HUMANA INC Form 144 February 21, 2017

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#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC USE ONLY

DOCUMENT SEQUENCE NO.

**FORM 144** 

## WORK LOCATION

**CUSIP NUMBER** 

#### NOTICE OF PROPOSED SALE OF SECURITIES

#### PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** *Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.* 

(b) IRS IDENT. NO. (c) S.E.C. FILE NO. 1(a) NAME OF ISSUER (Please type or print) Humana Inc. 61-0647538 1-5975 1(*d*) ADDRESS OF ISSUER CITY STREET STATE ZIP CODE (e) TELEPHONE AREA CODE NUMBER 500 West Main Street Louisville KY 40202 580-1000 502 2(a) NAME OF PERSON FOR WHOSE ACCOUNT (b) RELATIONSHIP TO:) ADDRESSSTARET ZIP CODE THE SECURITIES ARE TO BE SOLD **ISSUER** SVP & Chief Jody L. Bilney 5000 WsvMkein StrKeY 40202 **Consumer Officer** INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number.

### Edgar Filing: HUMANA INC - Form 144

		SEC						
3(a)	<i>(b)</i>	USE ONL	$\mathbf{Y}_{c}$	(d)	( <i>e</i> )	(f)	<i>(g)</i>	
Title of the		Num	nber of S	hares Num	ber of S	harespproximate	Name of Each	
Class of			or Other	or	Other U	nits Date of Sale	Securities	
Securiti <b>es</b> ame and Address of Each Broker Through Whom		Broker	Units	Aggregate	utstandi	ng (See Instr. 3(f))	Exchange	
To Be Sold			To Be	Market	(See	(MO. DAY YR.)	(See instr. 3(g))	
	the Securities Are		Sold	Value	Instr.			
To Be Offered or Each M <b>Barkke</b> r-Do		<b>rkke</b> r-Dea	ler		3(e))			
	Maker who is Acquiring the Securities	File s Number	(See Instr. 3 (c)) (S	See Instr. 3(a	d))			
Common	Charles Schwab & Co., Inc. 9899 Schwab Way		6,087 \$1,249,8131 <b>28</b> ,498,407 02/21/2017 NYSE as of based on FMV1601/2017					
	Lone Tree, CO 80124			02/17/2017 of \$205.3250				

#### **INSTRUCTIONS:**

- 1. (a) Name of Issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and Address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

#### Potential persons who are to respond to the collection of information contained in this form are not

#### required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of Date you	Name of t	he Person from Whom	Acquired Amount of	Date of	Nature of
the Class Acquired Na	ature of Acquisition Trans(action), a	also give date donor ac		ayment	Payment
Common 02/18/2017	Restricted Stock	Issuer	6,087	N/A	N/A
INSTRUCTIONS:	If the securities were purchased a purchase, explain in the table or in consideration consisted of any not describe the arrangement and star the last installment paid.	in a note thereto the nat	ture of the considera or if payment was n	ation given. nade in inst	. If the tallments

#### **TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS**

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of<br/>SellerAmount ofTitle of Securities SoldDate of SaleSecurities SoldGross Proceeds

None **REMARKS:** 

#### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

#### **ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy

Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

#### 2/21/2017

#### DATE OF NOTICE

/s/ Jody L. Bilney

#### (SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

# ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)