### HAYES DOUGLAS M

Form 4 March 05, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

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(Print or Type Responses)

1. Name and Address of Reporting Person \*

HAYES DOUGLAS M

(Last) (First) (Middle)

30 CORPORATE DR., SUITE 200

BURLINGTON, MA 01803-4238

(State)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

CIRCOR INTERNATIONAL INC [CIR]

3. Date of Earliest Transaction

(Month/Day/Year) 03/04/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Following

X\_ Director

Applicable Line)

Officer (give title

2. Transaction Date 2A. Deemed 1.Title of Security (Month/Day/Year) (Instr. 3)

(City)

Execution Date, if (Month/Day/Year)

(Zip)

Code (Instr. 8)

3.

TransactionAcquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)

4. Securities

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

Security

or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

5. Number Transaction of Derivative Expiration Date

Securities

Code

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount Underlying Securitie (Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

(Check all applicable)

10% Owner Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

Estimated average

burden hours per

#### Edgar Filing: HAYES DOUGLAS M - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)		Acquire (A) or Dispose (D) (Instr. 3 and 5)	ed of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Management Stock Purchase	<u>(1)</u>	03/04/2013		M		1,771		03/04/2016	03/04/2016	Common Stock	1,77
Restricted Stock Unit	<u>(2)</u>	03/04/2013		M		1,782		04/04/2014	03/04/2016	Common Stock	1,782

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

HAYES DOUGLAS M
30 CORPORATE DR.
SUITE 200

BURLINGTON, MA 01803-4238

# **Signatures**

/s/ Alan J. Glass, attorney-in-fact 03/05/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Restricted Stock Units RSUs grant is issued pursuant to a provision of the issuer Management Stock Purchase Plan MSPP whereby certain executives may make an advance election to receive RSUs in lieu of a specified percentage or dollar amount of that executives annual incentive cash bonus under the bonus plan applicable to the executive. The RSUs are issued in whole units at a 33 percentage discount from fair market value of the issuers common stock on the date the underlying bonus is determined and generally vest three years from the date of the grant at which time the RSUs convert into shares of common stock on a one for one basis unless the executive previously elected a longer deferral period.

The grant of the Restricted Stock Unit RSUs reported herein entitles the Reporting Person to receive shares of the issuer common stock in equal installments of one-third of the original RSU grant on either(i) the annual vesting of the grant or (ii)upon the conclusion of such longer deferral period as the Reporting Person may elect in advance. In either occurrence (i) or (ii) the RSUs automatically convert into shares of common stock on a one for one basis at no conversion cost to the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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