## Edgar Filing: HALL KENNETH - Form 4

## HALL KENNETH Form 4 February 05, 2003

FORM 4

|\_| Check this box if no longer subject to Section 16. Form 4

or Form 5 obligations may continue Instruction

1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 or S 30(f) of the Investment Company Act of 1940

(Print or Type Responses) 1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol 6. HALL KENNETH J. MERCATOR SOFTWARE, INC. (MCTR) (Last) (First) (Middle) 3. IRS Identification y 4. Statement for X
Number of Reporting Month/Day/Year ---Person, if an entity Exe c/o Mercator Software, Inc. 45 Danbury Road (Voluntary) 1/31/2003 \_\_\_\_\_ \_\_\_ 5. If Amendment, 7. (Street) Date of Original (Month/Year) Х 06897 Wilton CT \_\_\_\_\_\_ (City) (State) (Zip) TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPO \_\_\_\_\_\_ 2.Trans- 2A. 3.Trans- 4. Securities Acquired 5. 1. Title of Security action Deemed action (A) or Disposed of
Date Execu- Code (D) (Instr. 3, 4 Betion if and 5) (Instr. 3) Date, (Instr. 8) Re if any ----- ac (Month/ (Month/ (A) Day/ Day/ or Year) Code V Amount (D) Price (I P V 4,674 A \$1.0795 PER SHARE COMMON STOCK-ESPP PURCHASE 1/31/03

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\_\_\_\_\_\_ \* Shares purchased through Issuer's Employee Stock Purchase Plan ("ESPP"). Reminder: Report on a separate line for each class of securities beneficially owned directly or \* If the form is filed by more than one reporting person, see Instruction 4(b)(v). FORM 4 (CONTINUED) TABLE II-- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALI (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES) 1.Title of 2.Conver- 3.Trans- 3A. 4.Trans- 5.Number of 6.Date Exer- 7.Title and 8.Price Derivative sion action Deemed action Derivative cisable and Amount of of Security or Date, Execu- Code Securities Expiration Underlying Deriv Instr. 3) Exercise if any tion (Instr. Acquired Date Securities Ative Price of (Month/ Date, 8) (A)or (Month/Day/ (Instr. 3 Secur Deri- Day/ vative Year) Disposed of Year) and 4) Day/ if any ity (D) (Instr. (Inst Security (Month/ 3, 4 and 5)5) Day/ Year) Code V (A) (D) Date Expira- Title Amount Exer- tion or cisable Date Number of Shares .\_\_\_\_\_

Explanation of Responses:

/s/ KENNETH J. HA
----\*\*Signature of Re

KENNETH J. HA

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, SEE Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control Number.