CAPUTO ARTHUR G Form 4 March 04, 2003

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or F $\it Sem$  5 obligations may continue. Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, S
17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h)
Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response. . . . 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person\*  $\,$ 

Caputo Arthur
2. Issuer Name and Ticker or Trading Symbol
Waters Corp. WAT
6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
Director
10% Owner
10% Owner
<u>x</u>
Officer (give title below)
Other (specify below)
President
(Last) (First)
(Middle)
34 Maple Street
3. I.R.S. Identification
Number of Reporting Person, if an entity
(voluntary)
4. Statement for Month/Day/Year
03/03/03
(Street)

MIlford MA 01757

5. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check Applicable Line)

### Х

Form filed by One Reporting Person

Form filed by More than One Reporting Person

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of

```
Securities
Beneficially
Owned Following
Reported
Transaction(s)
                       (Instr. 3 and 4)
6. Ownership Form:
Direct (D) or
Indirect (I)
                       (Instr. 4)
7.
Nature of
Indirect
Beneficial
Ownership
                        (Instr. 4)
Code
V
Amount
(A) or (D)
Price
                Common Stock
                03/03/03 1.
                03/03/03
                Μ
                150,000
                $4.07
                Common Stock
                03/03/03 2.
                03/03/03
                S
                150,000
                D
                $23.1579
                479,604
                D
```

Common Stock

4

101,618.497 I by 401K Plan

Common Stock

1,840 I 3. by Daughters

```
Table II -

Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1.
Title of Derivative Security

(Instr.3)
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2.
Conversion or Exercise Price of Derivative Security
3.
Transaction Date
                        (Month/Day/Year)
ЗА.
Deemed
Execution Date,
if any
(Month/Day/Year)
Transaction Code
(Instr. 8)
5.
Number of Derivative Securities Acquired (A) or Disposed of (D)
                        (Instr. 3, 4 and 5)
6.
Date Exerciseable and Expiration Date
                        (Month/Day/Year)
7.
Title and Amount of Underlying Securities
                        (Instr. 3 and 4)
Price of Derivative Security
                        (Instr. 5)
9.
Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)
                        (Instr. 4)
Ownership Form of Derivative Securities: Direct (D) or Indirect (I)
                        (Instr. 4)
Nature of Indirect Beneficial Ownership
```

(Instr. 4)

```
Code
V
(A)
(D)
Date Exercisable
Expiration Date
Title
Amount or Number of Shares
                 Stock Option (Right to Buy)
                 $4.07
                 03/03/03
                             2.
                 03/03/03
                 150,000
                 8/18/95
                 8/18/04
                 Common Stock
                 150,000
                 521,824
```

Explanation of Responses:
1. Acquisition of Common Stock was made under a sale plan established pur
2. Disposition of Common Stock was made under a sale plan established pursuant to the requirement
3. The reporting person disclaims beneficial ownership of these securities, and this report shall
/s/ Arthur Caputo
03/03/03
**Signature of Reporting Person
Date

# Remainder: Report on a separate line for each class of securities beneficially owned directly or \* If the form is filed by more than one reporting person, see Instruction 4(b) (v). \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, on of which must be manually signed. If space is insufficient, see Instruction 6 for procedure

### **Additional Comments:**