Bank of New York Mellon Corp Form 4

February 24, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HASSELL GERALD L

2. Issuer Name and Ticker or Trading Symbol

Bank of New York Mellon Corp

[BK]

3. Date of Earliest Transaction

(Month/Day/Year) 02/20/2015

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

below)

(Check all applicable)

Chairman & CEO

10% Owner

Other (specify

THE BANK OF NEW YORK MELLON CORPORATION, ONE

(First)

(Middle)

WALL STREET

(Last)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10286

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Secui	rities A	Acquired, D	isposed of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	4. Securities Acquired (A) or or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I)	
			Code V	Amount	(D)	Price		(Instr. 4)
Common Stock	02/20/2015		A	70,070.9318 (1)	A	\$0	767,389.9271	D
Common Stock	02/20/2015		A	131,707 (2)	A	\$0	899,096.9271	D
Common Stock	02/20/2015		M	329,593	A	\$ 18.02	1,228,689.9271	D
Common Stock	02/20/2015		S	329,593	D	\$ 39.1427 (3)	899,096.9271	D

7. Nature of Indirect Beneficial Ownership (Instr. 4)

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Common Stock	02/20/2015	S	28,254	D	\$ 39.146 (4)	870,842.9271	D	
Common Stock	02/21/2015	F	6,481 <u>(5)</u>	D	\$ 39.44	864,361.9271	D	
Common Stock						53,621.4356 (6)	I	By 401(k) Plan
Common Stock						82,140	I	By Family Trust
Common Stock						82,140	I	By Family Trust #2
Common Stock						56,604 (7)	I	By Wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
3/9/2009 Stock Options	\$ 18.02	02/20/2015		M	329,593	03/09/2010(8)	03/09/2019	Common Stock	329,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HASSELL GERALD L THE BANK OF NEW YORK MELLON CORPORATION ONE WALL STREET NEW YORK, NY 10286	X		Chairman & CEO			

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### **Signatures**

/s/ Craig T. Beazer, Attorney-in-Fact

02/24/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents portion of Performance Share Units granted in February 2013 and February 2014 (including units from reinvested dividend equivalents) for which performance goals have been achieved, as certified on February 20, 2015. Each unit represents the right to one share of the Issuer's common stock. These units are scheduled to vest in February 2016 (for the February 2013 award) and February 2017 (for the February 2014 award) and each award remains subject to risk-based adjustment provisions.
- Award of Restricted Stock Units as a portion of the Reporting Person's annual incentive. Units vest in annual increments of one-third beginning on first anniversary of the award. All or a portion of the units may be forfeited prior to vesting based on ongoing risk-based adjustment provisions. Vested units will be settled in Common Stock.
- Represents the weighted average price of shares sold with actual prices ranging from \$39.01 to \$39.37. Upon request by the SEC staff, (3) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
  - Represents the weighted average price of shares sold with actual prices ranging from \$38.82 to \$39.30. Upon request by the SEC staff,
- (4) the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within this range.
- (5) Shares withheld in payment of tax liability incident to vesting of previously disclosed awards.
- (6) Holdings reported as of 12/31/2014.
- (7) The Reporting Person disclaims beneficial ownership of these shares.
- (8) Grant became exercisable in annual installments of one-fourth each beginning on date indicated.
- (9) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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