## ENTERPRISE FINANCIAL SERVICES CORP

Form 4

October 31, 2002

SEC Form 4

## **OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE** FORM 4 COMMISSION Washington, D.C. 20549 [ ] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Month/Day/Year to Issuer Eichner, Kevin Symbol (Check all applicable) 10/31/2002 **Enterprise Financial** X Director \_ 10% Owner (Last) (First) **Services Corp** Officer (give title below) Other (Middle) **EFSC** (specify below) 150 North Meramec 5. If Amendment, Date of Original 3. I.R.S. Identification Description Vice Chairman (Street) (Month/Day/Year) Number of Reporting MO 63105 St. Louis, Person, if an entity 7. Individual or Joint/Group (voluntary) Filing (Check Applicable Line) (State) (City) 43-1706259 (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

		Γable I - Non-De	erivative S	ecur	ties Ac	quire	ed, Dis	pose	d of, or Bene	ficially	y Owned			
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	C	Transactio Code (Instr.		4. Securities Acquire  (A) or Disposed Of (I  (Instr. 3, 4, and 5)			Beneficially Owned Following		6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
			Cod	le V	' Amo	ount	A/D	Price	Transactio	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock								\$	138,55	50	D			
Common Stock								\$	90,000	0	1		Trust	
Common Stock								\$	9,107	,	I EBSP III. LLC		SP III. LLC	
			Tab						cquired, Disp					
1. Title of Derivative Security	ve sion or Transaction Deemed T		4. Tra Coo		5. Numb sactionof Derivati			ercisable(DE) of		. Title and Amount f Underlying		8. Price of Derivative	9. Number o Derivative Securities	

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(Instr. 3)	Price of Deri- vative Security	(Month/ Day/ Year)	Date, if any (Month/ Day/ Year)	(Inst	r.8)	Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Expiration Date(ED) (Month/Day/Y		Securities (Instr. 3 and 4) (ear)		Security (Instr.5)	Beneficially Owned Following Reported Transaction(s) (Instr.4)
				Code	V	Α	D	DE	ED	Title	Amount or Number of Shares		
Incentive Stock Option (right to buy)	\$5.33								04/01/07	Common Stock	30,000	\$	30,000
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	5,000	\$	5,000
Incentive Stock Option (right to buy)	\$15.00								09/01/10	Common Stock	5,000	\$	5,000
Non-Qualified Stock Option (right to buy)	\$	07/01/02		А					07/01/12	Common Stock	82,905	\$9.30	82,905

**Explanation of Responses:** 

Bv:

/s/ Kevin Eichner by Power of Attorney

10/31/2002

\*\* Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.