## Edgar Filing: RICHARDSON WILLIAM C - Form 4

| RICHARDS<br>Form 4<br>November 1  | ON WILLIAM (   | 2       |  |                                   |                            |                                 |                       |  |  |   |  |
|---|--|---------|--|-----------------------------------|----------------------------|---------------------------------|-----------------------|--|--|---|--|
|   |  |         |  |                                   |                            |                                 |                       |  | OMB APPROVAL   |   |  |
|   | SECURITIES AND EXCHANGE CO<br>Washington, D.C. 20549 |         |  |                                   | NGE CO                     | OMMISSION                       | OMB<br>Number:        | 3235-0287  |  |   |  |
| Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue<br>Section 17(a) of the |  |         | F CHANGES IN BENEFICIAL OWNED<br>SECURITIES<br>Section 16(a) of the Securities Exchange A<br>Public Utility Holding Company Act of 1940<br>of the Investment Company Act of 1940 |                                   |                            |                                 |                       | Act of 1934,<br>1935 or Section  | Expires: January<br>2<br>Estimated average<br>burden hours per<br>response |   |  |
| 1(b).<br>(Print or Type 2   |  |         |  |                                   |                            |                                 |                       |  |  |   |  |
| RICHARDSON WILLIAM C Symbol Bank C  |  |         | Symbol   |                                   |                            |                                 |                       | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |   |  |
|   |  |         | [BK]   |                                   |                            |                                 | IXI                   |  |  |   |  |
| (Last)  | · · · · ·  | Middle) |  | f Earliest T<br>Day/Year)<br>2011 | ransaction                 |                                 |                       | _X_ Director<br>Officer (give the below)   |  | Owner<br>r (specify   |  |
|   |  |         |  | d(Month/Day/Year)                 |                            |                                 |                       | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |   |  |
| NEW YOR   | K, NY 10286  |         |  |                                   |                            |                                 | -                     | Form filed by Mo<br>Person   | ore than One Re  | porting   |  |
| (City)  | (State)  | (Zip)   | Tab  | le I - Non-l                      | Derivative                 | Secur                           | ities Acqu            | ired, Disposed of,   | or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)              |         | Date, if   | Code<br>(Instr. 8)                | omr Dispos<br>(Instr. 3, 4 | ed of (<br>4 and 5<br>(A)<br>or | D)<br>5)              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 11/09/2011   |         |  | Code V<br>A                       | Amount<br>168.86<br>(1)    | (D)<br>A                        | Price<br>\$<br>19.727 | 26,924.323   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Inst |
|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

er

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## **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |            |         |      |  |  |  |
|---|---------------|------------|---------|------|--|--|--|
|   | Director      | 10% Owner  | Officer | Othe |  |  |  |
| RICHARDSON WILLIAM C<br>ONE WALL STREET<br>NEW YORK, NY 10286 | Х             |            |         |      |  |  |  |
| Signatures  |               |            |         |      |  |  |  |
| /s/ Arlie R. Nogay,<br>Attorney-in-Fact                       |               | 11/14/2011 | l       |      |  |  |  |

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend reinvestment in Stock Account of Deferred Compensation Plan for Non-Employee Directors of The Bank of New York Company, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.