**ADTRAN INC** Form 4 November 03, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Addre HARVEY STE		ng Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol ADTRAN INC [ADTN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	()		
901 EXPLORE	ER BLVD.		(Month/Day/Year) 11/02/2005	Director 10% Owner X_ Officer (give title Other (specify below)		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
HUNTSVILLE	, AL 35806	j	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/02/2005		M	2,000	A	\$ 9.719	14,866	D	
Common Stock	11/02/2005		S	2,000	D	\$ 30.474	12,866	D	
Common Stock	11/02/2005		M	3,504	A	\$ 8.695	16,370	D	
Common Stock	11/02/2005		S	3,504	D	\$ 30.474	12,866	D	
Common Stock	11/02/2005		M	14,496	A	\$ 10.5	27,362	D	

### Edgar Filing: ADTRAN INC - Form 4

14,496 D \$ 12,866 Common S 11/02/2005 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying So (Instr. 3 and 4	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 8.7	11/02/2005		M		3,504	07/12/2001(1)	07/12/2010	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 9.72	11/02/2005		M		2,000	01/04/2000	01/04/2009	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.5	11/02/2005		M		14,496	10/16/2003(2)	10/16/2012	Common Stock
Incentive Stock Option (right to buy)	\$ 8.7						07/12/2001(3)	07/12/2010	Common Stock
Incentive Stock Option (right to buy)	\$ 10.5						10/16/2003(2)	10/16/2012	Common Stock
Incentive Stock Option (right to buy)	\$ 12.75						07/23/2002(2)	07/23/2011	Common Stock
Incentive Stock Option (right to buy)	\$ 18.94						02/05/1998	02/05/2007	Common Stock
Incentive Stock Option (right to buy)	\$ 22.17						10/18/2005(2)	10/18/2014	Common Stock

#### Edgar Filing: ADTRAN INC - Form 4

Incentive Stock Option	\$ 30.04	10/17/2006	10/17/2015	Common
(right to buy)				Stock
Incentive Stock Option (right to buy)	\$ 32.27	11/25/2004(2)	11/25/2013	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 12.75	07/23/2002(2)	07/23/2011	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 18.03	07/15/2000(4)	07/15/2009	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 22.17	10/18/2005(2)	10/18/2014	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 30.04	10/17/2006	10/17/2015	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 32.27	11/25/2004(2)	11/25/2013	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Toporous o mar round / radicoss	Director	10% Owner	Officer	Other		
HARVEY STEVEN L						
901 EXPLORER BLVD.			Vice President Sales			
HUNTSVILLE, AL 35806						

## **Signatures**

By: Cathy Bartels For: Steven L. Harvey 11/03/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests as follows: 15,000 shares on 08/30/02; 7,499 shares on 7/12/2003; 1,752 shares on 7/12/2004.
- (2) The option vests in four equal and annual installments beginning on the first anniversary date of the grant as shown in column 6.
- (3) The option vests as follows: 1 share on 7/12/03; 5,748 shares 7/12/2004
- (4) The option vests in five (5) equal annual installments beginning on July 15, 2000.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3