Mulleady John Form 4 September 18, 2018

Check this box

if no longer

Section 16.

Form 4 or

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB 3235-0287

Washington, D.C. 20549 Number:

January 31, Expires: 2005 Estimated average

0.5

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**SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Common

Stock

Stock

09/17/2018

09/17/2018

(Print or Type Responses)

1. Name and A Mulleady J	Person * 2. Issu Symbo		a ricker or riading	5. Relationship of Reporting Person(s) to Issuer				
		Dave [PLA		s Entertainment, Inc.	(Checl	k all applicable	)	
(Last)	(First) (	,	of Earliest		Director	10%		
2481 MAN	(Month 09/17	/Day/Year) /2018		_X_ Officer (give title Other (specify below) SVP, RE & Dev				
(Street)			mendment, I	Date Original	6. Individual or Joint/Group Filing(Check			
		Filed(M	Ionth/Day/Ye		Applicable Line)			
DALLAS,	TX 75220				_X_ Form filed by C Form filed by M Person	1 0		
(City)	(State)	(Zip) Ta	ble I - Non-	Derivative Securities Acqu	ired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transacti	omr Disposed of (D)	Securities	Ownership	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership	
					Following	or Indirect	(Instr. 4)	

Code V Amount

7,500

7,500

M

 $S^{(1)}$ 

(A)

A

D

Price

61.8904

\$ 8.3

\$

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Reported

17,004

9,504

Transaction(s)

(Instr. 3 and 4)

(I)

D

D

(Instr. 4)

#### Edgar Filing: Mulleady John - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. I De Sec (In

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.3	09/17/2018		M	7,500	(3)	05/03/2023	Common Stock	7,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Mulleady John

2481 MANANA DRIVE SVP, RE & Dev

DALLAS, TX 75220

# **Signatures**

Sherri M. Smith, Attorney-in-Fact 09/18/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 20, 2018.
  - The price reported in Column 4 is a weighted average price. These shares were sold to multiple transactions at prices ranging from
- (2) \$61.310 to \$62.400, inclusive. The reporting person undertakes to provide to Dave & Buster's Entertainment, Inc., any security holder of Dave & Buster's Entertainment, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.
- (3) All of the shares subject to the option have previously vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2