PURCELL PHILIP J

Form 4

December 09, 2002

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB Number: 3235-0287

OMB APPROVAL

Expires: January 31, 2005 Estimated average burden hours per response. 0.5

1. Name and Address of Reporting Person* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Purcell, Philip J. and Ticker or Trading Month/Day/Year to Issuer Symbol (Check all applicable) 12/5/2002 (Last) (First) Morgan Stanley X Director _ 10% Owner (Middle) MWD X Officer (give title below) **Morgan Stanley** _ Other (specify below) 1585 Broadway 5. If Amendment, 3. I.R.S. Identification Date of Original Chairman of the Description Number of Reporting (Street) (Month/Day/Year) **Board and CEO** Person, if an entity New York. NY 10036 (voluntary) 7. Individual or Joint/Group (State) (City) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

	Т	able I - Non-Derivat	ive Sec	uriti	ies Acquir	ed, Dis	posed	of, or Beneficiall	y Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4) By 401(k) Plan/ESOP Trust By Child
Common Stock	12/5/2002		A (1)		72,254	Α		3,029,578	D	
Common Stock								14,164	ı	Plan/ESOP
Common Stock								5,244	ı	By Child
Common Stock								45,362	ı	By Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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						(e.g., puts,	calls,	warrants, o	ptions, co	nvertible se	curities)		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		5. Number of hDerivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number Derivativ Securitie Beneficia Owned Followin Reporter Transac (Instr.4)
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares		
Employee Stock Option (Right to	\$42.56	12/5/2002		A		162,572		01/02/05	01/02/13	Common Stock	162,572		162,57

Explanation of Responses:

1. Stock Units which are convertible into shares of Common Stock at a ratio of 1 to 1.

By:

/s/ CRHerzer, Attorney-in-Fact

12/9/02

** Signature of Reporting Person

Date

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.