Gourlay Ian Form 3 October 06, 2011

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Gourlay Ian

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

10/03/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BEAM INC [NYSE: BEAM]

(Check all applicable)

SVP Operations & Supply Chain

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

BEAM INC., Â 510 LAKE **COOK ROAD** 

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

4. Nature of Indirect Beneficial

DEERFIELD, ILÂ 60015

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Ownership Form of Derivative Security:

5.

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title Amount or Number of

Shares

Derivative Security

Direct (D) or Indirect (I)

						(Instr. 5)	
Employee Stock (Right to Buy) (1)	Option $\hat{A}$ (2)	02/27/2013	Beam Inc. Common Stock	20,000	\$ 78.25	D	Â
Employee Stock (Right to Buy) (1)	Option $\hat{A} \stackrel{(3)}{=}$	09/26/2013	Beam Inc. Common Stock	20,000	\$ 74.39	D	Â
Employee Stock (Right to Buy) (1)	Option $\hat{A} \stackrel{(4)}{=}$	09/24/2014	Beam Inc. Common Stock	20,000	\$ 80.95	D	Â
Employee Stock (Right to Buy) (1)	Option $\hat{A} \stackrel{(5)}{=}$	09/29/2015	Beam Inc. Common Stock	30,000	\$ 57.01	D	Â
Employee Stock (Right to Buy) (1)	Option $\hat{A} \stackrel{(6)}{=}$	09/30/2016	Beam Inc. Common Stock	19,999	\$ 42.98	D	Â
Employee Stock ((Right to Buy) (1)	Option $\hat{A} \stackrel{(7)}{=}$	02/22/2017	Beam Inc. Common Stock	25,000	\$ 43.67	D	Â
Employee Stock (Right to Buy) (1)	Option $\hat{A} = \frac{(8)}{2}$	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Gourlay Ian					
BEAM INC.	â	Â	SVP Operations & Supply Chain	Â	
510 LAKE COOK ROAD	A	A	A SVF Operations & Supply Chain	A	
DEERFIELD. IL 60015					

## **Signatures**

Todd M. Bloomquist, Attorney-in-Fact for Ian Gourlay 10/06/2011

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic (1) value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011

Date

- (2) The options vested in three equal annual installments beginning on February 27, 2007.
- (3) The options vested in three equal annual installments beginning on September 26, 2007.

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- (4) The options vested in three equal annual installments beginning on September 24, 2008.
- (5) The options vested in three equal annual installments beginning on September 29, 2009.
- (6) The options vested as to 10,000 shares on September 30, 2011 and will vest as to the remaining 9,999 shares on September 30, 2012.
- (7) The options vest in three equal annual installments beginning on February 22, 2011.
- (8) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.