Edgar Filing: UNITED NATURAL FOODS INC - Form 4

UNITED NATURAL FOODS INC Form 4 December 05, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ANTONELLI RICHARD Issuer Symbol UNITED NATURAL FOODS INC (Check all applicable) [UNFI] _X_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O UNITED NATURAL FOODS 12/01/2006 Chief Operating Officer INC, 260 LAKE ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting DAYVILLE, CT 06241 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) (Instr. 8) Ownership or Indirect Following (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common 12/01/2006 M 15,000 А \$ 28.14 23,400 D Stock Common 12/01/2006 S D 15,000 D \$35 8,400 Stock Common 12/04/2006 Μ 10,000 А \$ 12.55 18,400 D Stock Common 12.500 30,900 D 12/04/2006 Μ A 18 655 Stock

S

22,500 D

\$ 34.75 8,400 (1)

D

Common

Stock

12/04/2006

1

Edgar Filing: UNITED NATURAL FOODS INC - Form 4

Common			See
Common	2,711	I	footnote
Stock	2,711	-	
			(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeri Secu Acqu or D (D)	courities (Month/Day/Year) couried (A) Disposed of)) nstr. 3, 4,		7. Title and Amount Underlying Securitie (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy)	\$ 28.14	12/01/2006		М		15,000	12/01/2004	12/01/2014	Common Stock	15,0
Employee Stock Option (right to buy)	\$ 12.55	12/04/2006		М		10,000	12/03/2006 <u>(3)</u>	12/03/2012	Common Stock	10,0
Employee Stock Option (right to buy)	\$ 18.655	12/04/2006		М		12,500	12/03/2006 <u>(3)</u>	12/03/2013	Common Stock	12,5

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
ANTONELLI RICHARD	Х		Chief Operating Officer		
C/O UNITED NATURAL FOODS INC					
260 LAKE ROAD					

DAYVILLE, CT 06241

Signatures

Mark Shamber (Power of Attorney, in fact)

12/05/2006

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 8,400 shares of restricted stock vesting in four equal installments beginning on 12/8/2006.
- (2) Includes 2,711 shares of common stock allocated to Mr. Antonelli under the United Natural Foods, Inc. Employee Stock Ownership Plan as of December 5, 2006.
- (3) The employee stock option is exercisable in four equal installments commencing on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.