

KIRKLAND'S, INC
Form 3
September 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Holland Adam C

(Last) (First) (Middle)

C/O KIRKLAND'S, INC.,Â 2501
MCGAVOCK PIKE, SUITE
1000

(Street)

NASHVILLE,Â TNÂ 37214

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/08/2009

3. Issuer Name and Ticker or Trading Symbol
KIRKLAND'S, INC [KIRK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other

(give title below) (specify below)

VP of Finance & CAO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

41,220 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (2)	06/08/2019	Common Stock	3,346	\$ 8.9	D	Â
Stock Option (right to buy)	Â (2)	06/08/2020	Common Stock	12,000	\$ 19.06	D	Â
Stock Option (right to buy)	Â (2)	06/01/2021	Common Stock	10,000	\$ 12.33	D	Â
Stock Option (right to buy)	Â (2)	05/30/2022	Common Stock	10,000	\$ 10.93	D	Â
Stock Option (right to buy)	Â (2)	06/04/2023	Common Stock	12,500	\$ 15.79	D	Â
Stock Option (right to buy)	Â (2)	06/14/2024	Common Stock	7,500	\$ 18.46	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Holland Adam C C/O KIRKLAND'S, INC. 2501 MCGAVOCK PIKE, SUITE 1000 NASHVILLE, TN 37214	Â	Â	Â VP of Finance & CAO	Â

Signatures

/s/ Adam C.
Holland

09/03/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 12,000 Restricted Stock Units, 4,000 of which will vest on May 30, 2015, 5,000 of which will vest on June 4, 2016 and 3,000 of which will vest on June 12, 2017.
- (2) These stock options were issued under the Company's Amended & Restated 2002 Equity Incentive Plan and will become or become exercisable as to 25% of the underlying shares on the first anniversary of their respective grant dates (which is the date that is ten years prior to their respective expiration dates) and thereafter will become or have become exercisable as to 6.25% of the underlying shares per quarter for the subsequent three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.