KROGER CO Form 5

February 27, 2006

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer GRIESHABER JOSEPH A JR Symbol KROGER CO [KR] (Check all applicable) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) (Middle) (Month/Day/Year) Director 10% Owner Other (specify X \_ Officer (give title 01/28/2006 below) below) 1014 VINE STREET Group Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) CINCINNATI, OHÂ 45202 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Transaction Securities Ownership Indirect Execution Date, if Acquired (A) or Form: Direct (Instr. 3) Code Disposed of (D) Beneficially Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end of (D) or Ownership Issuer's Fiscal Indirect (I) (Instr. 4) (A) Year (Instr. 4) or (Instr. 3 and 4) (D) Amount Price Common 15,706.5402 Â Â Â Â Â Â Â D Stock Reminder: Report on a separate line for each class of Persons who respond to the collection of information **SEC 2270**

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securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

contained in this form are not required to respond unless

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Non-Qualified Stock Option	\$ 13.4375	Â	Â	Â	Â	Â	(2)	05/14/2007	Common Stock	2,40
Non-Qualified Stock Option	\$ 22.2344	Â	Â	Â	Â	Â	(2)	04/15/2008	Common Stock	2,80
Non-Qualified Stock Option	\$ 27.1719	Â	Â	Â	Â	Â	(2)	05/26/2009	Common Stock	4,80
Non-Qualified Stock Option	\$ 16.5938	Â	Â	Â	Â	Â	(2)	02/10/2010	Common Stock	4,50
Non-Qualified Performance Stock Option	\$ 16.5938	Â	Â	Â	Â	Â	(3)	02/10/2010	Common Stock	4,50
Non-Qualified Stock Option	\$ 24.43	Â	Â	Â	Â	Â	(2)	05/09/2011	Common Stock	4,50
Non-Qualified Performance Stock Option	\$ 24.43	Â	Â	Â	Â	Â	(4)	05/09/2011	Common Stock	4,50
Non-Qualified Stock Option	\$ 22.995	Â	Â	Â	Â	Â	(2)	05/09/2012	Common Stock	4,50
Non-Qualified Performance Stock Option	\$ 22.995	Â	Â	Â	Â	Â	(2)	05/09/2012	Common Stock	4,50
Non-Qualified Stock Option	\$ 14.925	Â	Â	Â	Â	Â	(2)	12/12/2012	Common Stock	9,00
Non-Qualified Stock Option	\$ 16.515	Â	Â	Â	Â	Â	(2)	06/26/2013	Common Stock	2,00
Non-Qualified Stock Option	\$ 18.185	Â	Â	Â	Â	Â	(2)	09/18/2013	Common Stock	50,00
Non-Qualified Stock Option	\$ 17.31	Â	Â	Â	Â	Â	(2)	05/06/2014	Common Stock	30,00
Non-Qualified Stock Option	\$ 16.385	Â	Â	Â	Â	Â	(2)	05/05/2015	Common Stock	30,00

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GRIESHABER JOSEPH A JR 1014 VINE STREET CINCINNATI, OHÂ 45202

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Group Vice President  $\hat{A}$ 

### **Signatures**

/s/ Joseph A. O2/21/2006 Grieshaber, Jr.

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total amount of securities directly owned by the reporting person includes shares in the Company's employee benefit plans which are deemed to be 'tax-conditioned plans' pursuant to Rule 16b-3, to the extent disclosed on reports received from plan trustees.
- (2) These options were granted under an option plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of grant.
- These options will vest during the first four years from the date of the grant only if the Company's stock price has achieved an 81% appreciation from the option price. Thereafter, the options vest only if the Company's stock price has achieved a minimum 16% appreciation per annum from the date of grant or 280% appreciation, whichever is less. The options vest nine years and six months after grant, if not sooner vested.
- These options will vest during the first four years from the date of the grant only if the Company's stock price has achieved a 78% appreciation from the option price. Thereafter, the options vest only if the Company's stock price has achieved a minimum 15% appreciation per annum from the date of grant or 208% appreciation, whichever is less. The options vest nine years and six months after grant, if not sooner vested.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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