

QUICKLOGIC CORPORATION

Form 4

February 18, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HART E THOMAS

2. Issuer Name **and** Ticker or Trading
Symbol
QUICKLOGIC CORPORATION
[QUIK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1277 ORLEANS DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/13/2014

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)
EXEC Chairman of the Board

SUNNYVALE, CA 94089-1138

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/13/2014		M ⁽¹⁾	23,627 A	\$ 0 181,060	D	
Common Stock	02/13/2014		M ⁽⁴⁾	176,691 A	\$ 2.75 357,751	D	
Common Stock	02/13/2014		S ⁽⁴⁾	176,691 (5) D	\$ 5 (7) 181,060	D	
Common Stock	02/13/2014		M ⁽⁴⁾	47,552 A	\$ 3.02 228,612	D	
Common Stock	02/13/2014		S ⁽⁴⁾	47,552 (5) D	\$ 5.0045 (6) 181,060	D	

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Common Stock	02/13/2014	M ⁽⁴⁾	24,748	A	\$ 3.02	205,808	D
Common Stock	02/13/2014	S ⁽⁴⁾	24,748 ⁽⁵⁾	D	\$ 5 ⁽⁷⁾	181,060	D
Common Stock	02/14/2014	M ⁽⁴⁾	107,700	A	\$ 3.02	288,760	D
Common Stock	02/14/2014	S ⁽⁴⁾	107,700 ⁽⁵⁾	D	\$ 5.0009 ⁽⁶⁾	181,060	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Unit	\$ 0 ⁽²⁾	02/13/2014		A		23,627		02/13/2014	⁽³⁾	Common Stock
Restricted Stock Units	\$ 0 ⁽²⁾	02/13/2014		M			23,627	02/13/2014 ⁽³⁾	⁽³⁾	Common Stock
Non Qualified Stock Option	\$ 2.75	02/13/2014		M ⁽⁴⁾			176,691	⁽⁸⁾	10/27/2014	Common Stock
Non Qualified Stock Option	\$ 3.02	02/13/2014		M ⁽⁴⁾			47,552	⁽⁸⁾	11/21/2016	Common Stock
Incentive Stock Option	\$ 3.02	02/13/2014		M			24,748	⁽⁸⁾	11/21/2016	Common Stock
Incentive Stock Option	\$ 3.02	02/14/2014		M			107,700	⁽⁸⁾	11/21/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HART E THOMAS 1277 ORLEANS DRIVE SUNNYVALE, CA 94089-1138	X		EXEC Chairman of the Board	

Signatures

/s/ Patricia Hart by Power of Attorney 02/18/2014

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of Restricted Stock Units ("RSUs") granted to the reporting person on February 13, 2014.
- (2) Each RSU represents a contingent right to receive one share of the Issuer's common stock.
- (3) 100% of the RSUs vest on the grant date. Shares of the Issuer's common stock will be delivered to the Reporting Person upon vesting.
- (4) The transactions reported on this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by the reporting person on June 4, 2013.
- (5) Represents the aggregate of sales effected on the same day.
Represents the weighted average sales price per share. The shares sold at prices ranging from \$5.00 to \$5.02. Full information regarding
- (6) the number of shares sold at reach price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the issuer.
- (7) All shares sold at an exact price.
- (8) The stock option granted is 100% vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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