ING U.S., Inc. Form 4 May 07, 2013

### FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ING GROEP NV

(First) (Last)

**BIJLMERPLEIN 888** 

Common

Stock

(Middle)

(Month/Day/Year) 05/07/2013

(Street)

1102 MG AMSTERDAM, P7

05/07/2013

4. If Amendment, Date Original

Code V

S

ING U.S., Inc. [VOYA]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

(Check all applicable)

X Director 10% Owner Other (specify Officer (give title

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year) (Instr. 8)

4. Securities Acquired (A) Transaction Disposed of (D) (Instr. 3, 4 and 5)

Amount

34,423,077

(A)

(D)

Beneficially Owned Following Reported Transaction(s) Price

5. Amount of

Securities

Ownership Indirect Form: Direct (D) or Indirect

Beneficial Ownership (Instr. 4)

7. Nature of

(Instr. 4)

(Instr. 3 and 4)

By 195,576,923 subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ING U.S., Inc. - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nun Shai
Warrants	\$ 48.75	05/07/2013		A		26,050,846		01/01/2017	05/07/2023	Common	26,

5. Number of

6. Date Exercisable and

**Expiration Date** 

7. Title and Amour

Underlying Securit

Stock

# **Reporting Owners**

1. Title of

Derivative

Reporting Owner Name / Address	Relationships							
reporting owner runte/reducess	Director	10% Owner	Officer	Other				
ING GROEP NV BIJLMERPLEIN 888 1102 MG AMSTERDAM, P7	X	X						
ING INSURANCE TOPHOLDING N.V. BIJLMERPLEIN 888 1102 MG AMSTERDAM, P7		X						
ING VERZEKERINGEN N.V. BIJLMERPLEIN 888 1102 MG AMSTERDAM, P7		X						
ING INSURANCE INTERNATIONAL B.V. BIJLMERPLEIN 888 1102 MG AMSTERDAM, P7		X						

3. Transaction Date 3A. Deemed

Conversion (Month/Day/Year) Execution Date, if TransactionDerivative

## **Signatures**

/s/ Harris Oliner, attorney

in fact 05/07/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities reported herein are directly beneficially owned by ING Insurance International B.V. ING Verzekeringen N.V. is the sole stockholder of ING Insurance International B.V. ING Insurance Topholding N.V. is the sole stockholder of ING Verzekeringen N.V. ING Groep N.V., ING Insurance Topholding N.V. and ING Verzekeringen N.V. are indirect beneficial owners of the securities reported herein.
- (2) The warrants are held directly by ING Groep N.V.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2