

ORTHWEIN PETER BUSCH

Form 4

December 04, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ORTHWEIN PETER BUSCH

(Last) (First) (Middle)

C/O THOR INDUSTRIES,  
INC., 601 EAST BEARDSLEY  
AVENUE

(Street)

ELKHART, IN 46514-3305

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

THOR INDUSTRIES INC [THO]

3. Date of Earliest Transaction  
(Month/Day/Year)

12/03/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Exec. Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             |                                         | (A)<br>or<br>(D)                                                        |                                                                                                                    |                                                                      |                                                                   |
| Common<br>Stock                       |                                         |                                                             | Code                                    | V Amount (D) Price                                                      | 74,783                                                                                                             | I                                                                    | See Note<br>(1)                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 124,000                                                                                                            | I                                                                    | See Note<br>(2)                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 30,000                                                                                                             | I                                                                    | See Note<br>(3)                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 299,700                                                                                                            | I                                                                    | See Note<br>(4)                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         | 67,440                                                                                                             | I                                                                    | See Note<br>(5)                                                   |

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|              |            |  |   |           |   |                      |   |              |
|--------------|------------|--|---|-----------|---|----------------------|---|--------------|
| Common Stock |            |  |   |           |   | 500,000              | I | See Note (6) |
| Common Stock |            |  |   |           |   | 887,452              | D |              |
| Common Stock | 12/03/2018 |  | S | 928 (7)   | D | \$ 68.53 36,327 (8)  | I | See Note (9) |
| Common Stock | 12/03/2018 |  | S | 3,500 (7) | D | \$ 69.84 32,827 (10) | I | See Note (9) |
| Common Stock | 12/03/2018 |  | S | 600 (7)   | D | \$ 70.13 32,227 (11) | I | See Note (9) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                                      |

## Reporting Owners

| Reporting Owner Name / Address                                                                           | Relationships                    |
|----------------------------------------------------------------------------------------------------------|----------------------------------|
|                                                                                                          | Director 10% Owner Officer Other |
| ORTHWEIN PETER BUSCH<br>C/O THOR INDUSTRIES, INC.<br>601 EAST BEARDSLEY AVENUE<br>ELKHART, IN 46514-3305 | X Exec. Chairman of the Board    |

## Signatures

/s/ Peter B.  
Orthwein

12/04/2018

    Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are held for the account of the Trust FBO Peter B. Orthwein, of which the reporting person is the trustee and beneficiary.

(2) These shares are held for the account of the Adolphus Busch Orthwein Trust for the benefit of the reporting person's children. The reporting person is one of the trustees of the trust.

(3) These shares are held for the account of a trust for the benefit of the reporting person's half-brother. The reporting person is one of the trustees of the trust.

(4) These shares are held for the account of the PBO-BMO Descendants Trust, of which the reporting person is the sole trustee and of which the reporting person's three youngest children are the beneficiaries. The reporting person disclaims beneficial ownership of the shares except to the extent of the pecuniary interest of the reporting person, his spouse and his three youngest children in the shares.

(5) These shares are held for the account of the reporting person's spouse.

(6) These shares were previously reported as directly beneficially owned, but were contributed to a grantor retained annuity trust on October 30, 2018.

(7) The trust's sales were effected pursuant to a Rule 10b5-1 trading plan.

(8) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.04 to \$68.89, inclusive. The reporting person undertakes to provide to Thor Industries, Inc., any security holder of Thor Industries, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(9) These shares are held for the benefit of a charitable annuity trust of which the reporting person and his spouse are the trustees and of which the reporting person's three youngest children are the beneficiaries. The reporting person disclaims beneficial ownership of the shares except to the extent of the pecuniary interest of the reporting person, his spouse and his three youngest children in the shares.

(10) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.04 to \$69.99, inclusive. The reporting person undertakes to provide to Thor Industries, Inc., any security holder of Thor Industries, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(11) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$70.04 to \$70.33, inclusive. The reporting person undertakes to provide to Thor Industries, Inc., any security holder of Thor Industries, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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