

ARCH CAPITAL GROUP LTD.

Form 3

March 28, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Rippert Andrew

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

03/24/2017

3. Issuer Name **and** Ticker or Trading Symbol

ARCH CAPITAL GROUP LTD. [ACGL]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

CEO of Global Mortgage Group

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting PersonC/O ARCH CAPITAL GROUP  
LTD.,Â 100 PITTS BAY ROAD,  
WATERLOO HOUSE

(Street)

PEMBROKE,Â D0Â HM 08

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Shares, \$.0033 par value per share 33,220

D Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: ARCH CAPITAL GROUP LTD. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Share Appreciation Right	Â <u>(1)</u>	05/09/2022	Common Shares, \$.0033 par value per share	1,500	\$ 38.58	D	Â
Share Appreciation Right	11/12/2017	11/12/2022	Common Shares, \$.0033 par value per share	8,960	\$ 42.65	D	Â
Share Appreciation Right	Â <u>(2)</u>	05/09/2023	Common Shares, \$.0033 par value per share	2,500	\$ 53.53	D	Â
Share Appreciation Right	Â <u>(6)</u>	02/04/2024	Common Shares, \$.0033 par value per share	10,062	\$ 54.04	D	Â
Share Appreciation Right	Â <u>(3)</u>	05/13/2024	Common Shares, \$.0033 par value per share	4,100	\$ 57.27	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	05/13/2025	Common Shares, \$.0033 par value per share	5,470	\$ 62.505	D	Â
Stock Option, right to buy	Â <u>(5)</u>	05/13/2026	Common Shares, \$.0033 par value per share	4,340	\$ 71.7	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rippert Andrew C/O ARCH CAPITAL GROUP LTD.	Â	Â	Â CEO of Global	Â

100 PITTS BAY ROAD, WATERLOO HOUSE  
PEMBROKE, HM 08

Mortgage  
Group

## Signatures

/s/ Andrew

03/28/2017

Rippert

\_\_\_\_\_  
Signature of  
Reporting Person

\_\_\_\_\_  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2013 and the next two installments on May 9, 2014 and May 9, 2015, subject to the applicable award agreement.
- (2) The Share Appreciation Right becomes exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2014 and the next two installments on May 9, 2015 and May 9, 2016, subject to the applicable award agreement.
- (3) The Share Appreciation Right becomes exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2015 and the next two installments on May 13, 2016 and May 13, 2017, subject to the applicable award agreement.
- (4) The Stock Option becomes exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2016 and the next two installments on May 13, 2017 and May 13, 2018, subject to the applicable award agreement.
- (5) The Stock Option becomes exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2017 and the next two installments on May 13, 2018 and May 13, 2019, subject to the applicable award agreement.
- (6) The Share Appreciation Right becomes exercisable in three equal annual installments, with the first installment becoming exercisable on February 4, 2015 and the next two installments on February 4, 2016 and February 4, 2017, subject to the applicable award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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