HUMANA INC Form 144 February 21, 2017

> OMB APPROVAL OMB Numb&235-0101 Expires: May 31, 2017 Estimated average burden hours per response 1.00

UNITED STATES SEC USE ONLY

DOCUMENT SEQUENCE NO.
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 CUSIP NUMBER

FORM 144 WORK LOCATION

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1(a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO.

Humana Inc. 61-0647538 1-5975

1(d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE (e) TELEPHONE

AREA CODE NUMBER

500 West Main Street Louisville KY 40202 502 580-1000

ME OF PERSON FOR WHOSE ACCOUNT (b) RELATIONSHIP T\(\varphi\) ADDRESS STREET CITY STATE ZIF

E SECURITIES ARE TO BE SOLD ISSUER

President & Chief

Executive Officer 500 W. Main Street Louisville KY

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number

SEC 3 (a) (b) USE ON

 $\mathbf{USE} \ \mathbf{ONLY} (a) \qquad (b) \qquad (f) \qquad (g)$

Edgar Filing: HUMANA INC - Form 144

Title of the	Br	dbe r of Sb	AuggsNgutt ber of Sl	Name of Each		
Class of Name and Address of Each Brokeile			or	Market Other Un	Securities	
	Through Whom	Number	Other	Value		
Securities			Units	Outstandii	\mathbf{ng} (See Instr. 3(f))	Exchange
	the Securities Are					
To Be Sold to be Offered or Each Market		To Be	(See	(MO. DAY YR.)	(<i>See instr. 3 g</i>))	
			Sold(Se	e Instr. 3(d)Instr.		
	Maker who is			3(e)		
	Acquiring the Securities		(See			
	•		Instr. 3			
			(c))			
Common	Charles Schwab & Co.,			1,406,36 B920 498,40	7 02/21/2017	NYSE
	Inc.			as of		
				based		
	9899 Schwab Way			on 1/31/2017	1	
	,			FMV		
	Lone Tree, CO 80124			on		
			(02/17/2017		
			`	of		
				OI .		
			9	\$205.3250		
			•	Ψ 2 00.0200		

Edgar Filing: HUMANA INC - Form 144

INSTRUCTIONS:

- 1. (a) Name of Issuer
 - (b) Issuer s I.R.S. Identification Number
 - (c) Issuer s S.E.C. file number, if any
 - (d) Issuer s address, including zip code
 - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
 - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
 - (b) Name and Address of each broker through whom the securities are intended to be sold
 - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
 - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
 - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
 - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of	Date you	Nam	e of Person from Whom Acc	quired Amount of	Date of	Nature of
the Class	Acquire N atu	re of Acquisition Traifiga Non-Qualified Stock Option	i fițiat ro give date donor a S qu	<i>ciuridi</i>)es Acqu	ire P ayment	Payment
Common	02/21/2017	Non-Qualified Stock	Issuer	15,963	2/21/2017	Cash
Common	02/21/2017	Option	Issuer	49,308	2/21/2017	Cash
Common	02/18/2017	Restricted Stock	Issuer	27,844	N/A	N/A
Common	02/15/2016	Restricted Stock	Issuer	4,427	N/A	N/A
Common	02/20/2016	Restricted Stock	Issuer	6,714	N/A	N/A

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of			Amount of	
Seller	Title of Securities Sold	Date of Sale	Securities Sold	Gross Proceeds
None				
REMARKS:				

INSTRUCTIONS: ATTENTION:

Edgar Filing: HUMANA INC - Form 144

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

2/21/2017

/s/ Bruce D. Broussard

DATE OF NOTICE

(SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)