

Edgar Filing: PRUDENTIAL PLC - Form 6-K

PRUDENTIAL PLC  
Form 6-K  
October 17, 2003

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For the month of October, 2003

PRUDENTIAL PUBLIC LIMITED COMPANY

(Translation of registrant's name into English)

LAURENCE POUNTNEY HILL,  
LONDON, EC4R 0HH, ENGLAND  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F.

Form 20-F ☐ X      Form 40-F ☐

Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ☐      No ☒ X

If "Yes" is marked, indicate below the file number assigned to the registrant  
in connection with Rule 12g3-2(b): 82-

Enclosures:

BlockListing Six Month Return dated 17 October 2003

SCHEDULE 5

BLOCK LISTING SIX MONTHLY RETURN

To:      Listing Applications  
         UK Listing Authority  
         Financial Services Authority  
         25, The North Colonnade  
         Canary Wharf  
         London, E14 5HS

Please ensure the entries on this return are typed

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

1. Name of company Prudential plc
2. Name of scheme Prudential Savings-Related Share Option Scheme
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 7,209,201 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 0 ordinary shares of 5p each during period:
6. Balance under scheme not yet issued/allotted at 7,209,201 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 4,000,000 RA Prudential plc - 15 June 2001 debt securities) originally listed and the date of admission; 5,000,000 RA Prudential plc - 7 June 2002

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

Contact for queries:

Address:

Name: Jennie Webb

Laurence Pountney Hill London EC4R 0HH

Telephone: 020 7548 6027

### SCHEDULE 5

#### BLOCK LISTING SIX MONTHLY RETURN

To: Listing Applications  
UK Listing Authority  
Financial Services Authority  
25, The North Colonnade  
Canary Wharf  
London, E14 5HS

Please ensure the entries on this return are typed

1. Name of company Prudential plc
2. Name of scheme Prudential Executive Share Option Scheme
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 1,004,855 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 316,500 ordinary shares of 5p each during period:

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

6. Balance under scheme not yet issued/allotted at 688,355 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 839,096 RA Prudential plc - 2 October 2001 debt securities) originally listed and the date of admission;

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

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Address:

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Laurence Pountney Hill London EC4R 0HH

Telephone: 020 7548 6027

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To: Listing Applications  
UK Listing Authority  
Financial Services Authority  
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Canary Wharf  
London, E14 5HS

Please ensure the entries on this return are typed

1. Name of company Prudential plc
2. Name of scheme M&G 1994 Executive Share Option Scheme
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 7,567 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 0 ordinary shares of 5p each during period:
6. Balance under scheme not yet issued/allotted at 7,567 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 204,307 RA Prudential plc 00008 - October 1999 debt securities) originally listed and the date of admission;

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

Contact for queries:

Address:

Name: Jennie Webb

Laurence Pountney Hill London EC4R 0HH

Telephone: 020 7548 6027

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### BLOCK LISTING SIX MONTHLY RETURN

To: Listing Applications  
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Canary Wharf  
London, E14 5HS

Please ensure the entries on this return are typed

1. Name of company Prudential plc
2. Name of scheme M&G Limited 1992 Savings-Related Share Option Scheme
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 365,495 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 0 ordinary shares of 5p each during period:
6. Balance under scheme not yet issued/allotted at 365,495 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 1,081,343 RA Prudential plc 00008 - October 1999 debt securities) originally listed and the date of admission;

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

Contact for queries:

Address:

Name: Jennie Webb

Laurence Pountney Hill London EC4R 0HH

Telephone: 020 7548 6027

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Please ensure the entries on this return are typed

1. Name of company Prudential plc
2. Name of scheme Prudential Europe Management Services Sharesave Plan
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 10,000 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 0 ordinary shares of 5p each during period:
6. Balance under scheme not yet issued/allotted at 10,000 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 10,000 RA Prudential plc - 18 December 2001 debt securities) originally listed and the date of admission;

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

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London, E14 5HS

Please ensure the entries on this return are typed

1. Name of company Prudential plc
2. Name of scheme Prudential International Savings-Related Share Option Scheme
3. Period of return: From 1 April 2003 to 30 September 2003
4. Number and class of share(s) (amount of stock/ 100,000 ordinary shares of 5p each debt security) not issued under scheme
5. Number of shares issued/allotted under scheme 0 ordinary shares of 5p each during period:

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6. Balance under scheme not yet issued/allotted at 100,000 ordinary shares of 5p each end of period
7. Number and class of share(s) (amount of stock/ 100,000 RA Prudential plc - 2 October 2001 debt securities) originally listed and the date of admission;

Please confirm total number of shares in issue at the end of the period in order for us to update our records 2,007,331,941 ordinary shares of 5p each

Contact for queries:

Address:

Name: Jennie Webb

Laurence Pountney Hill London EC4R 0HH

Telephone: 020 7548 6027

Person making return

Name: John Price

Position: Deputy Group Secretary

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date 17 October 2003

PRUDENTIAL PUBLIC LIMITED COMPANY

By: /s/ John Price

John Price  
Deputy Group Secretary