

OLD SECOND BANCORP INC

Form 4/A

February 19, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Eccher James

2. Issuer Name **and** Ticker or Trading
Symbol
OLD SECOND BANCORP INC
[OSBC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

37 S. RIVER ST.

(Street)

AURORA, IL 60506

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
06/11/2011

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
COO

4. If Amendment, Date Original
Filed(Month/Day/Year)
01/03/2014

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Old Second Bancorp, Inc Common Stock	06/06/2011		P	10,000 (2)	A \$ 1.0448	85,724 (1)	D
Old Second Bancorp, Inc. Common Stock	03/28/2013		D	57,270 (3)	D \$ 0	28,454	D
Old Second Bancorp, Inc.	04/16/2013		A	25,000 (4)	A \$ 0	53,454 (5)	D

Common
StockOld Second
Bancorp Inc.
Common
Stock

6,242

I

401-k

Old Second
Bancorp Inc.
Common
Stock

1,960

I

Profit
Sharing
PlanOSBI Fixed
Rate
Cumulative
Preferred
Stock-Ser B

120

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option	\$ 27.75					12/18/2008	12/18/2017	Common Stock	20,000	
Employee Stock Option	\$ 29.2					12/19/2007	12/19/2016	Common Stock	12,000	
Employee Stock Option	\$ 31.34					12/20/2005	12/20/2015	Common Stock	12,000	

Employee					
Stock	\$ 32.59	12/20/2005	12/21/2014	Common	12,000
Option				Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Eccher James 37 S. RIVER ST. AURORA, IL 60506	X		COO	

Signatures

/s/ James Eccher 02/19/2014

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this total are 148 shares held jointly with spouse; 23,256 shares held in a brokerage account.
- (2) This amount includes part of the shares purchased by Mr. Eccher and disclosed in a Form 4 filed on June 6, 2011. These shares were inadvertently excluded from the total amount due to a scrivener's error.
- (3) These shares represent a forfeiture of Restricted stock shares due to TARP rules. As originally reported on a Form 4 on 1-3-14, the amount of shares forfeited did not account for the 10,000 shares that were misreported on Form 4 filed 6-6-11.
- (4) These shares represent a Restricted stock grant pursuant to Old Second Bancorp, Inc. Equity Incentive Plan.
- (5) Included in this total are 148 shares held jointly with spouse; 23,256 shares held in a brokerage account and 30,050 shares held in Mr. Eccher's name alone.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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