American Water Works Company, Inc.

Form 3/A

March 17, 2015

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

Number:

response...

3235-0104 January 31,

Expires:

2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement American Water Works Company, Inc. [AWK] A Degillio Deborah A (Month/Day/Year) 01/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1025 LAUREL OAK ROAD 01/08/2015 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other (give title below) (specify below) VORHEES, NJÂ 08043 Form filed by More than One VP and Treasurer Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned** 

1.Title of Security (Instr. 4)

(State)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D) or Indirect (I) (Instr. 5) 4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Expiration Exercisable Date	Amount or Title Number of Shares	Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

**Employee Stock Option** Common  $\hat{\mathbf{A}}$   $\underline{(1)}$ 12/31/2019 2.075 (2) \$ 39.45 D Â (right to buy) **Reporting Owners** Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Degillio Deborah A Â VP and Treasurer Â 1025 LAUREL OAK ROAD VORHEES, NJÂ 08043 **Signatures** /s/ Steven Robbins by Power of Attorney 03/17/2015 previously filed \*\*Signature of Reporting Person Date **Explanation of Responses:** If the form is filed by more than one reporting person, see Instruction 5(b)(v). Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). (1) The options vest in three equal installments on January 1, 2014, 2015 and 2016. The option award granted on February 21, 2013, representing a right to purchase 2,075 shares, vests in three equal installments on (2) January 1, 2014, 2015 and 2016. The reporting person has not previously exercised or sold any shares. The total of 2,075 includes 691 shares not included in error on the Form 3 dated January 8, 2015. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 076,025 ADR and 21,102,526 ORD shares are deemed to be beneficially owned by Glenn R. Carlson, a control person of the investment adviser. Mr. Carlson disclaims any direct ownership of the shares reported in this Schedule 13G, except for an amount that is substantially less than one per cent of the number of shares reported herein, ------ 10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) [] ------ 11. Percent of Class Represented by Amount in Row (9) 1.8% ------ 12. Type of Reporting Person (See Instructions) IN, OO (Control Person) ------ Page 7 or 17 CUSIP No. 110448107 ----- 1. Names of Reporting Persons. Jeffrey A. Busby I.R.S. Identification Nos. of above persons (entities only). ----- 2. Check the Appropriate Box if a Member of a Group (See Instructions) (a) [ ] (b) [ ] ------ 3. SEC Use Only ------ 4. Citizenship or Place of Organization USA ------ Number of 5. Sole Voting Power Shares Bene------ ficially owned 6. Shared Voting Power 7,051,802 ADR and 21,102,526 ORD by Each ------ Reporting 7. Sole Dispositive Power Person With: ------ 8. Shared Dispositive Power 9,076,025 ADR and 21,102,526 ORD ------9. Aggregate Amount Beneficially Owned by Each Reporting Person 9,076,025 ADR and 21,102,526 ORD shares are deemed to be beneficially owned by Jeffrey A. Busby, a control person of the investment adviser. Mr. Busby disclaims any direct ownership of the shares reported in this Schedule 13G, except for an amount that is substantially less than one per cent of the number of shares reported herein. ------ 10. Check

Reporting Owners 2

------11. Percent of Class Represented by Amount in

if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) []

```
Row (9) 1.8% ------- 12. Type of Reporting Person (See Instructions) IN, OO (Control Person) ------ Page 8 of 17
Item 1(a) Name of Issuer: British American Tobacco Plc Item 1(b) Address of Issuer's Principal Executive Offices:
Globe House, 4 Temple Place, London, WC2R 2PG, United Kingdom Item 2(a) Name of Person Filing: (i) Brandes
Investment Partners, LLC (ii) Brandes Investment Partners, Inc. (iii) Brandes Worldwide Holdings, L.P. (iv) Charles
H. Brandes (v) Glenn R. Carlson (vi) Jeffrey A. Busby Item 2(b) Address of Principal Business office or, if None,
Residence: (i) 11988 El Camino Real, Suite 500, San Diego, CA 92130 (ii) 11988 El Camino Real, Suite 500, San
Diego, CA 92130 (iii) 11988 El Camino Real, Suite 500, San Diego, CA 92130 (iv) 11988 El Camino Real, Suite 500,
San Diego, CA 92130 (v) 11988 El Camino Real, Suite 500, San Diego, CA 92130 (vi) 11988 El Camino Real, Suite
500, San Diego, CA 92130 Item 2(c) Citizenship (i) Delaware (ii) California (iii) Delaware (iv) USA (v) USA (vi)
USA Page 9 of 17 Item 2(d) Title of Class Securities: American Depository Receipt and Common Shares Item 2(e)
CUSIP Number: 110448107 Item 3. If this statement is filed pursuant to ss.ss. 240.13d-1(b), or 240.13d-2(b) or (c),
check whether the person filing is a: (a) | Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o). (b)
| Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c). (c) | Insurance company as defined in section
3(a)(19) of the Act (15 U.S.C. 78c). (d) | Investment company registered under section 8 of the Investment Company
Act (15 U.S.C. 80a-8). (e) | | An investment adviser in accordance with ss. 240.13d-1(b)(1)(ii)(E). (f) | | An employee
benefit plan or endowment fund in accordance with ss. 240.13d-1(b)(ii)(F). (g) | A parent holding company or
control person in accordance with ss. 240.13d-1(b)(1)(ii)(G). (h) | | A savings association as defined in Section 3(b) of
the Federal Deposit Insurance Act (12 U.S.C. 1813). (i) | A church plan that is excluded from the definition of an
investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3), (j) |X| Group,
in accordance with ss. 240.13d-1(b)(1)(ii)(J). This statement is filed by Brandes Investment Partners, LLC, an
investment adviser registered under the Investment Advisers Act of 1940, its control persons and its holding company.
(See, also, Exhibit A.) Item 4. Ownership: (a) Amount Beneficially Owned: 9,076,025 ADR and 21,102,526 ORD
----- (b) Percent of Class: 1.8% ---- (c) Number of shares as to which the joint filers have: (i)
sole power to vote or to direct the vote: 0 ------ (ii) shared power to vote or to direct the vote: 7,051,802 ADR and
21,102,526 ORD ----- (iii) sole power to dispose or to direct the disposition of: 0 ------ (iv)
shared power to dispose or to direct the disposition of: 9,076,025 ADR and 21,102,526 ORD
------ Page 10 of 17 Item 5. Ownership of Five Percent or Less of a Class. If this statement is
being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of
more than five percent of the class securities, check the following |X|. Item 6. Ownership of More than Five Percent
on Behalf of Another Person. N/A Item 7. Identification and Classification of the Subsidiary Which Acquired the
Security Being Reported on By the Parent Holding Company, N/A Item 8. Identification and Classification of
Members of the Group. SEE EXHIBIT A Item 9. Notice of Dissolution of Group. N/A Item 10. Certification: (a) The
following certification shall be included if the statement is filed pursuant to ss. 240.13d-1(b): By signing below I
certify that, to the best of my knowledge and belief, the securities referred to above were acquired and held in the
ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or
influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a
participant in any transaction having that purpose or effect. SIGNATURE After reasonable inquiry and to the best of
my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct. Date:
February 14, 2003 BRANDES INVESTMENT PARTNERS, LLC By: /s/ Adelaide Pund
----- Adelaide Pund as Attorney-In-Fact for Charles H. Brandes, President of Brandes
Investment Partners, Inc., a Member Page 11 of 17 BRANDES INVESTMENT PARTNERS, INC. By: /s/ Adelaide
Pund ------ Adelaide Pund as Attorney-In-Fact for Charles H. Brandes, President BRANDES
WORLDWIDE HOLDINGS, L.P. By: /s/ Adelaide Pund ----- Adelaide Pund as
Attorney-In-Fact for Charles H. Brandes, President of Brandes Investment Partners, Inc., its General Partner By: /s/
Adelaide Pund ----- Adelaide Pund as Attorney-In-Fact for Charles H. Brandes, Control
Person By: /s/ Adelaide Pund ------ Adelaide Pund as Attorney-In-Fact for Glenn R. Carlson,
Control Person By: /s/ Adelaide Pund ------ Adelaide Pund as Attorney-In-Fact for Jeffrey A.
Busby, Control Person Page 12 of 17 EXHIBIT A - IDENTIFICATION AND CLASSIFICATION OF MEMBERS
OF THE GROUP ----- Pursuant to Rule 13d-1(b)(ii)(J) and Rule
13d-1(k)(1) under the Securities and Exchange Act of 1934, the members of the group making this joint filing are
```

identified and classified as follows: NAME CLASSIFICATION ---- Brandes Investment Partners, LLC Investment adviser registered under (the "Investment Adviser") Investment Advisers Act of 1940 Brandes Investment Partners, Inc. A control person of the Investment Adviser Brandes Worldwide Holdings, L.P. A control person of the Investment Adviser Charles H. Brandes A control person of the Investment Adviser Glenn R. Carlson A control person of the Investment Adviser Jeffrey A. Busby A control person of the Investment Adviser Page 13 of 17 EXHIBIT B - JOINT FILING AGREEMENT PURSUANT TO RULE 13D-1 -----This agreement is made pursuant to Rule 13d-1(b)(ii)(J) and Rule 13d-1(k)(1) under the Securities and Exchange Act of 1934 (the "Act") by and among the parties listed below, each referred to herein as a "Joint Filer." The Joint Filers agree that a statement of beneficial ownership as required by Sections 13(g) or 13(d) of the Act and the Rules thereunder may be filed on each of their behalf on Schedule 13G or Schedule 13D, as appropriate, and that said joint filing may thereafter be amended by further joint filings. The Joint Filers state that they each satisfy the requirements for making a joint filing under Rule 13d-1. Dated: February 14, 2003 BRANDES INVESTMENT PARTNERS, LLC By: /s/ Charles H. Brandes ----- Charles H. Brandes, President of Brandes Investment Partners, Inc., a Member BRANDES INVESTMENT PARTNERS, INC. By: /s/ Charles H. Brandes ----- Charles H. Brandes, President BRANDES WORLDWIDE HOLDINGS, L.P. By: /s/ Charles H. Brandes ----- Charles H. Brandes, President of Brandes Investment Partners, Inc., its General Partner By: /s/ Charles H. Brandes ------ Charles H. Brandes, Control Person By: /s/ Glenn R. Carlson ----- Glenn R. Carlson, Control Person By: /s/ Jeffrey A. Busby ----- Jeffrey A. Busby, Control Person Page 14 of 17 EXHIBIT C - DISCLAIMER OF BENEFICIAL OWNERSHIP ----- Brandes Investment Partners, Inc., Brandes Worldwide Holdings, L.P., Charles H. Brandes, Glenn R. Carlson and Jeffrey A. Busby, disclaim beneficial interest as to the shares referenced above, except for an amount equal to substantially less than one percent of the shares reported on this Schedule 13G. None of these entities or individuals holds the above-referenced shares for its/his own account except on a de minimis basis. Page 15 of 17 EXHIBIT D - POWER OF ATTORNEY FOR SCHEDULES 13G AND FORMS 13F I, Charles Brandes, as director and president of Brandes Investment Partners, Inc., which is a General Partner of Brandes Worldwide Holdings, L.P., and which is also a member of Brandes Investment Partners, LLC, hereby appoint Gerald W. Wheeler and Adelaide Pund as attorneys-in-fact and agents, in all capacities, to execute, on my behalf and on behalf of Brandes Investment Partners, Inc., Brandes Investment Partners, LLC, and Brandes Worldwide Holdings, L.P., to file with the appropriate issuers, exchanges and regulatory authorities, any and all Schedules 13G and Forms 13F and documents relating thereto required to be filed under the Securities and Exchange Act of 1934, including exhibits, attachments and amendments thereto and request for confidential treatment of information contained therein in connection with my being a "control person" (as contemplated for purposes of Schedule 13G and Form 13F) of Brandes Investment Partners, LLC, its subsidiaries and affiliates. I hereby grant to said attorneys-in-fact full authority to do every act necessary to be done in order to effectuate the same as fully, to all intents and purposes, as I could if personally present, thereby ratifying all that said attorneys-in-fact and agents may lawfully do or cause to be done by virtue hereof. I hereby execute this Power of Attorney as of this 14th day of February, 2003. /s/ Charles H. Brandes ----- Charles H. Brandes Page 16 of 17 EXHIBIT D - POWER OF ATTORNEY FOR SCHEDULES 13G I, Glenn R. Carlson, hereby appoint Gerald W. Wheeler and Adelaide Pund as attorneys-in-fact and agents, in all capacities, to execute, on my behalf, and to file with the appropriate issuers, exchanges and regulatory authorities, any and all Schedules 13G and documents relating thereto required to be filed under the Securities and Exchange Act of 1934, including exhibits, attachments and amendments thereto and request for confidential treatment of information contained therein in connection with my being a "control person" (as contemplated for purposes of Schedule 13G) of Brandes Investment Partners, LLC, its subsidiaries and affiliates. I hereby grant to said attorneys-in-fact full authority to do every act necessary to be done in order to effectuate the same as fully, to all intents and purposes, as I could if personally present, thereby ratifying all that said attorneys-in-fact and agents may lawfully do or cause to be done by virtue hereof. I hereby execute this Power of Attorney as of this 14th day of February, 2003. /s/ Glenn R. Carlson ----- Glenn R. Carlson Page 17 of 17 EXHIBIT D - POWER OF ATTORNEY FOR SCHEDULES 13G I, Jeffrey A. Busby hereby appoint Gerald W. Wheeler and Adelaide Pund as attorneys-in-fact and agents, in all capacities, to execute, on my behalf, and to file with the appropriate issuers, exchanges and regulatory authorities, any and all Schedules 13G and documents relating thereto required to be filed under the Securities and Exchange Act of 1934, including exhibits, attachments and amendments thereto and request

## Edgar Filing: American Water Works Company, Inc. - Form 3/A