ATHERSYS, INC / NEW Form 8-K January 13, 2017

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

# **WASHINGTON, DC 20549**

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

**Securities Exchange Act of 1934** 

Date of report (Date of earliest event reported): January 11, 2017

## ATHERSYS, INC.

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction **001-33876** (Commission

**20-4864095** (IRS Employer

of Incorporation)

File Number)

**Identification No.)** 

3201 Carnegie Avenue,

44115-2634

## Edgar Filing: ATHERSYS, INC / NEW - Form 8-K

# Cleveland, Ohio (Address of Principal Executive Offices)

(Zip Code)

Registrant s telephone number, including area code: (216) 431-9900

#### Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On January 11, 2017, the Board of Directors of Athersys, Inc. (the *Company*), upon the recommendation of the Compensation Committee of the Board of Directors of the Company, approved the following cash bonuses to the named executive officers of the Company, based on individual performance and achievement of corporate goals in 2016:

Name	Title	Award
Dr. Gil Van Bokkelen	Chief Executive Officer	\$ 154,071
William Lehmann, Jr.	President and Chief Operating Officer	\$ 96,417
Dr. John Harrington	Executive Vice President and Chief Scientific Officer	\$ 96,632
Laura Campbell	Senior Vice President of Finance	\$ 51,691

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# ATHERSYS, INC.

By: /s/ Laura K. Campbell Name: Laura K. Campbell

Title: Senior Vice President of Finance

Date: January 13, 2017