

FULTON STEVEN P
Form 4
November 09, 2004

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FULTON STEVEN P

2. Issuer Name and Ticker or Trading Symbol
RESPIRONICS INC [RESP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

1010 MURRY RIDGE LANE

01/02/2004

VP and General Counsel

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MURRYSVILLE, PA 15668

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 01/02/2004 | | A ⁽³⁾ | 806 A \$ 26.3373 | 1,586 | D | |
| Common Stock | 11/05/2004 | | M | 3,150 A \$ 12.1563 | 4,736 | D | |
| Common Stock | 11/05/2004 | | S | 3,150 D \$ 54.1623 | 1,586 | D | |
| Common Stock | 11/05/2004 | | M | 950 A \$ 24.625 | 2,536 | D | |
| Common Stock | 11/05/2004 | | S | 950 D \$ 54.2826 | 1,586 | D | |

Edgar Filing: FULTON STEVEN P - Form 4

| | | | | | | | |
|--------------|------------|---|-------|---|------------|-------|---|
| Common Stock | 11/05/2004 | M | 3,564 | A | \$ 24.3125 | 5,150 | D |
| Common Stock | 11/05/2004 | S | 3,564 | D | \$ 54.2372 | 1,586 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|--------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Option | \$ 40.675 | 08/27/2003 | | M | 20,000 (1) | 08/27/2004 | 08/27/2013 | Common Stock | 20,000 |
| Stock Option | \$ 53.545 | 08/25/2004 | | M | 20,000 (2) | 08/25/2005 | 08/25/2014 | Common Stock | 20,000 |
| Stock Option | \$ 12.1563 | 11/05/2004 | | M | 3,150 | 08/21/1999 | 08/21/2008 | Common Stock | 3,150 |
| Stock Option | \$ 24.625 | 11/05/2004 | | M | 950 | 11/19/1998 | 11/19/2007 | Common Stock | 950 |
| Stock Option | \$ 24.3125 | 11/05/2004 | | M | 3,564 | 08/15/1998 | 08/15/2008 | Common Stock | 3,564 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

FULTON STEVEN P
1010 MURRY RIDGE LANE
MURRYSVILLE, PA 15668

VP and General Counsel

Signatures

Dorita A. Pishko;
Attorney-in-fact

11/09/2004

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) August 27, 2003 Incentive Stock Option Grant
- (2) August 25, 2004 Incentive Stock Option Grant
- (3) Shares issued on 1/2/2004 from employee stock purchase program

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.