 2016 Meeting Type: Annual  
 Record Date: AUG 19, 2016

| #   | Proposal                                  | Mgt Rec | Vote Cast | Sponsor    |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Daniel Yong Zhang as Director       | For     | Against   | Management |
| 1.2 | Elect Chee Hwa Tung as Director           | For     | For       | Management |
| 1.3 | Elect Jerry Yang as Director              | For     | For       | Management |
| 1.4 | Elect Wan Ling Martello as Director       | For     | For       | Management |
| 1.5 | Elect Eric Xiandong Jing as Director      | For     | Against   | Management |
| 2   | Ratify PricewaterhouseCoopers as Auditors | For     | For       | Management |

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## ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305  
 Meeting Date: JUN 07, 2017 Meeting Type: Annual  
 Record Date: APR 19, 2017

| #   | Proposal                   | Mgt Rec | Vote Cast | Sponsor    |
|-----|----------------------------|---------|-----------|------------|
| 1.1 | Elect Director Larry Page  | For     | For       | Management |
| 1.2 | Elect Director Sergey Brin | For     | For       | Management |

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|      |  |             |          |             |
|------|--|-------------|----------|-------------|
| 1.3  | Elect Director Eric E. Schmidt   | For         | Withhold | Management  |
| 1.4  | Elect Director L. John Doerr   | For         | Withhold | Management  |
| 1.5  | Elect Director Roger W. Ferguson, Jr.                                  | For         | For      | Management  |
| 1.6  | Elect Director Diane B. Greene   | For         | For      | Management  |
| 1.7  | Elect Director John L. Hennessy  | For         | Withhold | Management  |
| 1.8  | Elect Director Ann Mather  | For         | Withhold | Management  |
| 1.9  | Elect Director Alan R. Mulally   | For         | For      | Management  |
| 1.10 | Elect Director Paul S. Otellini  | For         | Withhold | Management  |
| 1.11 | Elect Director K. Ram Shriram  | For         | Withhold | Management  |
| 1.12 | Elect Director Shirley M. Tilghman                                     | For         | For      | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors                                   | For         | For      | Management  |
| 3    | Amend Omnibus Stock Plan   | For         | Against  | Management  |
| 4    | Advisory Vote to Ratify Named Executive Officers' Compensation         | For         | Against  | Management  |
| 5    | Advisory Vote on Say on Pay Frequency                                  | Three Years | One Year | Management  |
| 6    | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against     | For      | Shareholder |
| 7    | Report on Lobbying Payments and Policy                                 | Against     | For      | Shareholder |
| 8    | Report on Political Contributions                                      | Against     | For      | Shareholder |
| 9    | Report on Gender Pay Gap   | Against     | For      | Shareholder |
| 10   | Report on Charitable Contributions                                     | Against     | Against  | Shareholder |
| 11   | Adopt Holy Land Principles   | Against     | Against  | Shareholder |
| 12   | Report on Fake News  | Against     | Against  | Shareholder |

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AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106  
 Meeting Date: MAY 23, 2017 Meeting Type: Annual  
 Record Date: MAR 29, 2017

| #  | Proposal  | Mgt Rec     | Vote Cast | Sponsor     |
|----|---|-------------|-----------|-------------|
| 1a | Elect Director Jeffrey P. Bezos   | For         | Against   | Management  |
| 1b | Elect Director Tom A. Alberg  | For         | Against   | Management  |
| 1c | Elect Director John Seely Brown   | For         | For       | Management  |
| 1d | Elect Director Jamie S. Gorelick  | For         | For       | Management  |
| 1e | Elect Director Daniel P. Huttenlocher   | For         | For       | Management  |
| 1f | Elect Director Judith A. McGrath  | For         | For       | Management  |
| 1g | Elect Director Jonathan J. Rubinstein   | For         | For       | Management  |
| 1h | Elect Director Thomas O. Ryder  | For         | Against   | Management  |
| 1i | Elect Director Patricia Q. Stonesifer   | For         | Against   | Management  |
| 1j | Elect Director Wendell P. Weeks   | For         | Against   | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors  | For         | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                    | For         | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency   | Three Years | One Year  | Management  |
| 5  | Amend Omnibus Stock Plan  | For         | For       | Management  |
| 6  | Report on Use of Criminal Background Checks in Hiring                             | Against     | Against   | Shareholder |
| 7  | Include Sustainability as a Performance Measure for Senior Executive Compensation | Against     | For       | Shareholder |
| 8  | Provide Vote Counting to Exclude Abstentions                                      | Against     | Against   | Shareholder |

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AMGEN INC.

Ticker: AMGN Security ID: 031162100  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.   | Elect Director David Baltimore                                 | For      | For       | Management  |
| 1.2  | Elect Director Robert A. Bradway                               | For      | For       | Management  |
| 1.3  | Elect Director Francois de Carbonnel                           | For      | For       | Management  |
| 1.4  | Elect Director Robert A. Eckert                                | For      | For       | Management  |
| 1.5  | Elect Director Greg C. Garland                                 | For      | Against   | Management  |
| 1.6  | Elect Director Fred Hassan                                     | For      | For       | Management  |
| 1.7  | Elect Director Rebecca M. Henderson                            | For      | For       | Management  |
| 1.8  | Elect Director Frank C. Herringer                              | For      | Against   | Management  |
| 1.9  | Elect Director Charles M. Holley, Jr.                          | For      | For       | Management  |
| 1.10 | Elect Director Tyler Jacks                                     | For      | For       | Management  |
| 1.11 | Elect Director Ellen J. Kullman                                | For      | For       | Management  |
| 1.12 | Elect Director Ronald D. Sugar                                 | For      | For       | Management  |
| 1.13 | Elect Director R. Sanders Williams                             | For      | For       | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors                           | For      | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5    | Provide Vote Counting to Exclude Abstentions                   | Against  | Against   | Shareholder |

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AMPHENOL CORPORATION

Ticker: APH Security ID: 032095101  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

| #   | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Ronald P. Badie                                 | For      | For       | Management |
| 1.2 | Elect Director Stanley L. Clark                                | For      | For       | Management |
| 1.3 | Elect Director David P. Falck                                  | For      | For       | Management |
| 1.4 | Elect Director Edward G. Jepsen                                | For      | For       | Management |
| 1.5 | Elect Director Martin H. Loeffler                              | For      | For       | Management |
| 1.6 | Elect Director John R. Lord                                    | For      | For       | Management |
| 1.7 | Elect Director R. Adam Norwitt                                 | For      | For       | Management |
| 1.8 | Elect Director Diana G. Reardon                                | For      | For       | Management |
| 2   | Ratify Deloitte & Touche LLP as Auditors                       | For      | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 4   | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 5   | Approve Stock Option Plan                                      | For      | Against   | Management |

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APPLE INC.

Ticker: AAPL Security ID: 037833100  
 Meeting Date: FEB 28, 2017 Meeting Type: Annual  
 Record Date: DEC 30, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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|     |  |          |          |             |
|-----|--|----------|----------|-------------|
| 1.1 | Elect Director James Bell  | For      | For      | Management  |
| 1.2 | Elect Director Tim Cook  | For      | For      | Management  |
| 1.3 | Elect Director Al Gore   | For      | For      | Management  |
| 1.4 | Elect Director Bob Iger  | For      | For      | Management  |
| 1.5 | Elect Director Andrea Jung   | For      | For      | Management  |
| 1.6 | Elect Director Art Levinson  | For      | For      | Management  |
| 1.7 | Elect Director Ron Sugar   | For      | For      | Management  |
| 1.8 | Elect Director Sue Wagner  | For      | For      | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors   | For      | For      | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation                 | For      | For      | Management  |
| 4   | Advisory Vote on Say on Pay Frequency  | One Year | One Year | Management  |
| 5   | Disclose Charitable Contributions  | Against  | For      | Shareholder |
| 6   | Adopt Policy to Increase Diversity of Senior Management and Board of Directors | Against  | Against  | Shareholder |
| 7   | Proxy Access Amendments  | Against  | For      | Shareholder |
| 8   | Engage Outside Independent Experts for Compensation Reforms                    | Against  | Against  | Shareholder |
| 9   | Adopt Share Retention Policy For Senior Executives                             | Against  | For      | Shareholder |

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### BAKER HUGHES INCORPORATED

Ticker: BHI Security ID: 057224107  
 Meeting Date: JUN 30, 2017 Meeting Type: Special  
 Record Date: MAY 25, 2017

| # | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Approve Merger Agreement  | For     | For       | Management |
| 2 | Adjourn Meeting   | For     | For       | Management |
| 3 | Advisory Vote on Golden Parachutes                                | For     | Against   | Management |
| 4 | Approve Omnibus Stock Plan  | For     | Against   | Management |
| 5 | Approve Material Terms of the Executive Officer Performance Goals | For     | For       | Management |

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### BIOGEN INC.

Ticker: BIIB Security ID: 09062X103  
 Meeting Date: JUN 07, 2017 Meeting Type: Annual  
 Record Date: APR 10, 2017

| #  | Proposal                                      | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director Alexander J. Denner            | For     | For       | Management |
| 1b | Elect Director Caroline D. Dorsa              | For     | For       | Management |
| 1c | Elect Director Nancy L. Leaming               | For     | For       | Management |
| 1d | Elect Director Richard C. Mulligan            | For     | For       | Management |
| 1e | Elect Director Robert W. Pangia               | For     | For       | Management |
| 1f | Elect Director Stelios Papadopoulos           | For     | For       | Management |
| 1g | Elect Director Brian S. Posner                | For     | For       | Management |
| 1h | Elect Director Eric K. Rowinsky               | For     | For       | Management |
| 1i | Elect Director Lynn Schenk                    | For     | For       | Management |
| 1j | Elect Director Stephen A. Sherwin             | For     | For       | Management |
| 1k | Elect Director Michel Vounatsos               | For     | For       | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors | For     | For       | Management |

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|   |  |          |          |            |
|---|--|----------|----------|------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against  | Management |
| 4 | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management |
| 5 | Approve Omnibus Stock Plan                                     | For      | Against  | Management |

### CHESAPEAKE ENERGY CORPORATION

Ticker: CHK Security ID: 165167107  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Gloria R. Boyland                               | For      | For       | Management |
| 1b | Elect Director Luke R. Corbett                                 | For      | For       | Management |
| 1c | Elect Director Archie W. Dunham                                | For      | For       | Management |
| 1d | Elect Director Robert D. Lawler                                | For      | For       | Management |
| 1e | Elect Director R. Brad Martin                                  | For      | For       | Management |
| 1f | Elect Director Merrill A. ('Pete') Miller, Jr.                 | For      | Against   | Management |
| 1g | Elect Director Thomas L. Ryan                                  | For      | Against   | Management |
| 2  | Increase Authorized Common Stock                               | For      | Against   | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 5  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | Against   | Management |

### COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101  
 Meeting Date: JUN 08, 2017 Meeting Type: Annual  
 Record Date: MAR 16, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.1  | Elect Director Kenneth J. Bacon  | For      | For       | Management  |
| 1.2  | Elect Director Madeline S. Bell  | For      | For       | Management  |
| 1.3  | Elect Director Sheldon M. Bonovitz                                     | For      | For       | Management  |
| 1.4  | Elect Director Edward D. Breen   | For      | For       | Management  |
| 1.5  | Elect Director Gerald L. Hassell                                       | For      | For       | Management  |
| 1.6  | Elect Director Jeffrey A. Honickman                                    | For      | For       | Management  |
| 1.7  | Elect Director Asuka Nakahara  | For      | For       | Management  |
| 1.8  | Elect Director David C. Novak  | For      | For       | Management  |
| 1.9  | Elect Director Brian L. Roberts  | For      | For       | Management  |
| 1.10 | Elect Director Johnathan A. Rodgers                                    | For      | For       | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors                               | For      | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation         | For      | Against   | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                                  | One Year | One Year  | Management  |
| 5    | Report on Lobbying Payments and Policy                                 | Against  | For       | Shareholder |
| 6    | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against  | For       | Shareholder |

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### COSTCO WHOLESALE CORPORATION

Ticker: COST Security ID: 22160K105  
 Meeting Date: JAN 26, 2017 Meeting Type: Annual  
 Record Date: NOV 18, 2016

| #   | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Susan L. Decker                                 | For      | For       | Management |
| 1.2 | Elect Director Richard A. Galanti                              | For      | For       | Management |
| 1.3 | Elect Director John W. Meisenbach                              | For      | For       | Management |
| 1.4 | Elect Director Charles T. Munger                               | For      | For       | Management |
| 2   | Ratify KPMG LLP as Auditors                                    | For      | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 4   | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |

### DEERE & COMPANY

Ticker: DE Security ID: 244199105  
 Meeting Date: FEB 22, 2017 Meeting Type: Annual  
 Record Date: DEC 30, 2016

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Samuel R. Allen                                 | For      | Against   | Management  |
| 1b | Elect Director Crandall C. Bowles                              | For      | For       | Management  |
| 1c | Elect Director Vance D. Coffman                                | For      | For       | Management  |
| 1d | Elect Director Alan C. Heuberger                               | For      | For       | Management  |
| 1e | Elect Director Dipak C. Jain                                   | For      | For       | Management  |
| 1f | Elect Director Michael O. Johanns                              | For      | For       | Management  |
| 1g | Elect Director Clayton M. Jones                                | For      | For       | Management  |
| 1h | Elect Director Brian M. Krzanich                               | For      | For       | Management  |
| 1i | Elect Director Gregory R. Page                                 | For      | For       | Management  |
| 1j | Elect Director Sherry M. Smith                                 | For      | For       | Management  |
| 1k | Elect Director Dmitri L. Stockton                              | For      | For       | Management  |
| 1l | Elect Director Sheila G. Talton                                | For      | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 4  | Ratify Deloitte & Touche LLP as Auditors                       | For      | For       | Management  |
| 5  | Provide Right to Act by Written Consent                        | Against  | For       | Shareholder |

### EMC CORPORATION

Ticker: EMC Security ID: 268648102  
 Meeting Date: JUL 19, 2016 Meeting Type: Special  
 Record Date: MAY 13, 2016

| # | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement           | For     | For       | Management |
| 2 | Advisory Vote on Golden Parachutes | For     | For       | Management |
| 3 | Adjourn Meeting                    | For     | For       | Management |

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FACEBOOK, INC.

Ticker: FB Security ID: 30303M102  
 Meeting Date: JUN 01, 2017 Meeting Type: Annual  
 Record Date: APR 07, 2017

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Marc L. Andreessen                                      | For     | For       | Management  |
| 1.2 | Elect Director Erskine B. Bowles                                       | For     | For       | Management  |
| 1.3 | Elect Director Susan D. Desmond-Hellmann                               | For     | For       | Management  |
| 1.4 | Elect Director Reed Hastings   | For     | For       | Management  |
| 1.5 | Elect Director Jan Koum  | For     | For       | Management  |
| 1.6 | Elect Director Sheryl K. Sandberg                                      | For     | For       | Management  |
| 1.7 | Elect Director Peter A. Thiel  | For     | For       | Management  |
| 1.8 | Elect Director Mark Zuckerberg   | For     | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors                                   | For     | Against   | Management  |
| 3   | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For       | Shareholder |
| 4   | Report on Lobbying Payments and Policy                                 | Against | For       | Shareholder |
| 5   | Report on Public Policy Issues Associated with Fake News               | Against | Against   | Shareholder |
| 6   | Gender Pay Gap   | Against | For       | Shareholder |
| 7   | Require Independent Board Chairman                                     | Against | For       | Shareholder |

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 FREEPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 06, 2017 Meeting Type: Annual  
 Record Date: APR 11, 2017

| #   | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director Richard C. Adkerson                             | For      | For       | Management |
| 1.2 | Elect Director Gerald J. Ford                                  | For      | Withhold  | Management |
| 1.3 | Elect Director Lydia H. Kennard                                | For      | For       | Management |
| 1.4 | Elect Director Andrew Langham                                  | For      | Withhold  | Management |
| 1.5 | Elect Director Jon C. Madonna                                  | For      | For       | Management |
| 1.6 | Elect Director Courtney Mather                                 | For      | Withhold  | Management |
| 1.7 | Elect Director Dustan E. McCoy                                 | For      | For       | Management |
| 1.8 | Elect Director Frances Fragos Townsend                         | For      | For       | Management |
| 2   | Ratify Ernst & Young LLP as Auditors                           | For      | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 4   | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |

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 INTEL CORPORATION

Ticker: INTC Security ID: 458140100  
 Meeting Date: MAY 18, 2017 Meeting Type: Annual  
 Record Date: MAR 20, 2017

| #  | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Charlene Barshefsky | For     | Against   | Management |
| 1b | Elect Director Aneel Bhusri        | For     | Against   | Management |
| 1c | Elect Director Andy D. Bryant      | For     | Against   | Management |
| 1d | Elect Director Reed E. Hundt       | For     | Against   | Management |



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|    |  |          |          |             |
|----|--|----------|----------|-------------|
| 1e | Elect Director Omar Ishrak                                     | For      | For      | Management  |
| 1f | Elect Director Brian M. Krzanich                               | For      | For      | Management  |
| 1g | Elect Director Tsu-Jae King Liu                                | For      | For      | Management  |
| 1h | Elect Director David S. Pottruck                               | For      | Against  | Management  |
| 1i | Elect Director Gregory D. Smith                                | For      | For      | Management  |
| 1j | Elect Director Frank D. Yearly                                 | For      | For      | Management  |
| 1k | Elect Director David B. Yoffie                                 | For      | Against  | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For      | Against  | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against  | Management  |
| 4  | Amend Omnibus Stock Plan                                       | For      | Against  | Management  |
| 5  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management  |
| 6  | Political Contributions Disclosure                             | Against  | Against  | Shareholder |
| 7  | Provide Vote Counting to Exclude Abstentions                   | Against  | Against  | Shareholder |

### JOHNSON CONTROLS INTERNATIONAL PLC

Ticker: JCI Security ID: G51502105  
 Meeting Date: MAR 08, 2017 Meeting Type: Annual  
 Record Date: JAN 04, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director David P. Abney                                  | For      | For       | Management |
| 1b | Elect Director Natalie A. Black                                | For      | For       | Management |
| 1c | Elect Director Michael E. Daniels                              | For      | For       | Management |
| 1d | Elect Director Brian Duperreault                               | For      | For       | Management |
| 1e | Elect Director Jeffrey A. Joerres                              | For      | For       | Management |
| 1f | Elect Director Alex A. Molinaroli                              | For      | Against   | Management |
| 1g | Elect Director George R. Oliver                                | For      | For       | Management |
| 1h | Elect Director Juan Pablo del Valle Perochena                  | For      | For       | Management |
| 1i | Elect Director Jurgen Tinggren                                 | For      | For       | Management |
| 1j | Elect Director Mark Vergnano                                   | For      | For       | Management |
| 1k | Elect Director R. David Yost                                   | For      | For       | Management |
| 2a | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management |
| 2b | Authorize Board to Fix Remuneration of Auditors                | For      | For       | Management |
| 3  | Authorize Market Purchases of Company shares                   | For      | For       | Management |
| 4  | Determine Price Range for Reissuance of Treasury Shares        | For      | For       | Management |
| 5  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 6  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 7  | Amend Omnibus Stock Plan                                       | For      | For       | Management |
| 8  | Approve the Directors' Authority to Allot Shares               | For      | For       | Management |
| 9  | Approve the Disapplication of Statutory Pre-Emption Rights     | For      | For       | Management |

### JOHNSON CONTROLS, INC.

Ticker: JCI Security ID: 478366107  
 Meeting Date: AUG 17, 2016 Meeting Type: Special

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Record Date: JUN 27, 2016

| # | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement           | For     | For       | Management |
| 2 | Adjourn Meeting                    | For     | For       | Management |
| 3 | Advisory Vote on Golden Parachutes | For     | Against   | Management |

JOY GLOBAL INC.

Ticker: JOY Security ID: 481165108  
 Meeting Date: OCT 19, 2016 Meeting Type: Special  
 Record Date: SEP 01, 2016

| # | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement           | For     | For       | Management |
| 2 | Advisory Vote on Golden Parachutes | For     | Against   | Management |
| 3 | Adjourn Meeting                    | For     | For       | Management |

MACY'S, INC.

Ticker: M Security ID: 55616P104  
 Meeting Date: MAY 19, 2017 Meeting Type: Annual  
 Record Date: MAR 23, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Francis S. Blake                                | For      | For       | Management |
| 1b | Elect Director John A. Bryant                                  | For      | For       | Management |
| 1c | Elect Director Deirdre P. Connelly                             | For      | For       | Management |
| 1d | Elect Director Jeff Gennette                                   | For      | For       | Management |
| 1e | Elect Director Leslie D. Hale                                  | For      | For       | Management |
| 1f | Elect Director William H. Lenehan                              | For      | For       | Management |
| 1g | Elect Director Sara Levinson                                   | For      | For       | Management |
| 1h | Elect Director Terry J. Lundgren                               | For      | For       | Management |
| 1i | Elect Director Joyce M. Roche                                  | For      | For       | Management |
| 1j | Elect Director Paul C. Varga                                   | For      | For       | Management |
| 1k | Elect Director Marna C. Whittington                            | For      | Against   | Management |
| 1l | Elect Director Annie Young-Scrivner                            | For      | For       | Management |
| 2  | Ratify KPMG LLP as Auditors                                    | For      | Against   | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 5  | Amend Executive Incentive Bonus Plan                           | For      | For       | Management |

MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101  
 Meeting Date: MAY 24, 2017 Meeting Type: Annual  
 Record Date: MAR 27, 2017

| #  | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Lloyd Dean          | For     | For       | Management |
| 1b | Elect Director Stephen Easterbrook | For     | For       | Management |
| 1c | Elect Director Robert Eckert       | For     | Against   | Management |

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|    |   |          |          |             |
|----|---|----------|----------|-------------|
| 1d | Elect Director Margaret Georgiadis  | For      | For      | Management  |
| 1e | Elect Director Enrique Hernandez, Jr.                                       | For      | For      | Management  |
| 1f | Elect Director Jeanne Jackson   | For      | Against  | Management  |
| 1g | Elect Director Richard Lenny  | For      | For      | Management  |
| 1h | Elect Director John Mulligan  | For      | For      | Management  |
| 1i | Elect Director Sheila Penrose   | For      | For      | Management  |
| 1j | Elect Director John Rogers, Jr.   | For      | Against  | Management  |
| 1k | Elect Director Miles White  | For      | Against  | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation              | For      | For      | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                                       | One Year | One Year | Management  |
| 4  | Amend Omnibus Stock Plan  | For      | For      | Management  |
| 5  | Ratify Ernst & Young LLP as Auditors  | For      | Against  | Management  |
| 6  | Provide Vote Counting to Exclude Abstentions                                | Against  | Against  | Shareholder |
| 7  | Reduce Ownership Threshold for Shareholders to Call a Special Meeting       | Against  | For      | Shareholder |
| 8  | Issue New Series of Preferred Stock with the Right to Elect own Director    | Against  | Against  | Shareholder |
| 9  | Adopt Holy Land Principles  | Against  | Against  | Shareholder |
| 10 | Adopt Policy to Ban Non-Therapeutic Use of Antibiotics in Meat Supply Chain | Against  | For      | Shareholder |
| 11 | Assess Environmental Impact of Polystyrene Foam Cups                        | Against  | For      | Shareholder |
| 12 | Report on Charitable Contributions  | Against  | Against  | Shareholder |

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### MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103  
 Meeting Date: JUL 27, 2016 Meeting Type: Annual  
 Record Date: MAY 31, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Andy D. Bryant                                  | For     | For       | Management  |
| 1b | Elect Director Wayne A. Budd                                   | For     | For       | Management  |
| 1c | Elect Director N. Anthony Coles                                | For     | For       | Management  |
| 1d | Elect Director John H. Hammergren                              | For     | Against   | Management  |
| 1e | Elect Director M. Christine Jacobs                             | For     | For       | Management  |
| 1f | Elect Director Donald R. Knauss                                | For     | For       | Management  |
| 1g | Elect Director Marie L. Knowles                                | For     | For       | Management  |
| 1h | Elect Director Edward A. Mueller                               | For     | For       | Management  |
| 1i | Elect Director Susan R. Salka                                  | For     | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4  | Pro-rata Vesting of Equity Awards                              | Against | For       | Shareholder |
| 5  | Report on Political Contributions                              | Against | For       | Shareholder |

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### MGM RESORTS INTERNATIONAL

Ticker: MGM Security ID: 552953101  
 Meeting Date: MAY 31, 2017 Meeting Type: Annual  
 Record Date: APR 05, 2017

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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|      |  |          |          |            |
|------|--|----------|----------|------------|
| 1.1  | Elect Director Robert H. Baldwin                               | For      | For      | Management |
| 1.2  | Elect Director William A. Bible                                | For      | For      | Management |
| 1.3  | Elect Director Mary Chris Gay                                  | For      | For      | Management |
| 1.4  | Elect Director William W. Grounds                              | For      | For      | Management |
| 1.5  | Elect Director Alexis M. Herman                                | For      | For      | Management |
| 1.6  | Elect Director Roland Hernandez                                | For      | Withhold | Management |
| 1.7  | Elect Director John Kilroy                                     | For      | For      | Management |
| 1.8  | Elect Director Rose McKinney-James                             | For      | For      | Management |
| 1.9  | Elect Director James J. Murren                                 | For      | For      | Management |
| 1.10 | Elect Director Gregory M. Spierkel                             | For      | For      | Management |
| 1.11 | Elect Director Daniel J. Taylor                                | For      | For      | Management |
| 2    | Ratify Deloitte & Touche LLP as Auditors                       | For      | For      | Management |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For      | Management |
| 4    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management |

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### MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104  
Meeting Date: NOV 30, 2016 Meeting Type: Annual  
Record Date: SEP 30, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director William H. Gates, III                           | For     | For       | Management  |
| 1.2  | Elect Director Teri L. List-Stoll                              | For     | For       | Management  |
| 1.3  | Elect Director G. Mason Morfit                                 | For     | For       | Management  |
| 1.4  | Elect Director Satya Nadella                                   | For     | For       | Management  |
| 1.5  | Elect Director Charles H. Noski                                | For     | For       | Management  |
| 1.6  | Elect Director Helmut Panke                                    | For     | For       | Management  |
| 1.7  | Elect Director Sandra E. Peterson                              | For     | For       | Management  |
| 1.8  | Elect Director Charles W. Scharf                               | For     | For       | Management  |
| 1.9  | Elect Director John W. Stanton                                 | For     | For       | Management  |
| 1.10 | Elect Director John W. Thompson                                | For     | For       | Management  |
| 1.11 | Elect Director Padmasree Warrior                               | For     | For       | Management  |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 3    | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 4    | Amend Right to Call Special Meeting                            | For     | For       | Management  |
| 5    | Amend Omnibus Stock Plan                                       | For     | For       | Management  |
| 6    | Proxy Access   | Against | For       | Shareholder |

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### MONSANTO COMPANY

Ticker: MON Security ID: 61166W101  
Meeting Date: DEC 13, 2016 Meeting Type: Special  
Record Date: NOV 07, 2016

| # | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement           | For     | For       | Management |
| 2 | Advisory Vote on Golden Parachutes | For     | For       | Management |
| 3 | Adjourn Meeting                    | For     | For       | Management |

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## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

MONSANTO COMPANY

Ticker: MON Security ID: 61166W101  
 Meeting Date: JAN 27, 2017 Meeting Type: Annual  
 Record Date: DEC 05, 2016

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Dwight M. 'Mitch' Barns                         | For      | For       | Management  |
| 1b | Elect Director Gregory H. Boyce                                | For      | For       | Management  |
| 1c | Elect Director David L. Chicoine                               | For      | For       | Management  |
| 1d | Elect Director Janice L. Fields                                | For      | For       | Management  |
| 1e | Elect Director Hugh Grant                                      | For      | Against   | Management  |
| 1f | Elect Director Arthur H. Harper                                | For      | For       | Management  |
| 1g | Elect Director Laura K. Ipsen                                  | For      | For       | Management  |
| 1h | Elect Director Marcos M. Lutz                                  | For      | For       | Management  |
| 1i | Elect Director C. Steven McMillan                              | For      | For       | Management  |
| 1j | Elect Director Jon R. Moeller                                  | For      | For       | Management  |
| 1k | Elect Director George H. Poste                                 | For      | For       | Management  |
| 1l | Elect Director Robert J. Stevens                               | For      | For       | Management  |
| 1m | Elect Director Patricia Verduin                                | For      | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Amend Omnibus Stock Plan                                       | For      | For       | Management  |
| 6  | Report on Lobbying Payments and Policy                         | Against  | For       | Shareholder |
| 7  | Report on Effectiveness and Risks of Glyphosate Use            | Against  | For       | Shareholder |

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NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101  
 Meeting Date: MAY 17, 2017 Meeting Type: Annual  
 Record Date: MAR 24, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1A | Elect Director Clay C. Williams                                | For      | Against   | Management  |
| 1B | Elect Director Greg L. Armstrong                               | For      | Against   | Management  |
| 1C | Elect Director Marcela E. Donadio                              | For      | For       | Management  |
| 1D | Elect Director Ben A. Guill                                    | For      | Against   | Management  |
| 1E | Elect Director James T. Hackett                                | For      | Against   | Management  |
| 1F | Elect Director David D. Harrison                               | For      | Against   | Management  |
| 1G | Elect Director Eric L. Mattson                                 | For      | Against   | Management  |
| 1H | Elect Director William R. Thomas                               | For      | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For      | Against   | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Adopt Proxy Access Right                                       | For      | For       | Shareholder |

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: NOV 16, 2016 Meeting Type: Annual

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Record Date: SEP 19, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Jeffrey S. Berg                                 | For     | For       | Management  |
| 1.2  | Elect Director H. Raymond Bingham                              | For     | For       | Management  |
| 1.3  | Elect Director Michael J. Boskin                               | For     | For       | Management  |
| 1.4  | Elect Director Safra A. Catz                                   | For     | For       | Management  |
| 1.5  | Elect Director Bruce R. Chizen                                 | For     | For       | Management  |
| 1.6  | Elect Director George H. Conrades                              | For     | For       | Management  |
| 1.7  | Elect Director Lawrence J. Ellison                             | For     | For       | Management  |
| 1.8  | Elect Director Hector Garcia-Molina                            | For     | For       | Management  |
| 1.9  | Elect Director Jeffrey O. Henley                               | For     | For       | Management  |
| 1.10 | Elect Director Mark V. Hurd                                    | For     | For       | Management  |
| 1.11 | Elect Director Renee J. James                                  | For     | For       | Management  |
| 1.12 | Elect Director Leon E. Panetta                                 | For     | For       | Management  |
| 1.13 | Elect Director Naomi O. Seligman                               | For     | For       | Management  |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 3    | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 4    | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102  
 Meeting Date: MAY 09, 2017 Meeting Type: Annual  
 Record Date: MAR 10, 2017

| #    | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|------|--|----------|-----------|-------------|
| 1.1  | Elect Director Thomas J. Baltimore, Jr.                        | For      | For       | Management  |
| 1.2  | Elect Director Gilbert F. Casellas                             | For      | For       | Management  |
| 1.3  | Elect Director Mark B. Grier                                   | For      | For       | Management  |
| 1.4  | Elect Director Martina Hund-Mejean                             | For      | For       | Management  |
| 1.5  | Elect Director Karl J. Krapek                                  | For      | Against   | Management  |
| 1.6  | Elect Director Peter R. Lighte                                 | For      | For       | Management  |
| 1.7  | Elect Director George Paz                                      | For      | For       | Management  |
| 1.8  | Elect Director Sandra Pianalto                                 | For      | For       | Management  |
| 1.9  | Elect Director Christine A. Poon                               | For      | For       | Management  |
| 1.10 | Elect Director Douglas A. Scovanner                            | For      | For       | Management  |
| 1.11 | Elect Director John R. Strangfeld                              | For      | Against   | Management  |
| 1.12 | Elect Director Michael A. Todman                               | For      | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | Against   | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against   | Management  |
| 4    | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5    | Require Independent Board Chairman                             | Against  | For       | Shareholder |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 07, 2017 Meeting Type: Annual  
 Record Date: JAN 09, 2017

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Barbara T. Alexander | For     | For       | Management |
| 1b | Elect Director Jeffrey W. Henderson | For     | For       | Management |

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|    |  |         |     |             |
|----|--|---------|-----|-------------|
| 1c | Elect Director Thomas W. Horton                                | For     | For | Management  |
| 1d | Elect Director Paul E. Jacobs                                  | For     | For | Management  |
| 1e | Elect Director Ann M. Livermore                                | For     | For | Management  |
| 1f | Elect Director Harish Manwani                                  | For     | For | Management  |
| 1g | Elect Director Mark D. McLaughlin                              | For     | For | Management  |
| 1h | Elect Director Steve Mollenkopf                                | For     | For | Management  |
| 1i | Elect Director Clark T. "Sandy" Randt, Jr.                     | For     | For | Management  |
| 1j | Elect Director Francisco Ros                                   | For     | For | Management  |
| 1k | Elect Director Anthony J. Vinciguerra                          | For     | For | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For | Management  |
| 4  | Proxy Access Amendments  | Against | For | Shareholder |

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### STARBUCKS CORPORATION

Ticker: SBUX Security ID: 855244109

Meeting Date: MAR 22, 2017 Meeting Type: Annual

Record Date: JAN 12, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Howard Schultz                                  | For      | Against   | Management  |
| 1b | Elect Director William W. Bradley                              | For      | For       | Management  |
| 1c | Elect Director Rosalind Brewer                                 | For      | For       | Management  |
| 1d | Elect Director Mary N. Dillon                                  | For      | For       | Management  |
| 1e | Elect Director Robert M. Gates                                 | For      | For       | Management  |
| 1f | Elect Director Mellody Hobson                                  | For      | For       | Management  |
| 1g | Elect Director Kevin R. Johnson                                | For      | For       | Management  |
| 1h | Elect Director Jorgen Vig Knudstorp                            | For      | For       | Management  |
| 1i | Elect Director Satya Nadella                                   | For      | For       | Management  |
| 1j | Elect Director Joshua Cooper Ramo                              | For      | For       | Management  |
| 1k | Elect Director Clara Shih                                      | For      | For       | Management  |
| 1l | Elect Director Javier G. Teruel                                | For      | For       | Management  |
| 1m | Elect Director Myron E. Ullman, III                            | For      | For       | Management  |
| 1n | Elect Director Craig E. Weatherup                              | For      | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 4  | Ratify Deloitte & Touche LLP as Auditors                       | For      | For       | Management  |
| 5  | Amend Proxy Access Right                                       | Against  | For       | Shareholder |

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### TARGET CORPORATION

Ticker: TGT Security ID: 87612E106

Meeting Date: JUN 14, 2017 Meeting Type: Annual

Record Date: APR 17, 2017

| #  | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Roxanne S. Austin     | For     | For       | Management |
| 1b | Elect Director Douglas M. Baker, Jr. | For     | Against   | Management |
| 1c | Elect Director Brian C. Cornell      | For     | For       | Management |
| 1d | Elect Director Calvin Darden         | For     | For       | Management |
| 1e | Elect Director Henrique De Castro    | For     | For       | Management |

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|    |  |          |          |            |
|----|--|----------|----------|------------|
| 1f | Elect Director Robert L. Edwards                               | For      | For      | Management |
| 1g | Elect Director Melanie L. Healey                               | For      | For      | Management |
| 1h | Elect Director Donald R. Knauss                                | For      | For      | Management |
| 1i | Elect Director Monica C. Lozano                                | For      | For      | Management |
| 1j | Elect Director Mary E. Minnick                                 | For      | For      | Management |
| 1k | Elect Director Derica W. Rice                                  | For      | For      | Management |
| 1l | Elect Director Kenneth L. Salazar                              | For      | For      | Management |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For      | Against  | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For      | Management |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management |
| 5  | Approve Executive Incentive Bonus Plan                         | For      | For      | Management |

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### THE KROGER CO.

Ticker: KR Security ID: 501044101  
 Meeting Date: JUN 22, 2017 Meeting Type: Annual  
 Record Date: APR 26, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Nora A. Aufreiter                                 | For      | For       | Management  |
| 1b | Elect Director Robert D. Beyer                                   | For      | Against   | Management  |
| 1c | Elect Director Anne Gates  | For      | For       | Management  |
| 1d | Elect Director Susan J. Kropf                                    | For      | For       | Management  |
| 1e | Elect Director W. Rodney McMullen                                | For      | Against   | Management  |
| 1f | Elect Director Jorge P. Montoya                                  | For      | For       | Management  |
| 1g | Elect Director Clyde R. Moore                                    | For      | Against   | Management  |
| 1h | Elect Director James A. Runde                                    | For      | For       | Management  |
| 1i | Elect Director Ronald L. Sargent                                 | For      | For       | Management  |
| 1j | Elect Director Bobby S. Shackouls                                | For      | Against   | Management  |
| 1k | Elect Director Mark S. Sutton                                    | For      | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation   | For      | Against   | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                            | One Year | One Year  | Management  |
| 4  | Ratify PricewaterhouseCoopers LLP as Auditors                    | For      | Against   | Management  |
| 5  | Assess Environmental Impact of Non-Recyclable Packaging          | Against  | For       | Shareholder |
| 6  | Assess Benefits of Adopting Renewable Energy Goals               | Against  | For       | Shareholder |
| 7  | Adopt Policy and Plan to Eliminate Deforestation in Supply Chain | Against  | For       | Shareholder |
| 8  | Require Independent Board Chairman                               | Against  | For       | Shareholder |

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### THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109  
 Meeting Date: OCT 11, 2016 Meeting Type: Annual  
 Record Date: AUG 12, 2016

| #  | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Francis S. Blake    | For     | For       | Management |
| 1b | Elect Director Angela F. Braly     | For     | For       | Management |
| 1c | Elect Director Kenneth I. Chenault | For     | For       | Management |
| 1d | Elect Director Scott D. Cook       | For     | For       | Management |
| 1e | Elect Director Terry J. Lundgren   | For     | For       | Management |



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|    |   |         |         |             |
|----|---|---------|---------|-------------|
| 1f | Elect Director W. James Mc Nerney, Jr.  | For     | For     | Management  |
| 1g | Elect Director David S. Taylor  | For     | Against | Management  |
| 1h | Elect Director Margaret C. Whitman  | For     | For     | Management  |
| 1i | Elect Director Patricia A. Woertz   | For     | For     | Management  |
| 1j | Elect Director Ernesto Zedillo  | For     | For     | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors  | For     | For     | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                                      | For     | For     | Management  |
| 4  | Report on Consistency Between Corporate Values and Political Activities                             | Against | For     | Shareholder |
| 5  | Report on Application of Company Non-Discrimination Policies in States with Pro-Discrimination Laws | Against | For     | Shareholder |

### THE WALT DISNEY COMPANY

Ticker: DIS Security ID: 254687106  
 Meeting Date: MAR 08, 2017 Meeting Type: Annual  
 Record Date: JAN 09, 2017

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor     |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Susan E. Arnold                                 | For      | For       | Management  |
| 1b | Elect Director John S. Chen                                    | For      | For       | Management  |
| 1c | Elect Director Jack Dorsey                                     | For      | For       | Management  |
| 1d | Elect Director Robert A. Iger                                  | For      | Against   | Management  |
| 1e | Elect Director Maria Elena Lagomasino                          | For      | For       | Management  |
| 1f | Elect Director Fred H. Langhammer                              | For      | For       | Management  |
| 1g | Elect Director Aylwin B. Lewis                                 | For      | For       | Management  |
| 1h | Elect Director Robert W. Matschullat                           | For      | For       | Management  |
| 1i | Elect Director Mark G. Parker                                  | For      | For       | Management  |
| 1j | Elect Director Sheryl K. Sandberg                              | For      | For       | Management  |
| 1k | Elect Director Orin C. Smith                                   | For      | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For      | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management  |
| 4  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management  |
| 5  | Report on Lobbying Payments and Policy                         | Against  | For       | Shareholder |
| 6  | Proxy Access Bylaw Amendment                                   | Against  | For       | Shareholder |

### UNITEDHEALTH GROUP INCORPORATED

Ticker: UNH Security ID: 91324P102  
 Meeting Date: JUN 05, 2017 Meeting Type: Annual  
 Record Date: APR 11, 2017

| #  | Proposal                               | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director William C. Ballard, Jr. | For     | Against   | Management |
| 1b | Elect Director Richard T. Burke        | For     | Against   | Management |
| 1c | Elect Director Timothy P. Flynn        | For     | For       | Management |
| 1d | Elect Director Stephen J. Hemsley      | For     | For       | Management |
| 1e | Elect Director Michele J. Hooper       | For     | For       | Management |
| 1f | Elect Director Rodger A. Lawson        | For     | For       | Management |
| 1g | Elect Director Glenn M. Renwick        | For     | Against   | Management |

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|    |  |          |          |             |
|----|--|----------|----------|-------------|
| 1h | Elect Director Kenneth I. Shine                                | For      | For      | Management  |
| 1i | Elect Director Gail R. Wilensky                                | For      | Against  | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Against  | Management  |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year | Management  |
| 4  | Ratify Deloitte & Touche LLP as Auditors                       | For      | For      | Management  |
| 5  | Report on Lobbying Payments and Policy                         | Against  | For      | Shareholder |

VISA INC.

Ticker: V Security ID: 92826C839  
 Meeting Date: JAN 31, 2017 Meeting Type: Annual  
 Record Date: DEC 02, 2016

| #  | Proposal   | Mgt Rec  | Vote Cast | Sponsor    |
|----|--|----------|-----------|------------|
| 1a | Elect Director Lloyd A. Carney                                 | For      | For       | Management |
| 1b | Elect Director Mary B. Cranston                                | For      | For       | Management |
| 1c | Elect Director Francisco Javier Fernandez-Carbajal             | For      | For       | Management |
| 1d | Elect Director Gary A. Hoffman                                 | For      | For       | Management |
| 1e | Elect Director Alfred F. Kelly, Jr.                            | For      | For       | Management |
| 1f | Elect Director Robert W. Matschullat                           | For      | For       | Management |
| 1g | Elect Director Suzanne Nora Johnson                            | For      | For       | Management |
| 1h | Elect Director John A.C. Swainson                              | For      | For       | Management |
| 1i | Elect Director Maynard G. Webb, Jr.                            | For      | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | For       | Management |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | One Year  | Management |
| 4  | Ratify KPMG LLP as Auditors                                    | For      | For       | Management |

VISA INC.

Ticker: V Security ID: 92826C839  
 Meeting Date: JAN 31, 2017 Meeting Type: Annual  
 Record Date: DEC 02, 2016

| #  | Proposal   | Mgt Rec  | Vote Cast    | Sponsor    |
|----|--|----------|--------------|------------|
| 1a | Elect Director Lloyd A. Carney                                 | For      | Did Not Vote | Management |
| 1b | Elect Director Mary B. Cranston                                | For      | Did Not Vote | Management |
| 1c | Elect Director Francisco Javier Fernandez-Carbajal             | For      | Did Not Vote | Management |
| 1d | Elect Director Gary A. Hoffman                                 | For      | Did Not Vote | Management |
| 1e | Elect Director Alfred F. Kelly, Jr.                            | For      | Did Not Vote | Management |
| 1f | Elect Director Robert W. Matschullat                           | For      | Did Not Vote | Management |
| 1g | Elect Director Suzanne Nora Johnson                            | For      | Did Not Vote | Management |
| 1h | Elect Director John A.C. Swainson                              | For      | Did Not Vote | Management |
| 1i | Elect Director Maynard G. Webb, Jr.                            | For      | Did Not Vote | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For      | Did Not Vote | Management |
| 3  | Advisory Vote on Say on Pay Frequency                          | One Year | Did Not Vote | Management |
| 4  | Ratify KPMG LLP as Auditors                                    | For      | Did Not Vote | Management |

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WALGREENS BOOTS ALLIANCE, INC.

Ticker: WBA Security ID: 931427108  
Meeting Date: JAN 26, 2017 Meeting Type: Annual  
Record Date: NOV 28, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Janice M. Babiak                                | For     | For       | Management  |
| 1b | Elect Director David J. Brailer                                | For     | For       | Management  |
| 1c | Elect Director William C. Foote                                | For     | For       | Management  |
| 1d | Elect Director Ginger L. Graham                                | For     | For       | Management  |
| 1e | Elect Director John A. Lederer                                 | For     | For       | Management  |
| 1f | Elect Director Dominic P. Murphy                               | For     | For       | Management  |
| 1g | Elect Director Stefano Pessina                                 | For     | For       | Management  |
| 1h | Elect Director Leonard D. Schaeffer                            | For     | For       | Management  |
| 1i | Elect Director Nancy M. Schlichting                            | For     | For       | Management  |
| 1j | Elect Director James A. Skinner                                | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 4  | Approve Executive Incentive Bonus Plan                         | For     | For       | Management  |
| 5  | Proxy Access   | Against | For       | Shareholder |
| 6  | Approve Report on Executive Pay & Sustainability Performance   | Against | For       | Shareholder |

===== END NPX REPORT

Public

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Public

## SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AllianzGI Equity & Convertible Income Fund

By (Signature and Title)\*: /s/ Thomas J. Fuccillo

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Name: Thomas J. Fuccillo  
Title: President and Chief Executive Officer

Date: August 21, 2017

\* Print the name and title of each signing officer under his or her signature.